

Dimension-Free Parameterized Approximation Schemes for Hybrid Clustering

[Ameet Gadekar](#)

CISPA Helmholtz Center for Information Security
Saarbrücken, Germany

Tanmay Inamdar

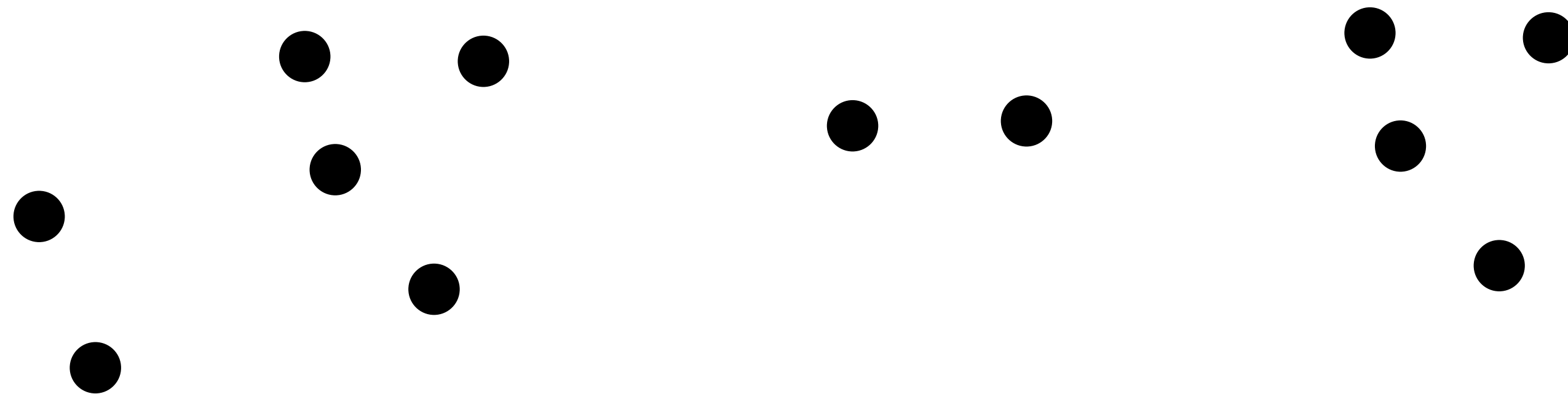
Indian Institute of Technology Jodhpur
Jodhpur, India

Clustering

Given a set of **objects**

Want to **group them** such that

objects in the **same group** are more “**similar**” to each other than to those in the other groups

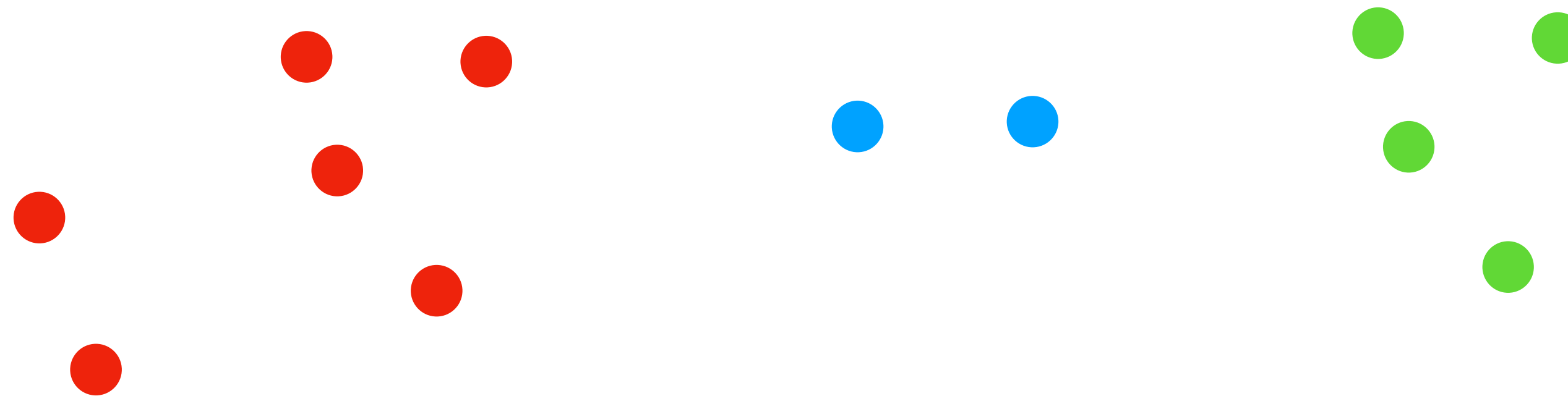


Clustering

Given a set of objects

Want to group them such that

objects in the **same group** are more “similar” to each other than to those in the other groups

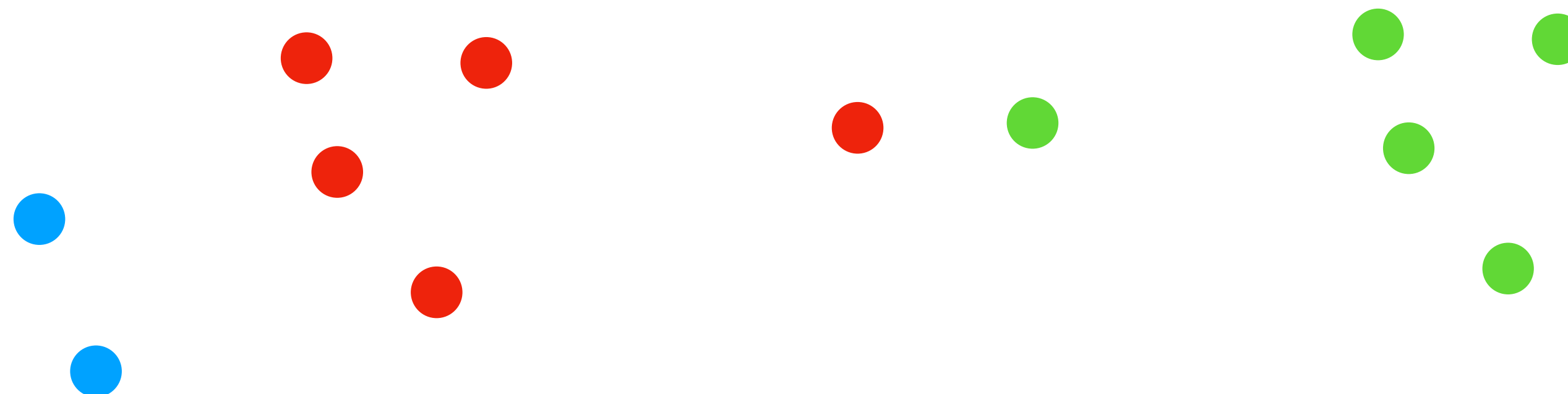


Clustering

Given a set of objects

Want to group them such that

objects in the **same group** are more “**similar**” to each other than to those in the other groups



