

# Cluster Editing on Cographs and Related Classes

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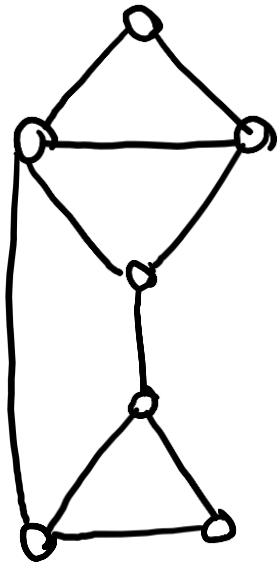
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**Goal:** insert/delete  $\leq k$  edges to obtain a cluster graph  
(i.e., each connected component must be a clique.)

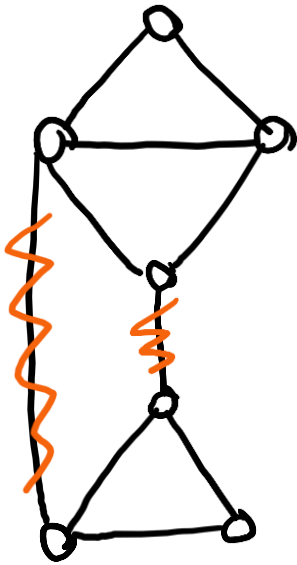


$k=3$

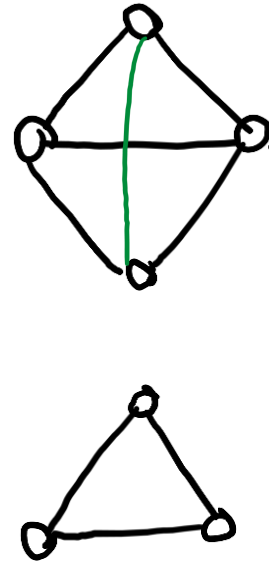
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## Fixed-parameter perspective.

- Straightforward  $O^*(3^k)$  time algorithm.
- $O^*(1.618^k)$  time possible [Böcker, 2012]
- Kernel with  $2k$  vertices (compressed equivalent instance) [Chen & Meng, 2012].
- FPT in parameter twin-cover [Italiano et al., 2023]

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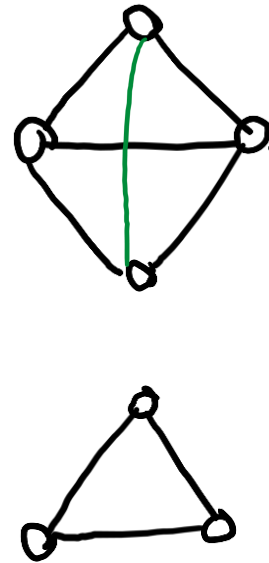
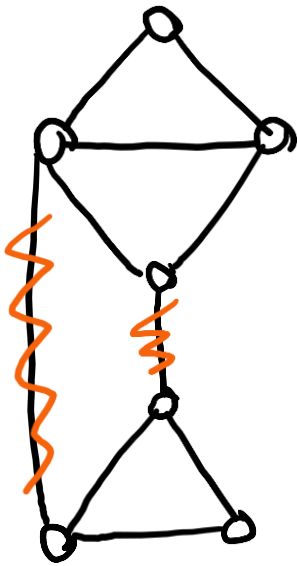
On specific graph classes:

- NP-hard on planar unit disk graphs of max degree 4  
[Komusiewicz & Ullman, 2012][Ochs, 2023]
- Polytime on unit interval graphs [Mannaa, 2010]
- **Cluster Deletion** received more attention
  - studied on unit disk graphs, split graphs,...

## p-Cluster Editing

**Input:** a graph  $G$ , integers  $k, p$

**Goal:** insert/delete at most  $k$  edges to obtain a cluster graph with **exactly**  $p$  connected components

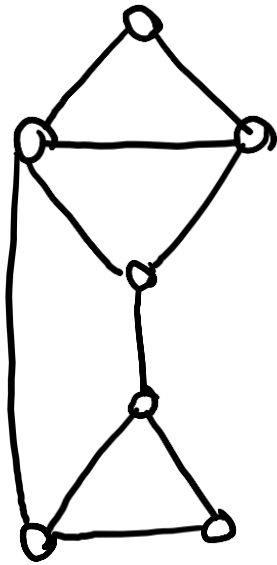


$$k=3, p=2$$

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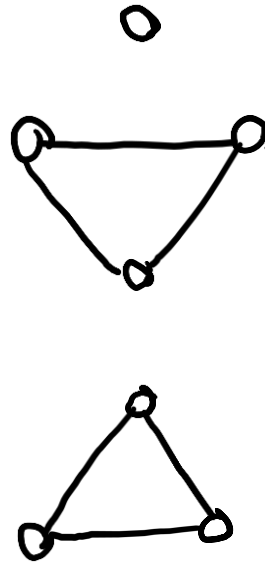
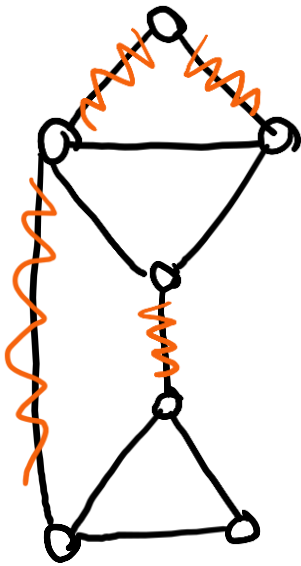


$k=3, p=3 \rightarrow \text{no}$

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$(k=4 \rightarrow \text{yes})$