Groups are called **Clusters**

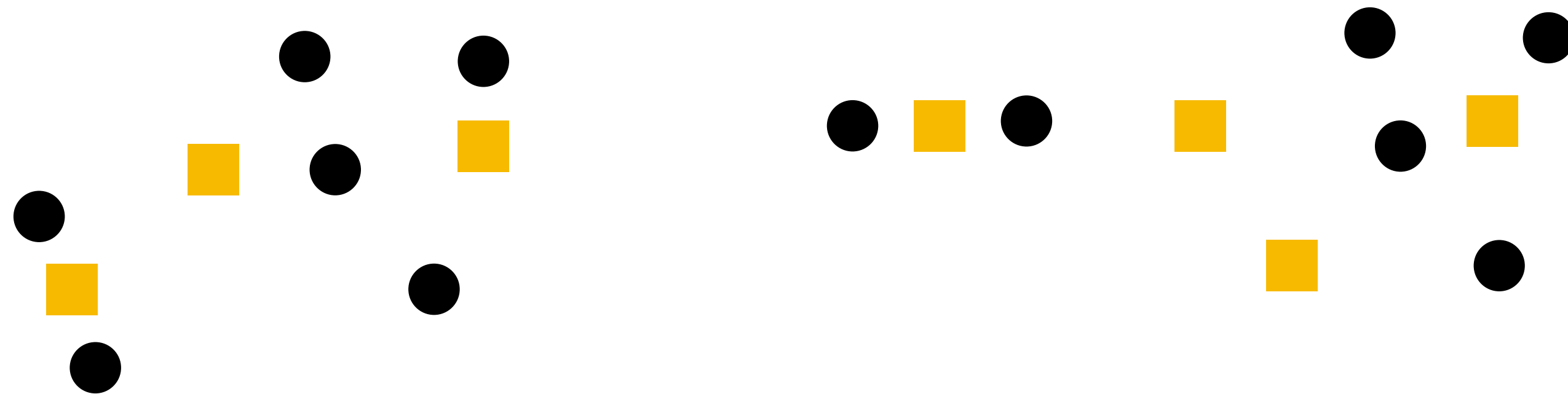
Center-based Clustering

Set of Objects — **Points/Clients**

Set of potential centers — **Facilities**

Want to **choose centers** and **assign every point** to a **closest center**

to **minimize** a **clustering objective**



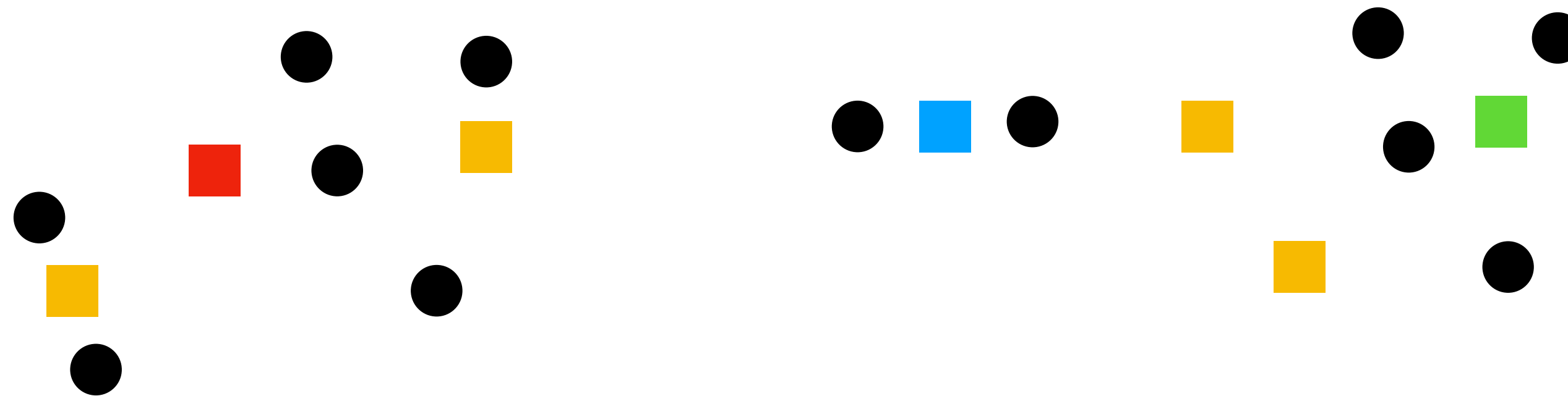
Center-based Clustering

Set of Objects — Points/Clients

Set of potential centers — Facilities

Want to choose centers and assign every point to a closest center

to minimize a clustering objective



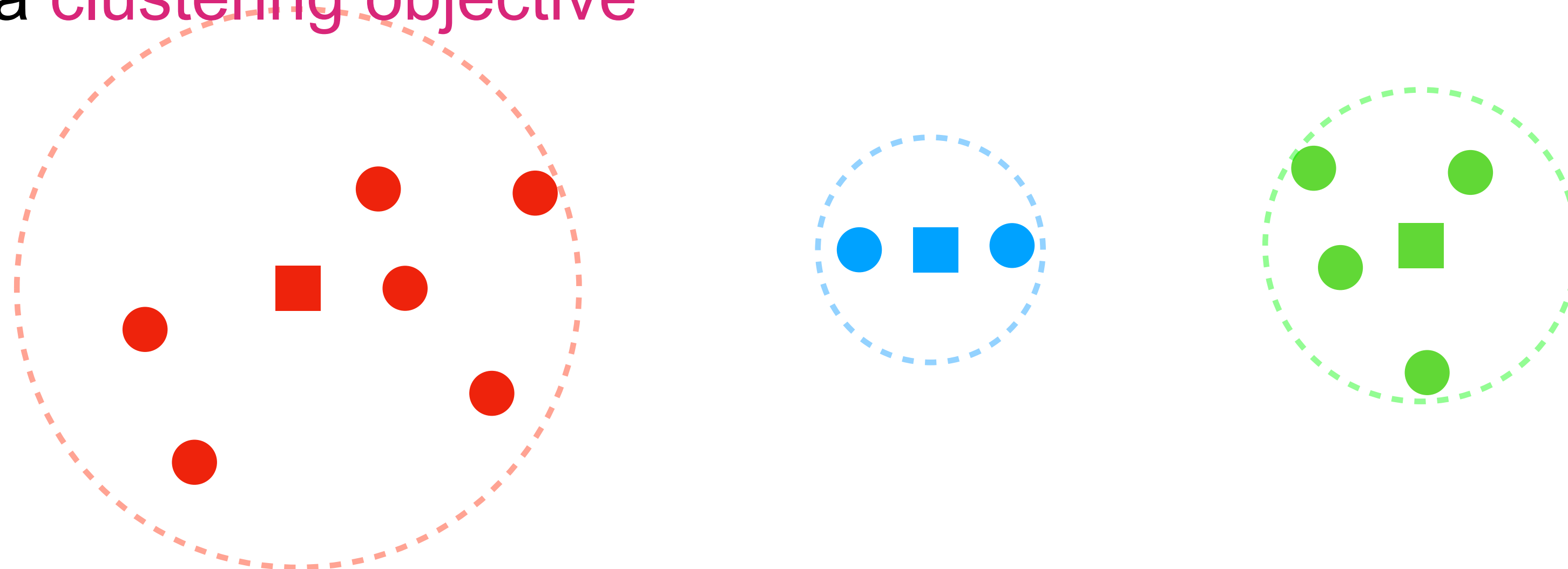
Center-based Clustering

Set of Objects — **Points/Clients**

Set of potential centers — **Facilities**

Want to **choose centers** and **assign every point** to a **closest center**

to **minimize** a **clustering objective**



Center-based Clustering

- Input

P : set of n points

F : set of facilities

d : distance function on $P \cup F$

k : positive integer

- Output

$X \subseteq F$: set of k centers

- Minimize an objective

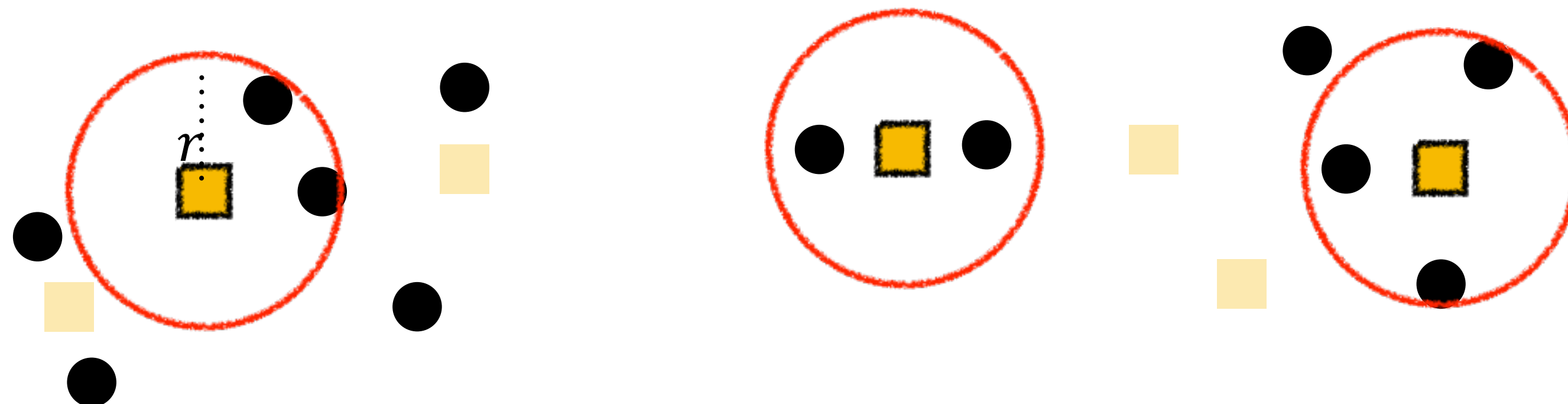
k -Median: $\sum_{p \in P} d(p, X)$

k -Center: $\max_{p \in P} d(p, X)$

k -Means: $\sum_{p \in P} d(p, X)^2$

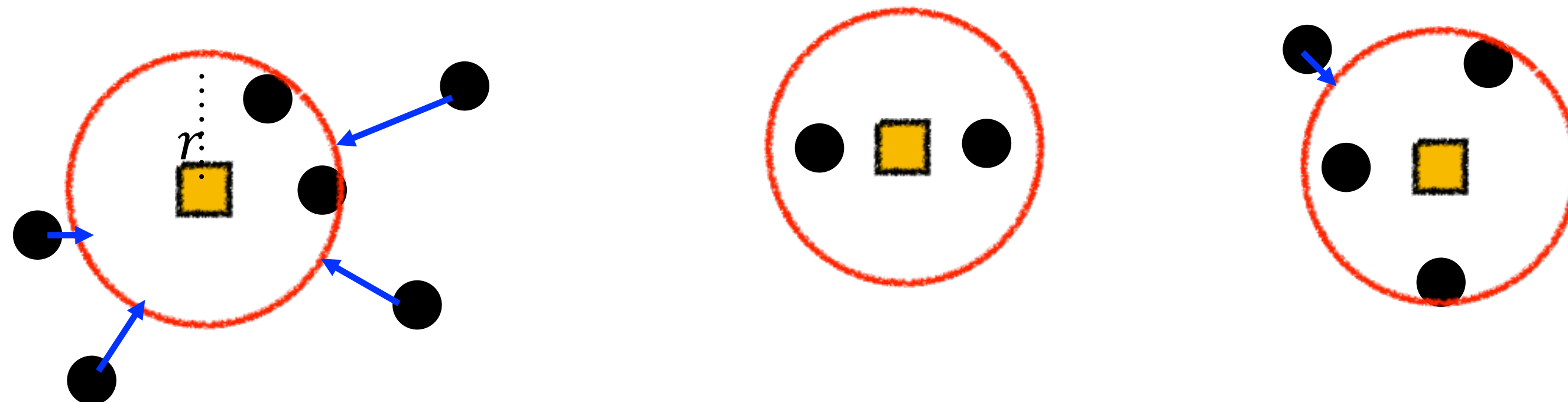
Hybrid Clustering

- **Interpolates** between **k -Median** and **k -Center**
- Think of placing **k WiFi routers**, each with coverage **radius r**
- Clients within coverage, pay 0 (zero)
- Clients outside coverage, pay the distance to the nearest ball



Hybrid Clustering

- **Interpolates** between **k -Median** and **k -Center**
- Think of placing **k WiFi routers**, each with coverage **radius r**
- Clients within coverage, pay 0 (zero)
- Clients outside coverage, pay the distance to the nearest ball



$$d_r(p, X) := \max\{d(p, X) - r, 0\} \quad \text{for } X \subseteq F$$

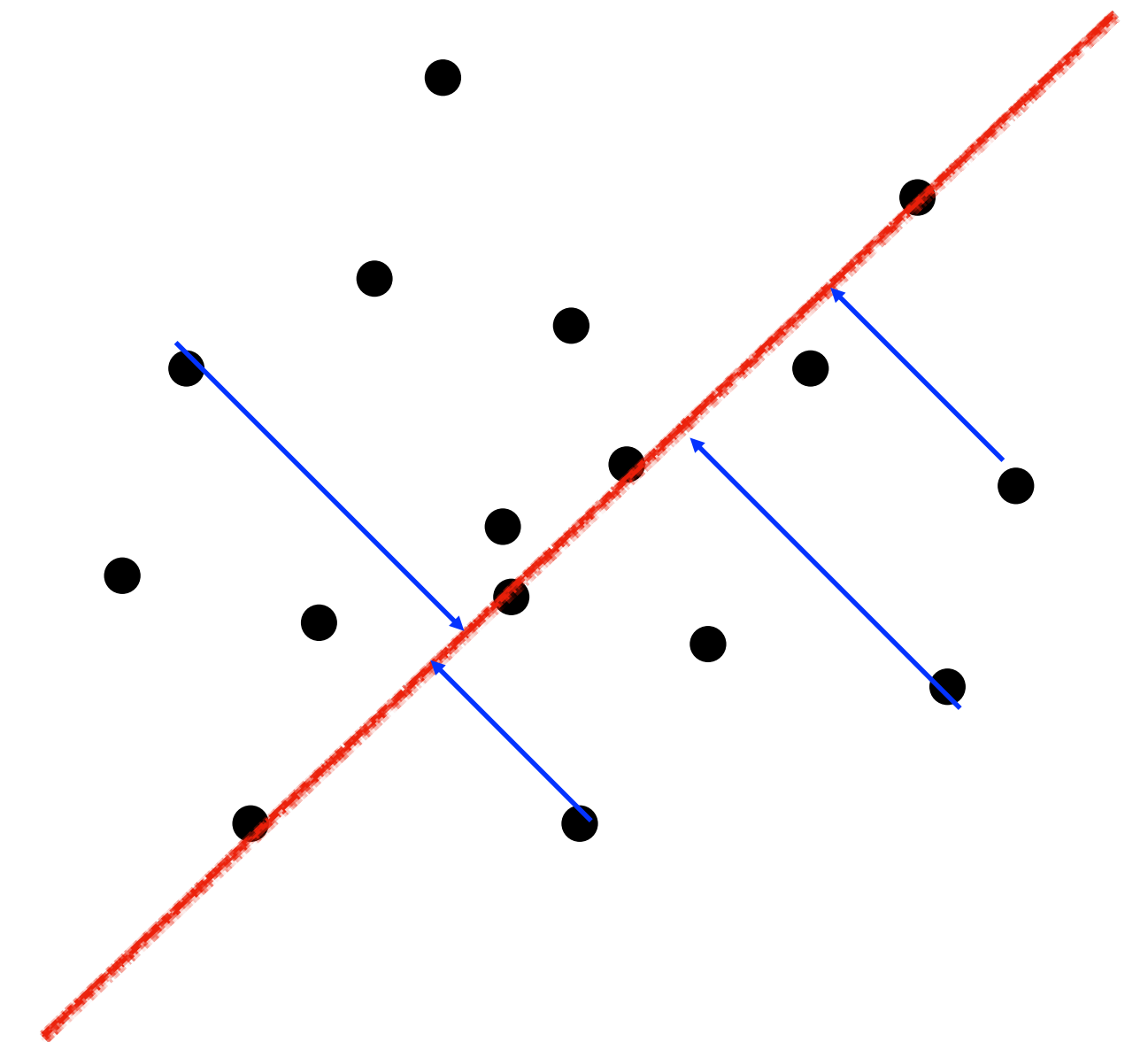
Hybrid Clustering

- $d_r(p, X) := \max\{d(p, X) - r, 0\}$ r -distance
- Input
 - P : set of n points
 - F : set of facilities
 - k : positive integer
 - d : distance function on $P \cup F$
 - r : non-negative real
- Output
 - $X \subseteq F$: set of k centers
- Minimize
 - $\sum_{p \in P} d_r(p, X)$

Hybrid Clustering

Motivation

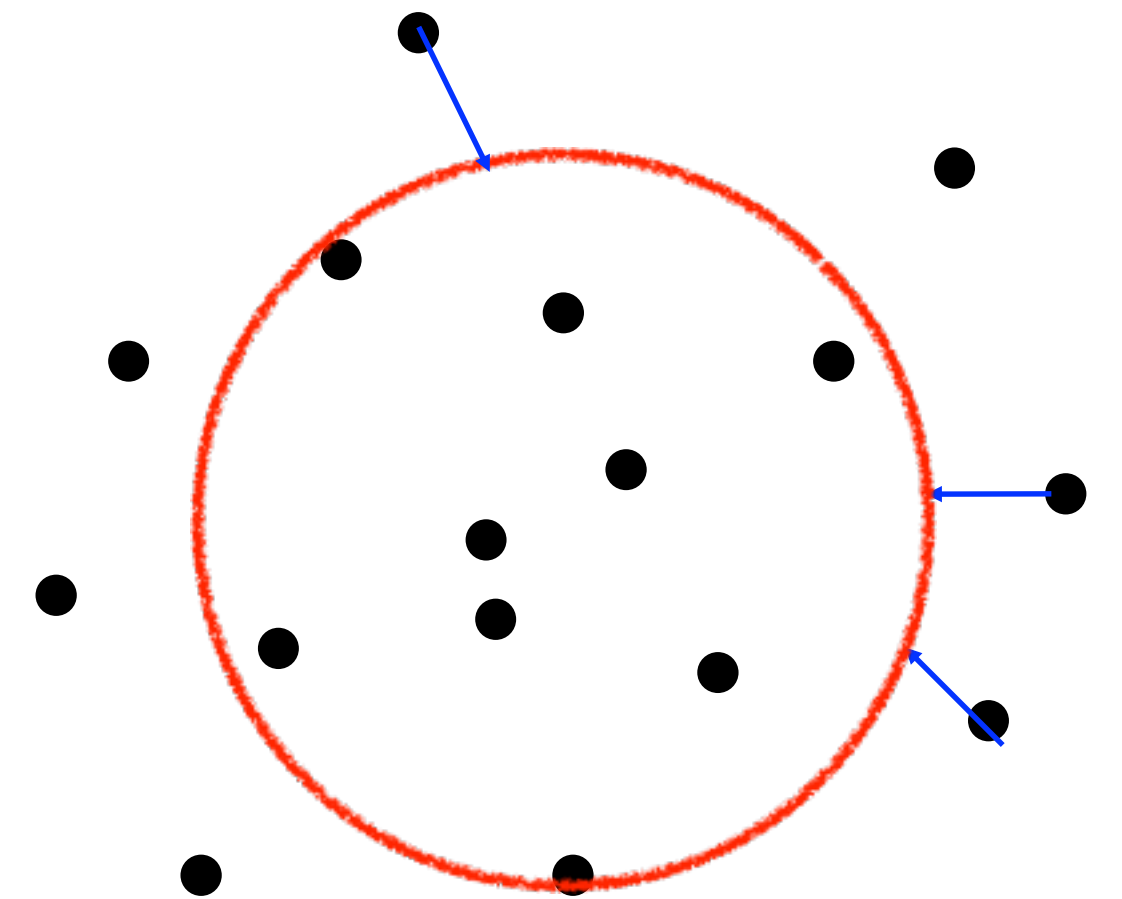
- **Interpolates** between k -Median and k -Center
- **Shape Fitting**
 - Extension of **Linear regression**: Fitting “best” lines
 - **Projective Clustering**: Fitting “best” affine spaces