# p-Cluster Editing

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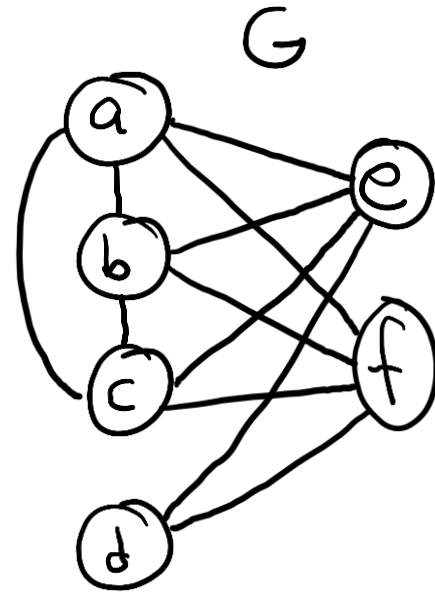
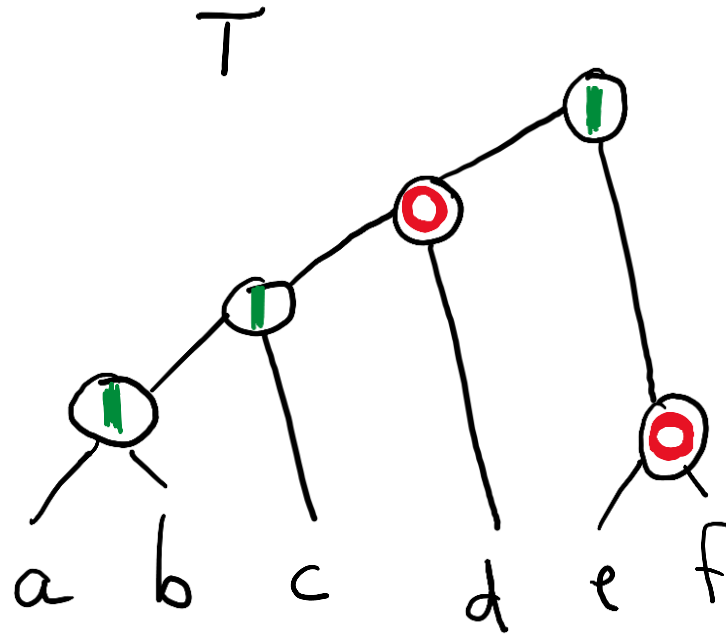
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- NP-hard already when  $p = 2$  [Shamir et al., 2004]
- Algorithm in time  $2^{O(\sqrt{pk})} \text{poly}(n)$ , tight under Exponential Time Hypothesis (ETH) [Fomin et al, 2014]
- Admits a  $(p + 2)k + p$  kernel [Guo, 2009]

# Cluster Editing on Cographs

- Cograph =  $P_4$ -free graph
- Cograph = can be built using operations:
  - creating a single vertex
  - taking disjoint union of two cographs
  - taking full join of two cographs
- Cluster Deletion is in P for cographs! [\[Gao et al., 2013\]](#)
  - Take largest clique, make it a cluster, repeat
  - Cluster Insertion is trivially in P.
  - Cluster Editing = OPEN

# Cographs and cotrees



- **Why** Cluster Editing on cographs?
  - Distance to a graph class
  - Cographs are “almost” cluster graphs – but how far?
  - Communities usually cluster graphs, but sometimes cographs.
  - Applications in Computational Biology, evolutionary history = cotree = cograph, but people use clustering

# Our results

1. Cluster Editing is NP-complete on cographs.
2.  $p$ -Cluster Editing is NP-complete on cographs, and  $W[1]$ -hard in parameter  $p$  on cographs.