Hybrid Clustering

Motivation

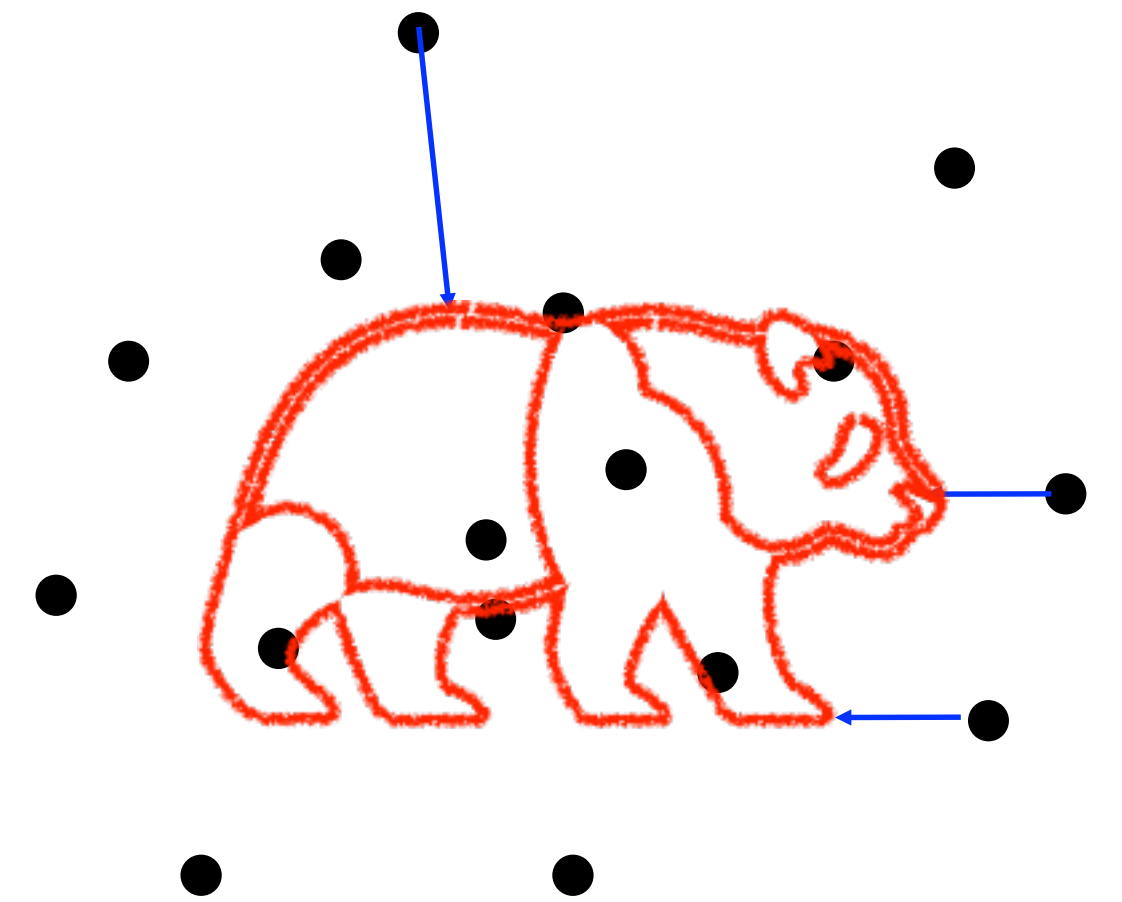
- **Interpolates** between k -Median and k -Center
- **Shape Fitting**
 - Extension of **Linear regression**: Fitting “best” lines
 - **Projective Clustering**: Fitting “best” affine spaces
 - **Hybrid Clustering**: Fitting “best” r -radius balls



Hybrid Clustering

Motivation

- **Interpolates** between k -Median and k -Center
- **Shape Fitting**
- Extension of **Linear regression**: Fitting “best” lines
- **Projective Clustering**: Fitting “best” affine spaces
- **Hybrid Clustering**: Fitting “best” r -radius balls



Literature

- Recently introduced by Fomin, Golovach, Inamdar, Saurabh, Zehavi
[Approx' 24]
- $r = 0: d_r(p, X) = d(p, X) \implies k\text{-Median}$
- $r = OPT_{kc}: \sum d_r(p, X) = 0 \implies k\text{-Center} \quad OPT_{kc} = k\text{-Center OPT}$
- No Uni-criteria approximations: have to violate both—cost & radius

Literature

- Recently introduced by Fomin, Golovach, Inamdar, Saurabh, Zehavi
[Approx' 24]
- No Uni-criteria approximations: have to violate both—cost & radius

Literature

- Recently introduced by Fomin, Golovach, Inamdar, Saurabh, Zehavi [Approx' 24]
- No Uni-criteria approximations: have to violate both—cost & radius
- Studied the problem in \mathbb{R}^d , where centers can be chosen anywhere
- For \mathbb{R}^d , designed $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation
 - whose cost using $(1 + \epsilon)r$ -radius balls is at most $(1 + \epsilon)OPT_r$
 - in time $FPT(k, d, \epsilon)$

$OPT_{\text{cost using } r\text{-radius balls}}$

Our Results

Theorem 1.

Substantially improve and generalize the results of Fomin at al.

Our Results

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $FPT(k, \epsilon)$

no d here

Fedor et al [Approx'24].

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $2^{(kd/\epsilon)^{O(1)}} n^{O(1)}$

Our Results

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $2^{\tilde{O}(k/\epsilon^5)} n^{O(1)}$

no d here

Fedor et al [Approx'24].

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $2^{(kd/\epsilon)^{O(1)}} n^{O(1)}$

Our Results

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $FPT(k, \epsilon)$

no d here

Works for metric spaces with bounded (algorithmic) scatter dimension

Bounded Doubling

Bounded Treewidth

Planar

Minor-closed

Works even when the objective is a monotone norm of r -distances

Generalizes the FOCS'23 framework of Abbasi* et al. to r -distances

* *Parameterized Approximation Schemes for Clustering with General Norm Objectives*
Abbasi, Banerjee, Byrka, Chalmersook, G., Khodamoradi, Marx, Sharma, Spoerhase

Our Results

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $FPT(k, \epsilon)$

no d here

Generalizes the FOCS'23 framework of Abbasi* et al. to r -distances

Theorem 2.

Design coresets of size $2^{O(d \log(1/\epsilon))} k \log n$ in doubling metrics of dimension d

* *Parameterized Approximation Schemes for Clustering with General Norm Objectives*
Abbasi, Banerjee, Byrka, Chalmersook, G., Khodamoradi, Marx, Sharma, Spoerhase

This talk

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $FPT(k, \epsilon)$

This talk

Theorem 1.

For \mathbb{R}^d , design $(1 + \epsilon, 1 + \epsilon)$ -bicriteria approximation in time $FPT(k, \epsilon)$

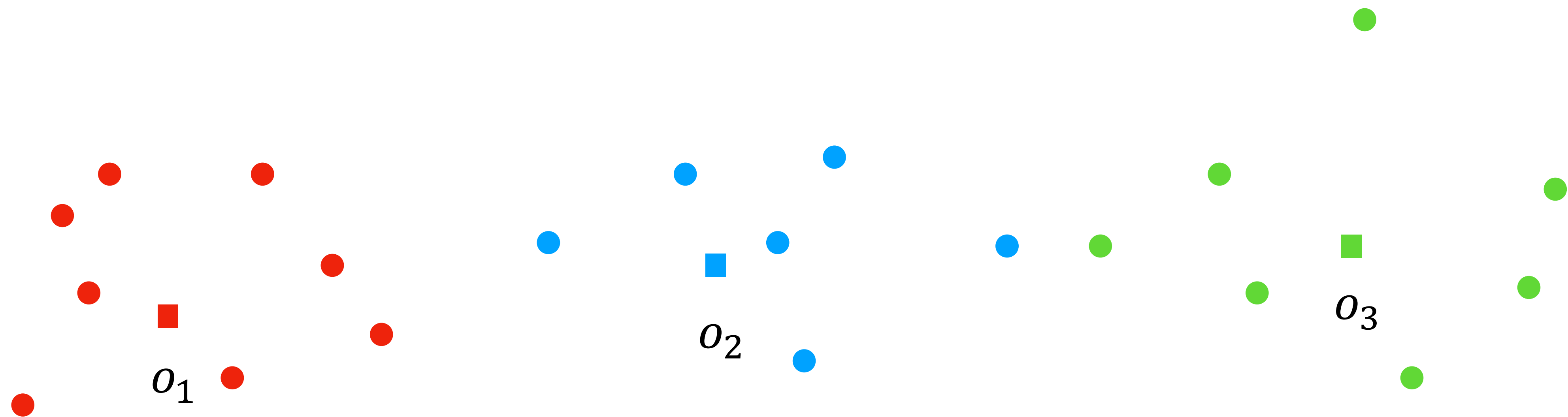
- Idea based on EPAS framework of Abbasi et al. [FOCS'23], **Unified-EPAS**
 - $(1 + \epsilon)$ -approximation running in time $FPT(k, \epsilon)$
 - for many clustering problems
 - under any metric space that has bounded (algorithmic) scatter dimension
 - in a unified manner

Unified-EPAS: Basic Idea

Consider the clustering corresponding to an **optimal solution** O

For each cluster $j \in [k]$, we maintain a **cluster constraint** Q_j

Each Q_j is a **sequence of pairs** (p, r_p) , where $p \in \text{Cluster } j$ and $r_p \leq d(p, O)$

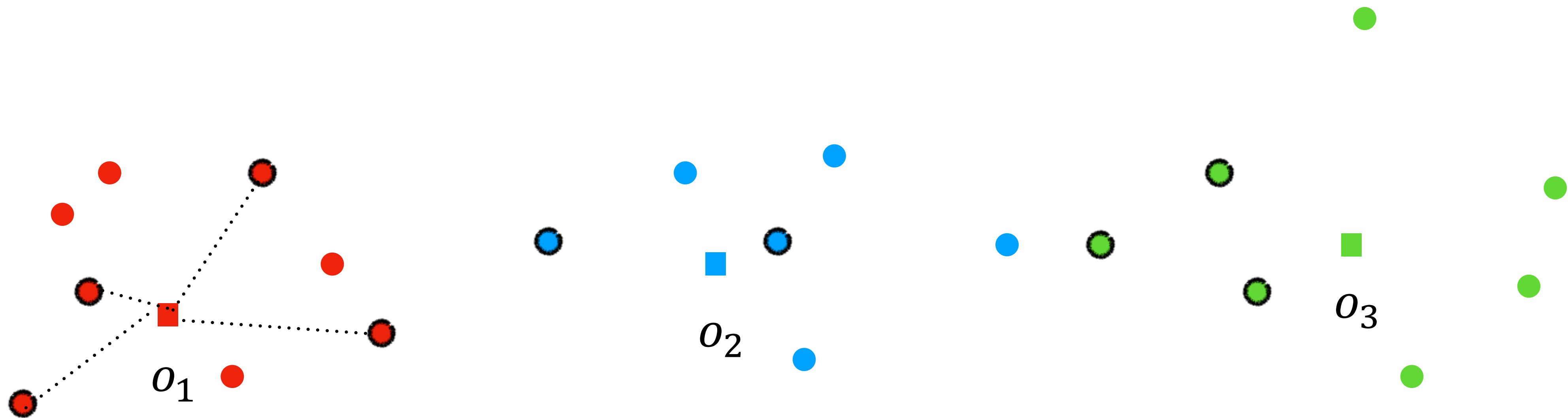


Unified-EPAS: Basic Idea

Consider the clustering corresponding to an **optimal solution** O

For each cluster $j \in [k]$, we maintain a **cluster constraint** Q_j

Each Q_j is a **sequence of pairs** (p, r_p) , where $p \in \text{Cluster } j$ and $r_p \leq d(p, O)$



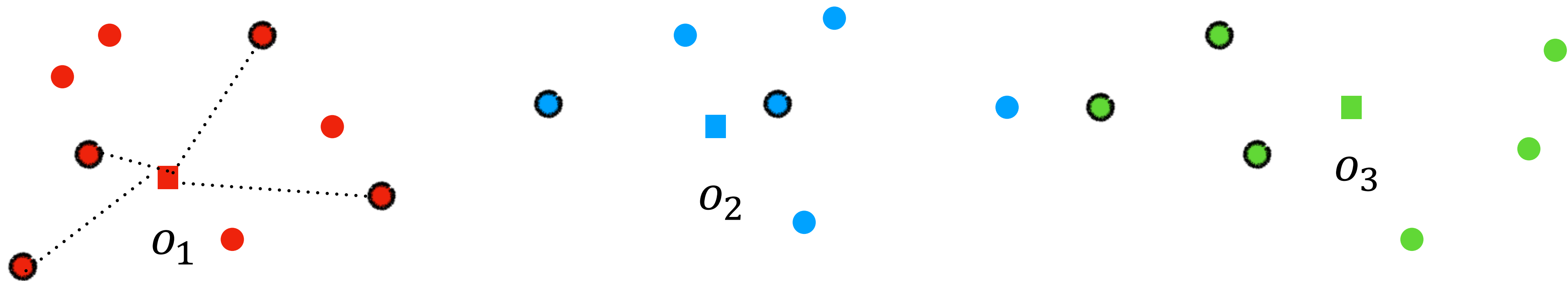
Unified-EPAS: Basic Idea

Consider the clustering corresponding to an **optimal solution** O

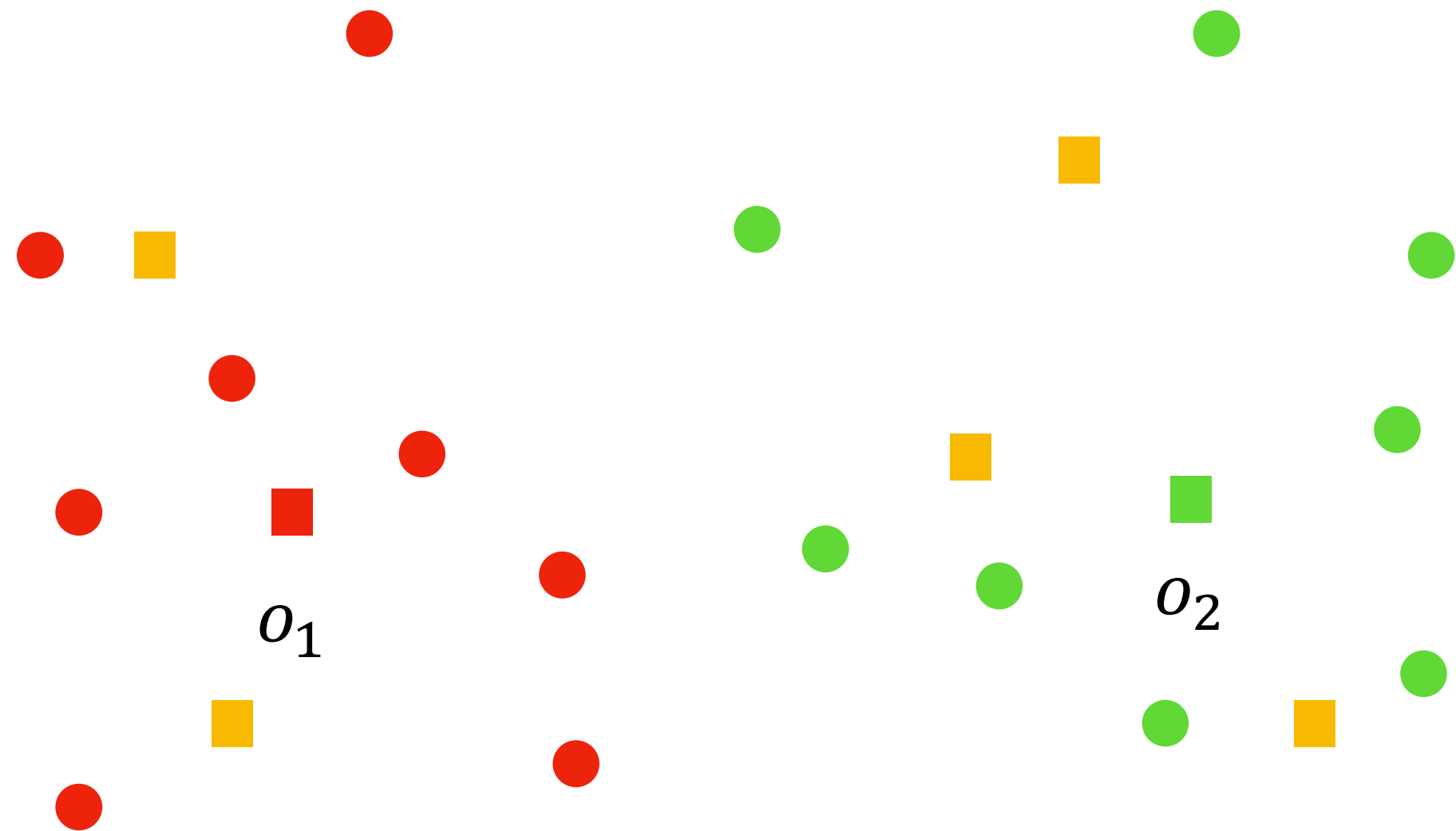
For each cluster $j \in [k]$, we maintain a **cluster constraint** Q_j