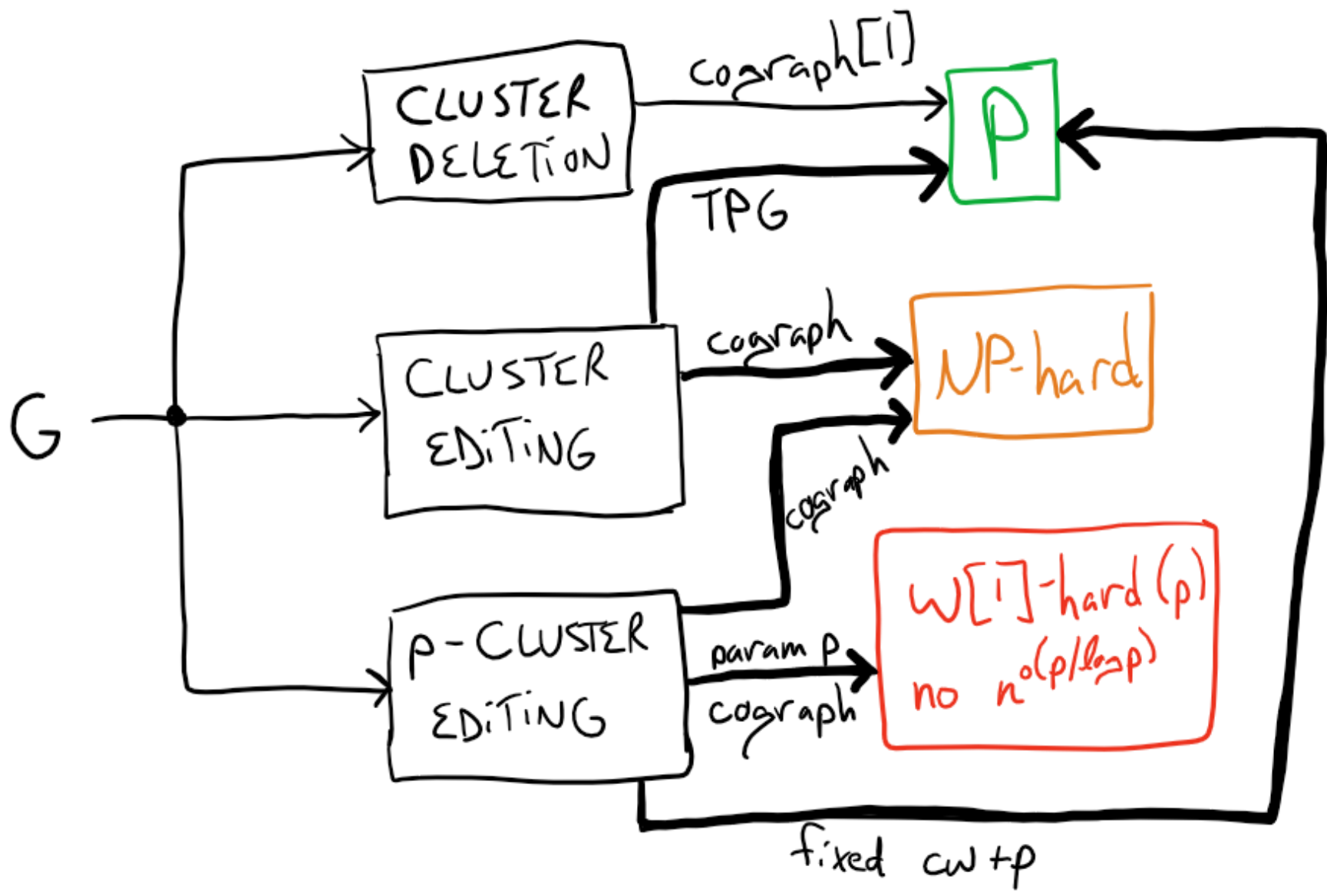
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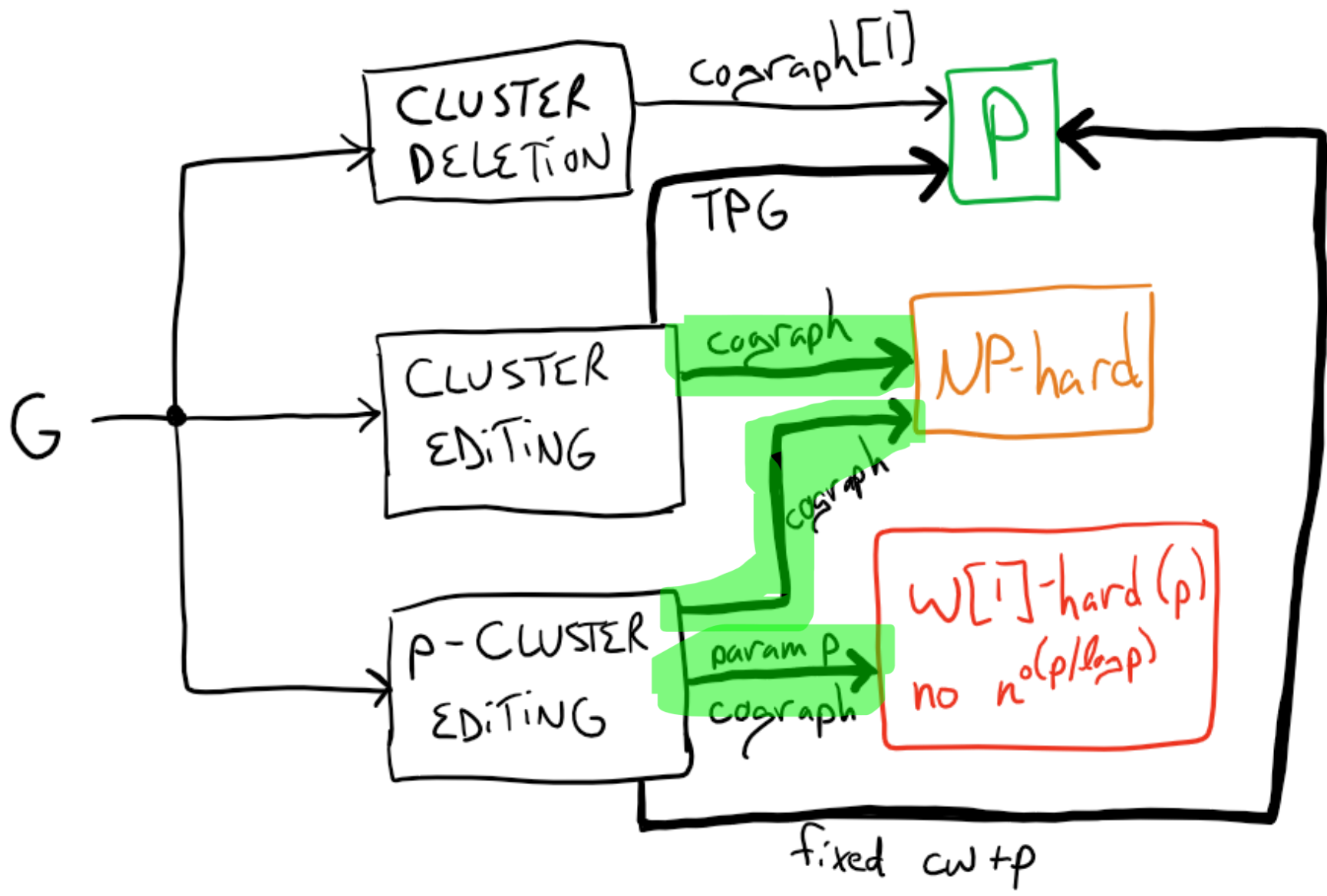
3.  $p$ -Cluster Editing admits a  $n^{O(cw p)}$  time algorithm. Under the ETH, no  $n^{o(cw p / \log p)}$  time is possible.