Each Q_j is a **sequence of pairs** (p, r_p) , where $p \in \text{Cluster } j$ and $r_p \leq d(p, O)$

Find $X = (x_1, \dots, x_k)$ such that x_i satisfies all requests in Q_i

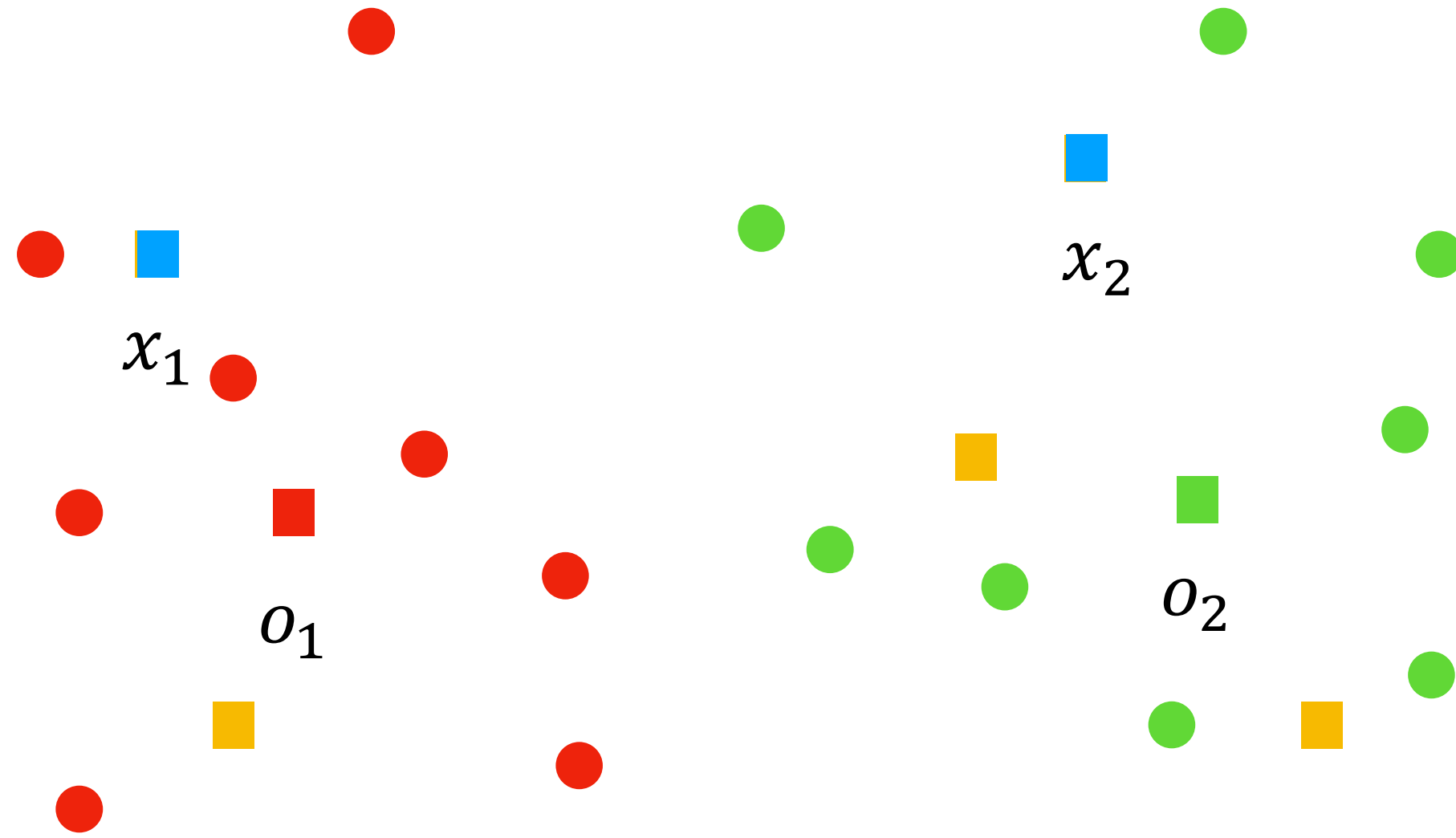


Unified-EPAS

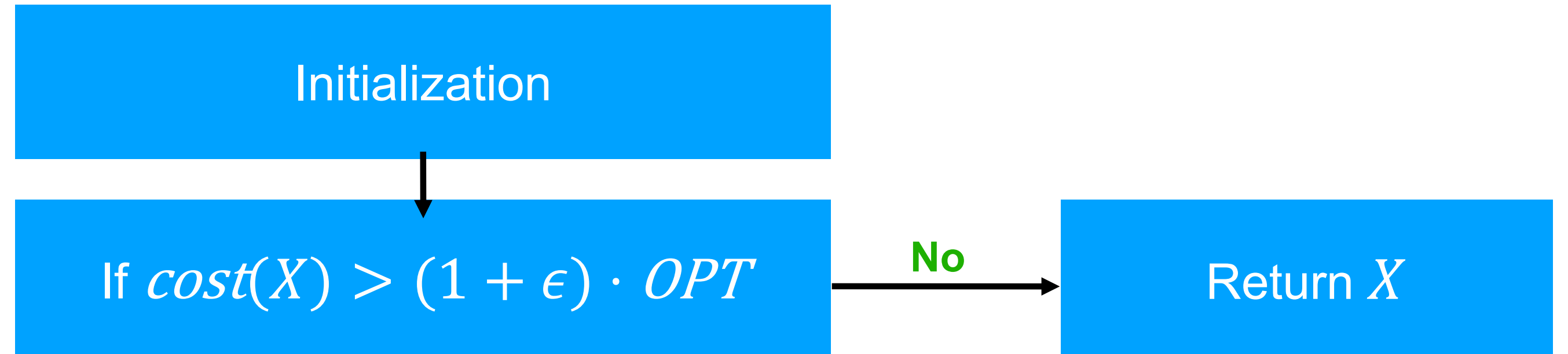
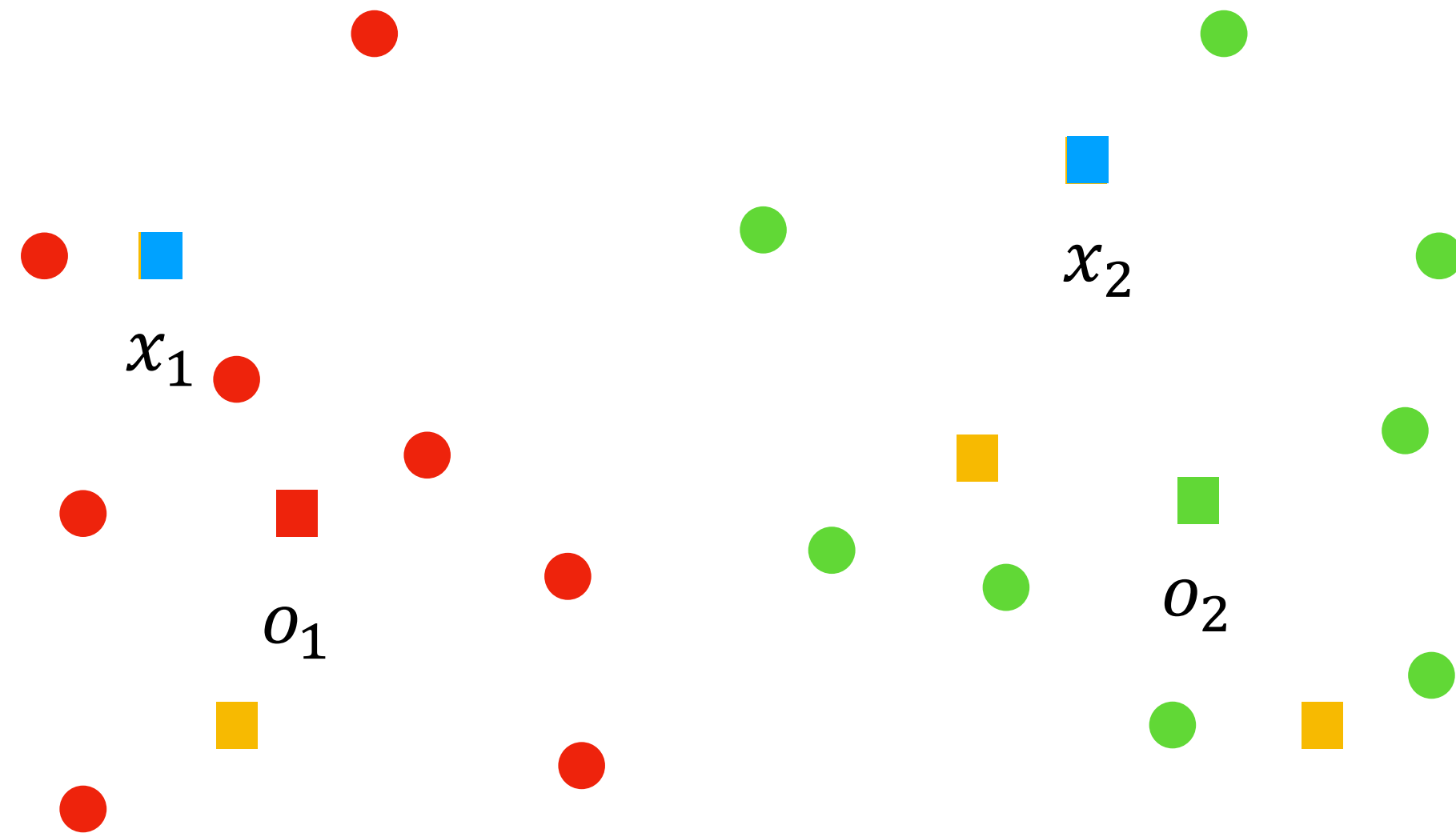