4. For fixed  $p$ ,  $p$ -Cluster Editing on cographs is in P.

5. Cluster Editing is in P on  $\{P_4, C_4\}$ -free graphs.

Also known as Trivially Perfect Graphs (TPG)





## Unary Perfect Bin Packing

**Input:** multiset of unary-encoded integers  $A = \{a_1, \dots, a_n\}$ , bin capacity  $C$ , bin count  $p$ .

**Question:** can we assign items of  $A$  to  $p$  bins so that they each sum to exactly  $C$ .

$$A = \{1, 2, 2, 5, 5, 6, 9\} \quad C = 10 \quad p = 3$$



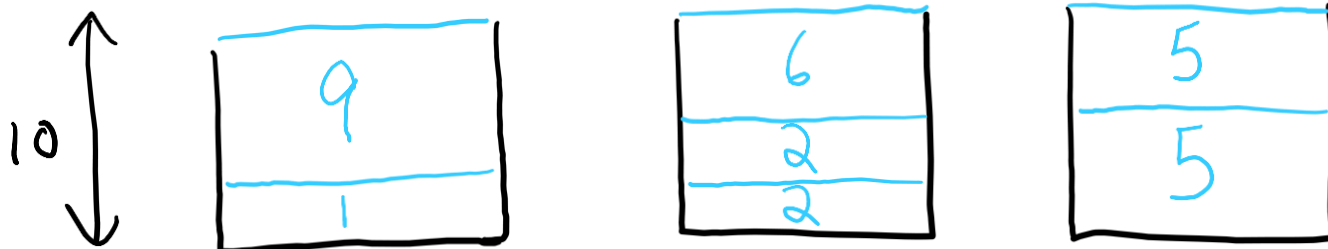


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In [Jansen et al., 2013], the variant where each bin sums to **at most**  $C$  is:

- (1) NP-hard;
- (2) W[1]-hard in parameter  $p$ ; (probably no  $f(p)n^c$  time)
- (3) no  $n^{o(p/\log p)}$  time algorithm under the ETH.

We show that the same holds for the Perfect variant.

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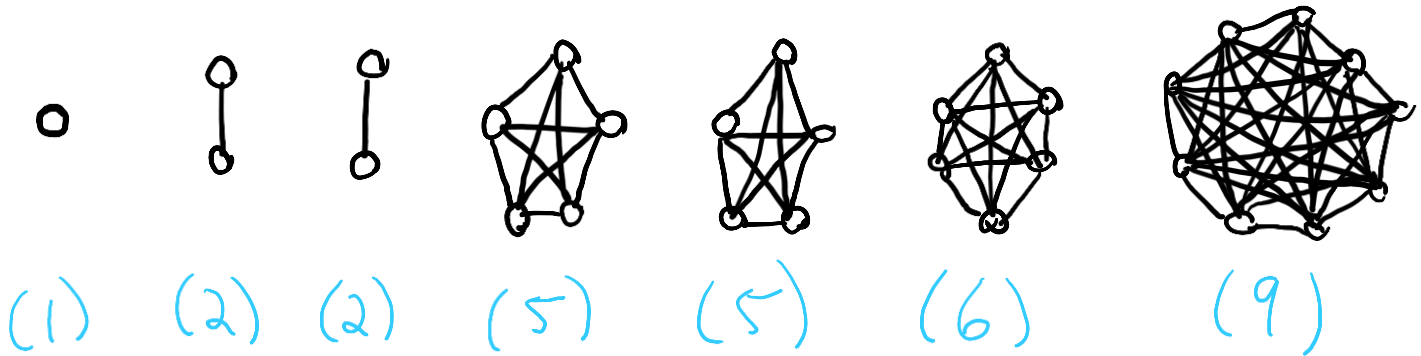
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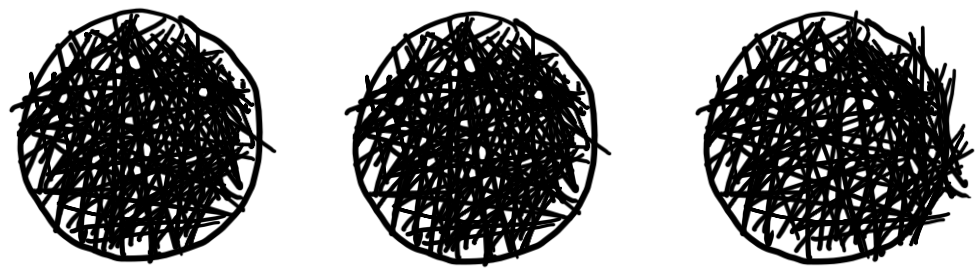


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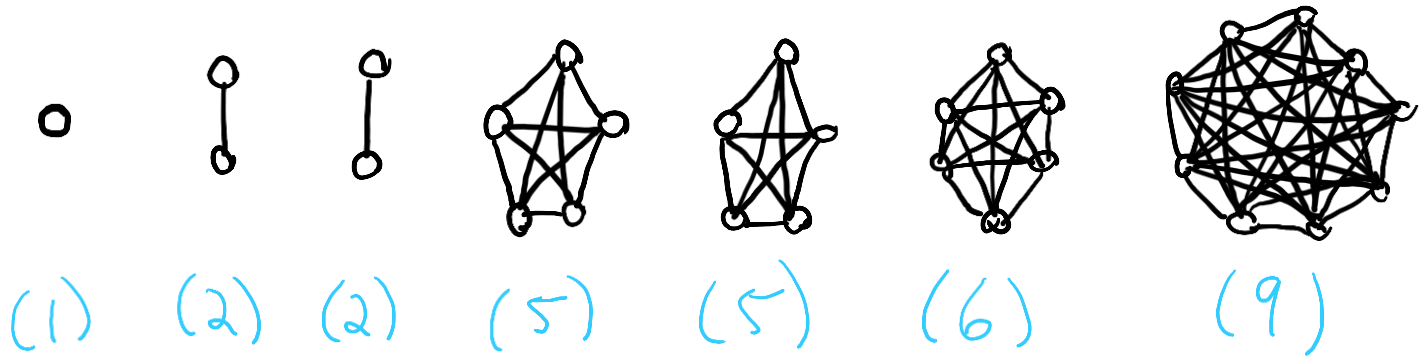
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} p huge  
} cliques

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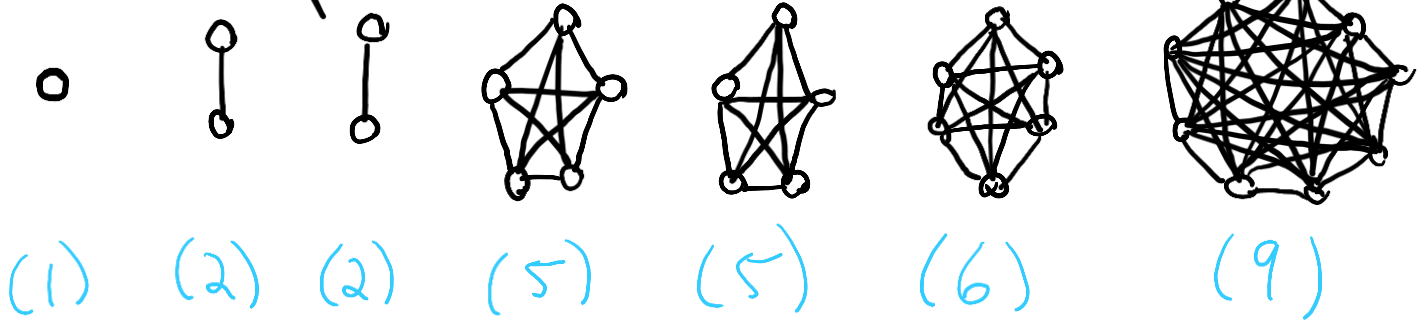
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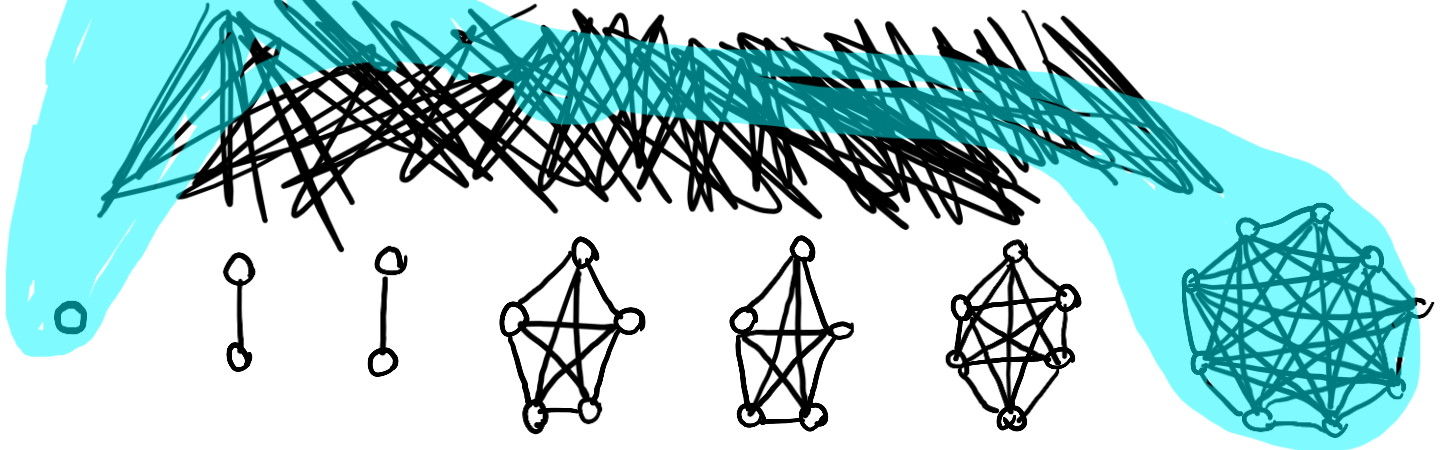
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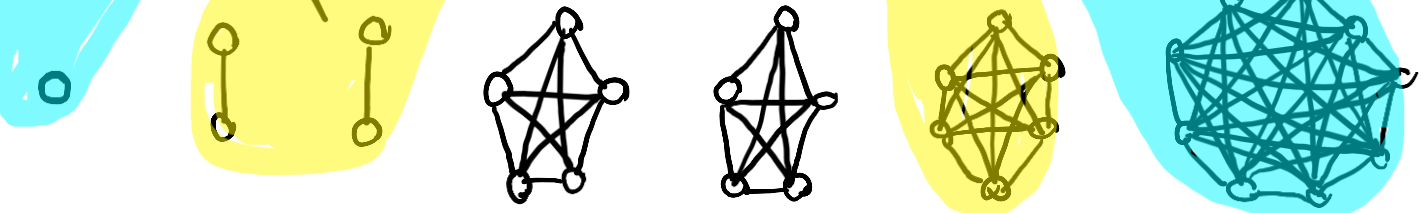
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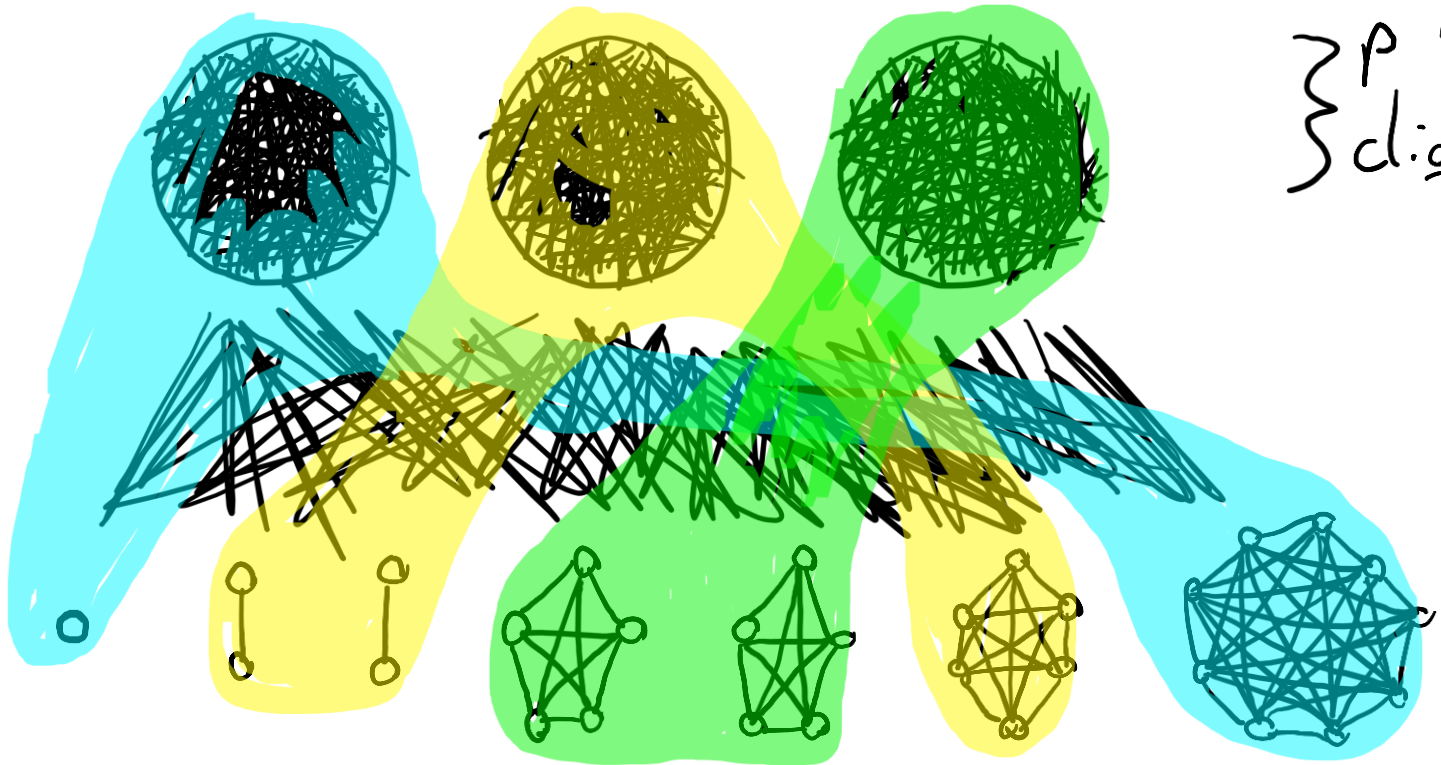
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# Main ideas