Unified-EPAS

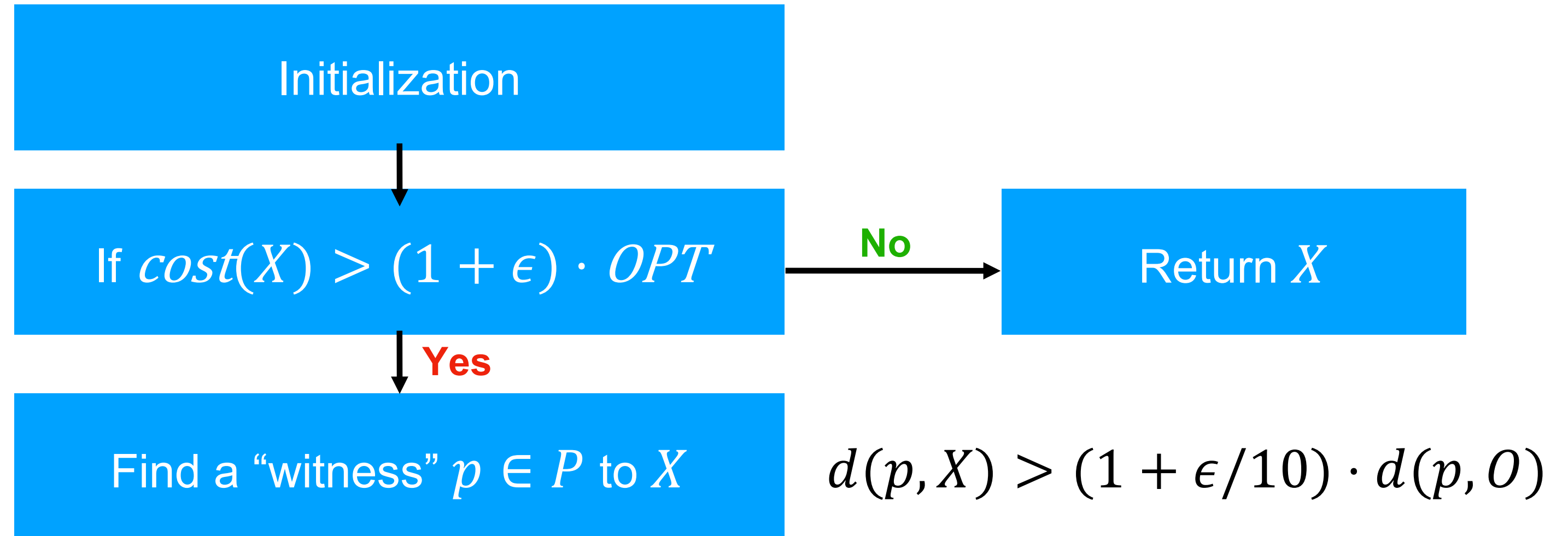
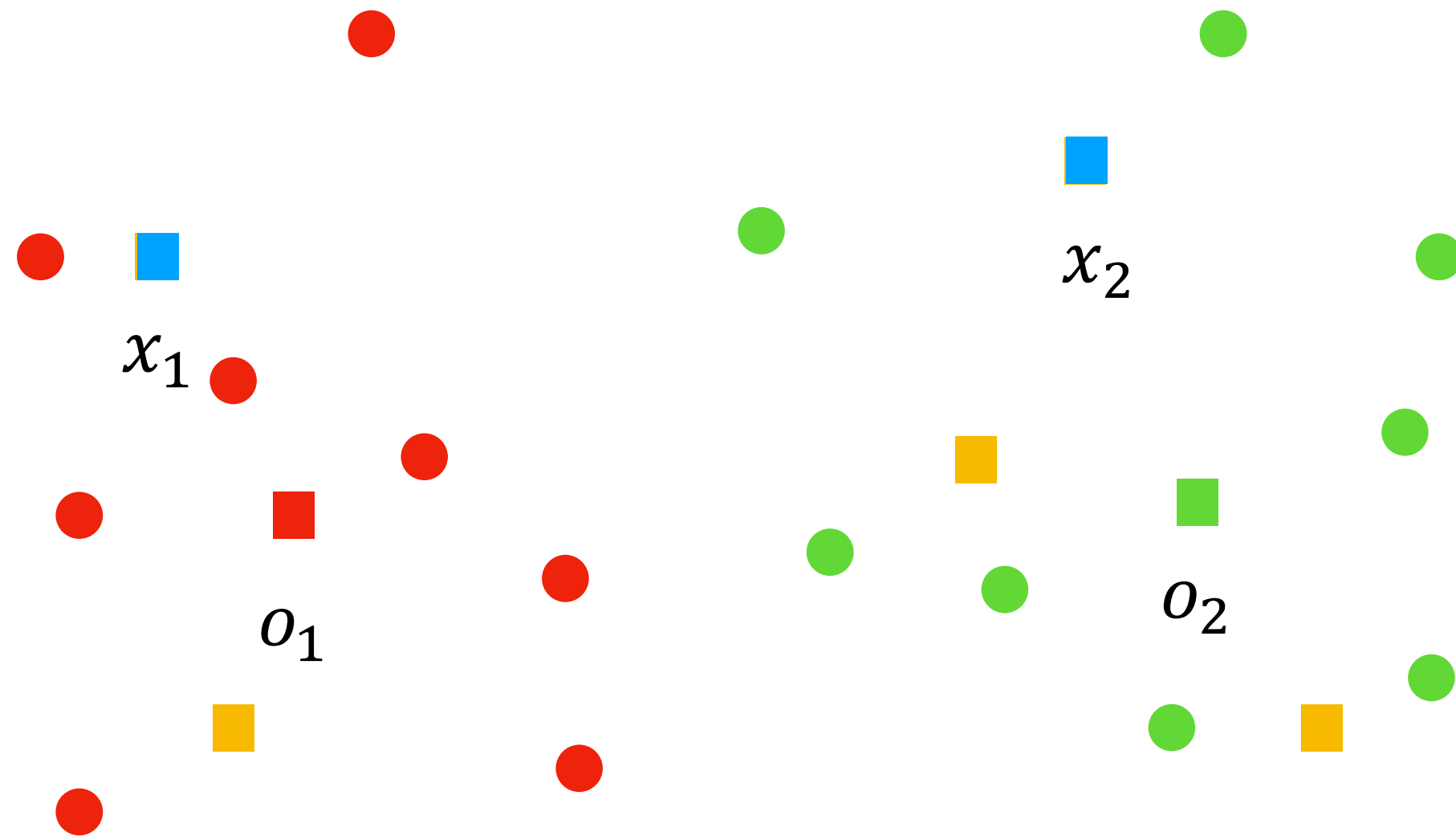
Initialization