Huge cliques separated  $\Rightarrow p$  clusters

Each little clique  $A_i$  goes with a huge clique  $B_j$

Only relevant editing cost = insertions between  $A_i$ 's in same cluster.

If  $A_1, \dots, A_k$  are together in same cluster, insertions needed =  $a_1 a_2 + a_1 a_3 + \dots + a_{k-1} a_k$ .

To prove: sum of edit costs is minimized if each cluster has an equal number of  $A_i$  vertices

$$\begin{aligned}
|F| &= (k-1)ah + \frac{1}{2} \sum_{i=1}^k |W_i|^2 - \frac{s}{2} \\
&< (k-1)ah + \sum_{i=1}^k |W_i|^2 + \sum_{1 \leq i, j \leq k} |W_i||W_j| \\
&= (k-1)ah + \left( \sum_{i=1}^k |W_i| \right)^2 \\
&= (k-1)ah + a^2 \\
&< (k-1)ah + h < h^2.
\end{aligned}$$

The last line implies that having  $|\mathcal{C}| = k$ , with each element of  $\mathcal{C}$  a superset of exactly one element from  $\mathcal{I}$ , always achieves a lower cost than the other possibilities.

Next, consider the lower bound of  $|F| \geq t$  and the conditions on equality. Using the same starting point,

$$\begin{aligned}
|F| &= (k-1)ah + \frac{1}{2} \sum_{i=1}^k |W_i|^2 - \frac{s}{2} \\
&= (k-1)ah + \frac{1}{2k} \left( \sum_{i=1}^k |W_i|^2 \right) \left( \sum_{i=1}^k 1^2 \right) - \frac{s}{2} \\
&\geq (k-1)ah + \frac{1}{2k} \left( \sum_{i=1}^k |W_i| \right)^2 - \frac{s}{2} \\
&= (k-1)ah + \frac{1}{2k} a^2 - \frac{s}{2} = t.
\end{aligned} \tag{1}$$

In (1), we used the Cauchy-Schwarz inequality, and the two sides are equal if and only if  $|W_1| = \dots = |W_k|$ . In addition,  $|W_1| = \dots = |W_k|$  if and only if  $|P_1| = \dots = |P_k|$  (recall that

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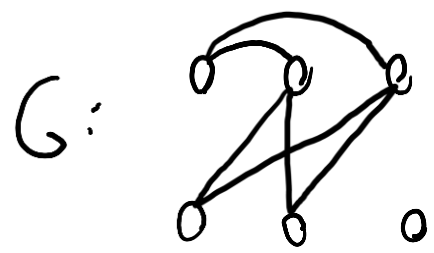
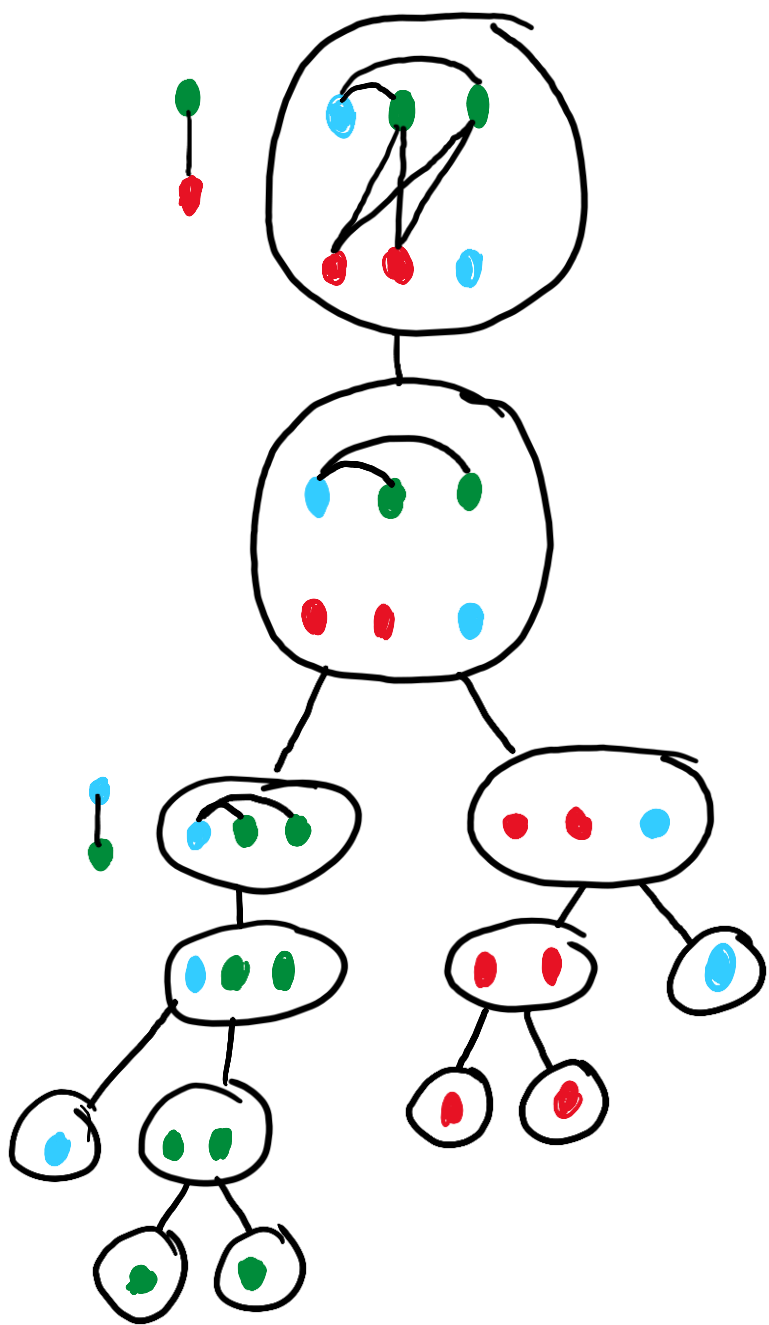
# Clique-width

Clique-width uses colored vertices.

A graph  $G$  has clique-width  $k$  if it can be constructed using  $k$  colors and the following operations:

- create a graph with a single vertex colored  $i$
- disjoint union of two colored graphs
- recolor all vertices with color  $i$  to color  $j$
- add all edges between vertices of distinct color  $i$  and  $j$



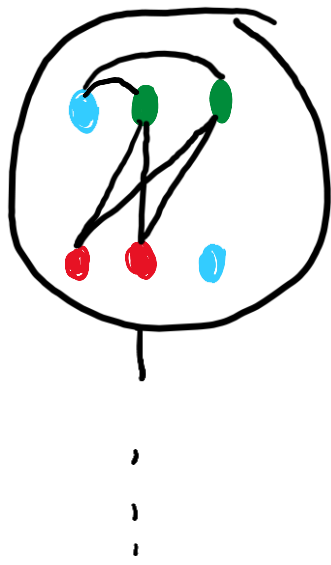


Suppose  $G$  is constructed using  $k$  colors.

- for each graph encountered during the construction, consider all  $p \times k$  matrices  $M$
- $M[i, j] = t$  means “the  $i$ -th cluster must have exactly  $t$  vertices of color  $j$ ” (note,  $t \leq n$ )
- $opt(M) = \min \#$  edges to edit to achieve a cluster graph that meets all the  $M[i, j]$  requirements.

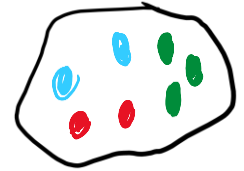
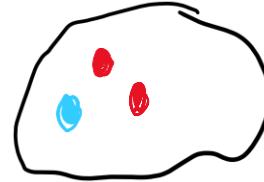
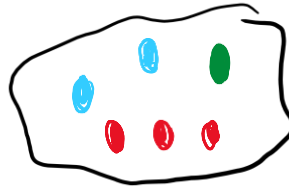
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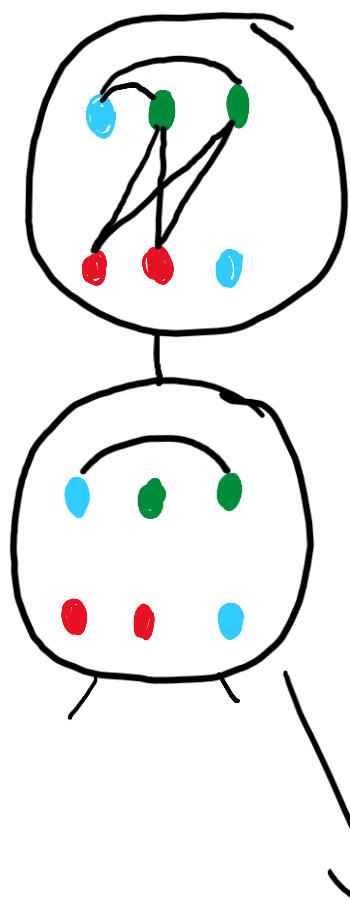
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- Compute  $opt(M)$  for every possible  $M$  and every graph encountered.
- There are  $n^{cw \cdot p}$  possible  $M$ 's.
- Dynamic programming gives  $n^{2cw \cdot p + 4}$



$$p = 3$$

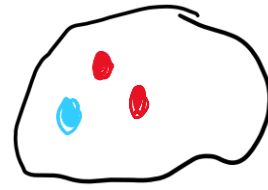
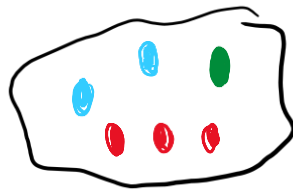
$M$	#blue	#gr	#red
cl 1	2	1	3
cl 2	1	0	2
cl 3	2	3	2





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$M'$


compute  $M$   
from appropriate  
 $M'$  at children

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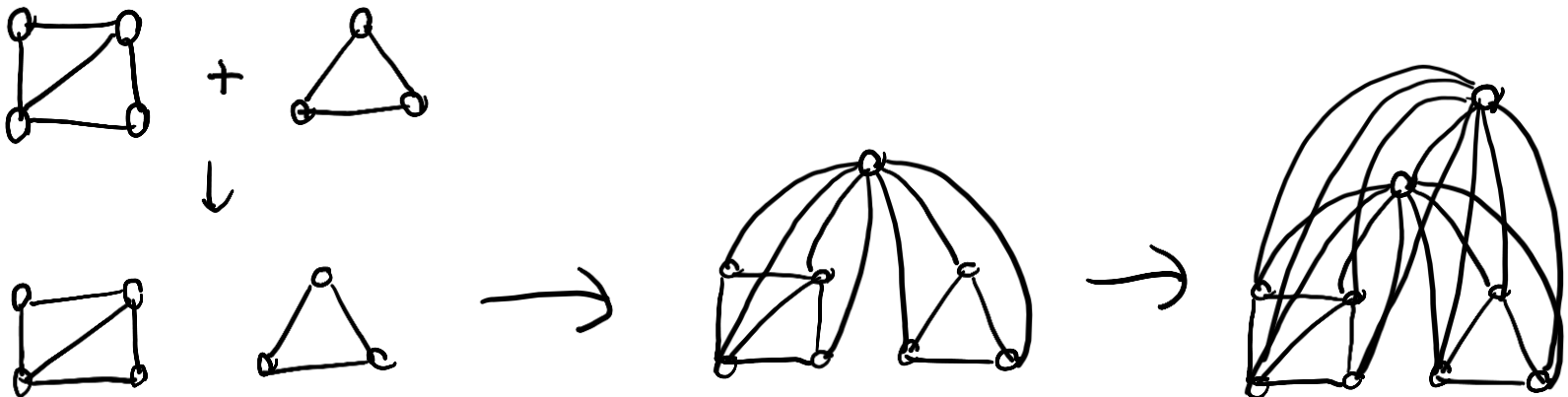


# $\{P_4, C_4\}$ -free graphs

Also known as Trivially Perfect Graphs (TPG).

Can be built with the operations:

- create a single vertex
- disjoint union of TPGs.
- add a universal vertex (adjacent to all vertices currently there)



# $\{P_4, C_4\}$ -free graphs

Idea: when adding a universal vertex  $v$ ,

- Take an optimal solution of  $G - v$ .
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- Take an optimal solution of  $G - v$ .
- Add  $v$  in the largest cluster (minimizes deletions)
- Problem: optimal solution may not be good later on.
- For all sizes  $q$ , compute

$opt(G, q) = \min \# \text{ editions in } G \text{ s.t. the largest cluster has exactly } q \text{ vertices.}$

- Easy to update when adding  $v$ , just use  $opt(G - v, q)$

# $\{P_4, C_4\}$ -free graphs

- Difficult part: update tables when taking disjoint unions, i.e.,  $G = G_1 \cup G_2$ .
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- $opt(G, q) = \min \#$  editions in  $G$  s.t. the largest cluster has exactly  $q$  vertices.
- Argue that we can just take

$$opt(G, q) = \min_{q=q_1+q_2} opt(G_1, q_1) + opt(G_2, q_2) + \delta$$

i.e., it is safe to merge the largest clusters of  $G_1$  and  $G_2$

# Future directions

- Is  $p$ -Cluster Editing in P for TPGs?
- $cw$  is a bad parameter for Cluster Editing.  
Treewidth? Modular-width? Other?
- Challenge: get a dichotomy theorem to characterize graph classes on which Cluster Editing/Deletion is in P, or NP-hard.