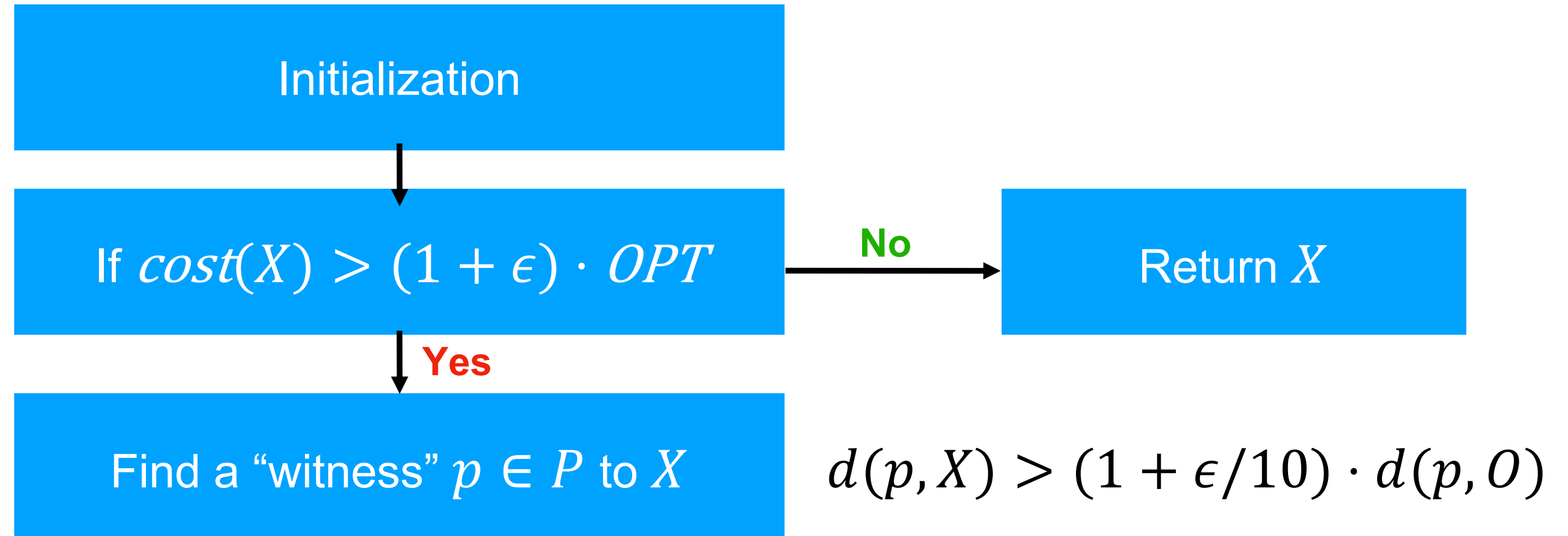
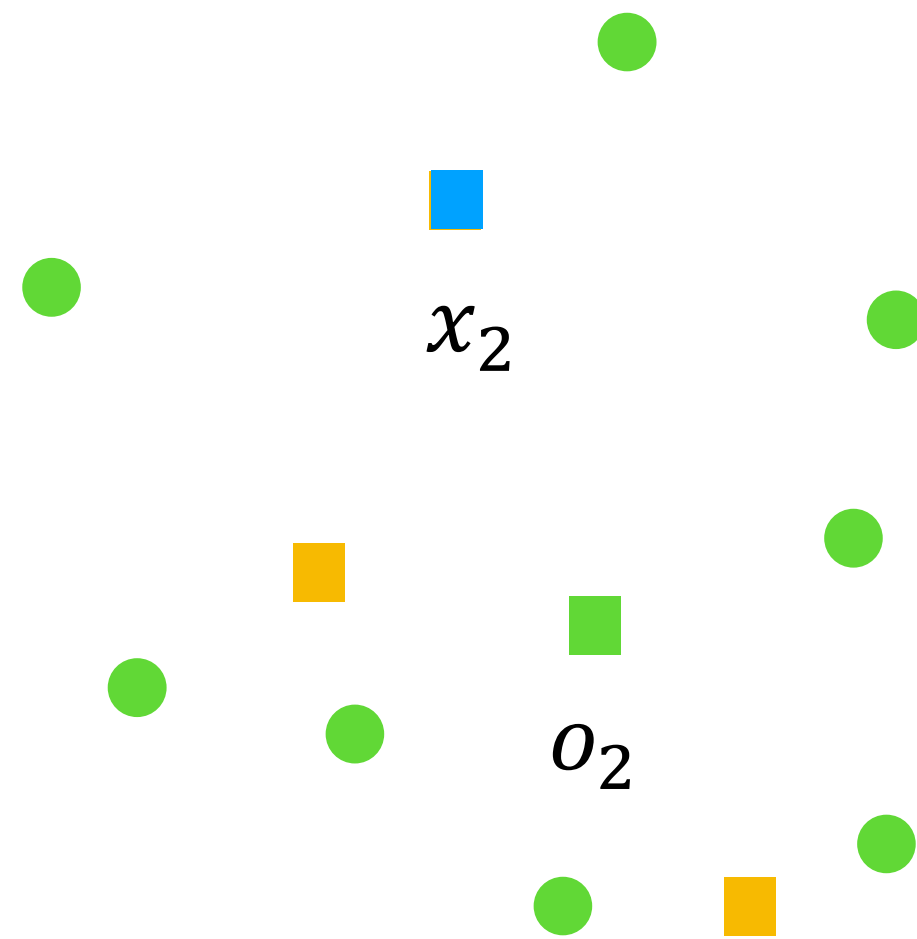
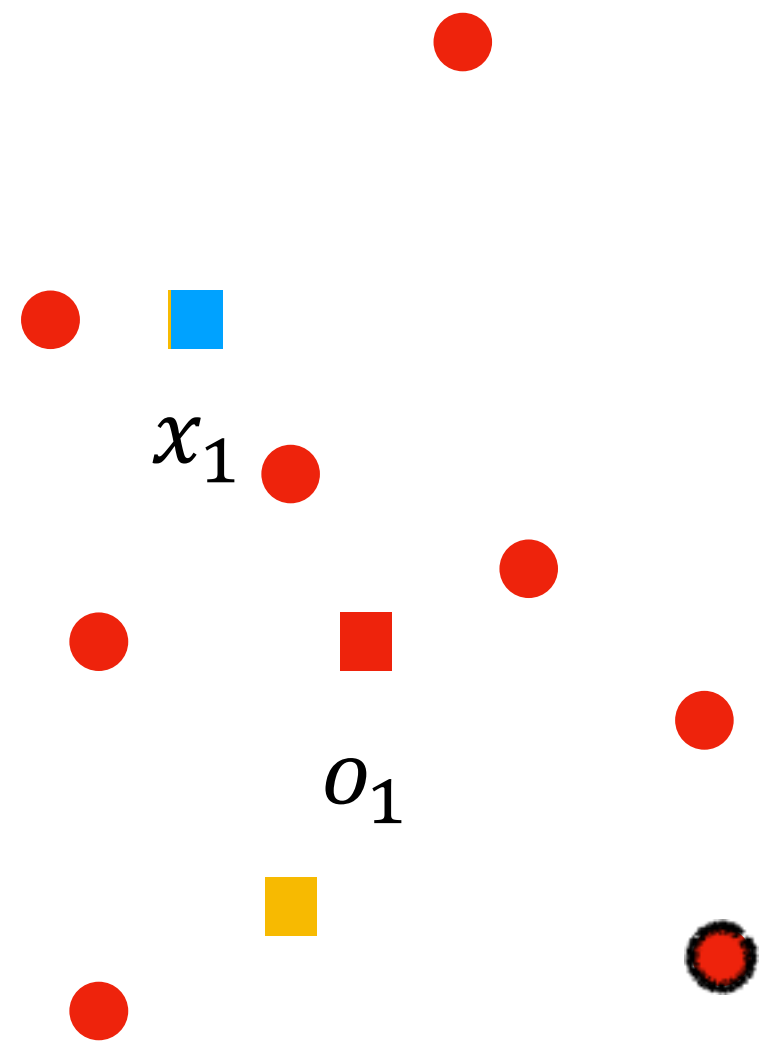
Unified-EPAS



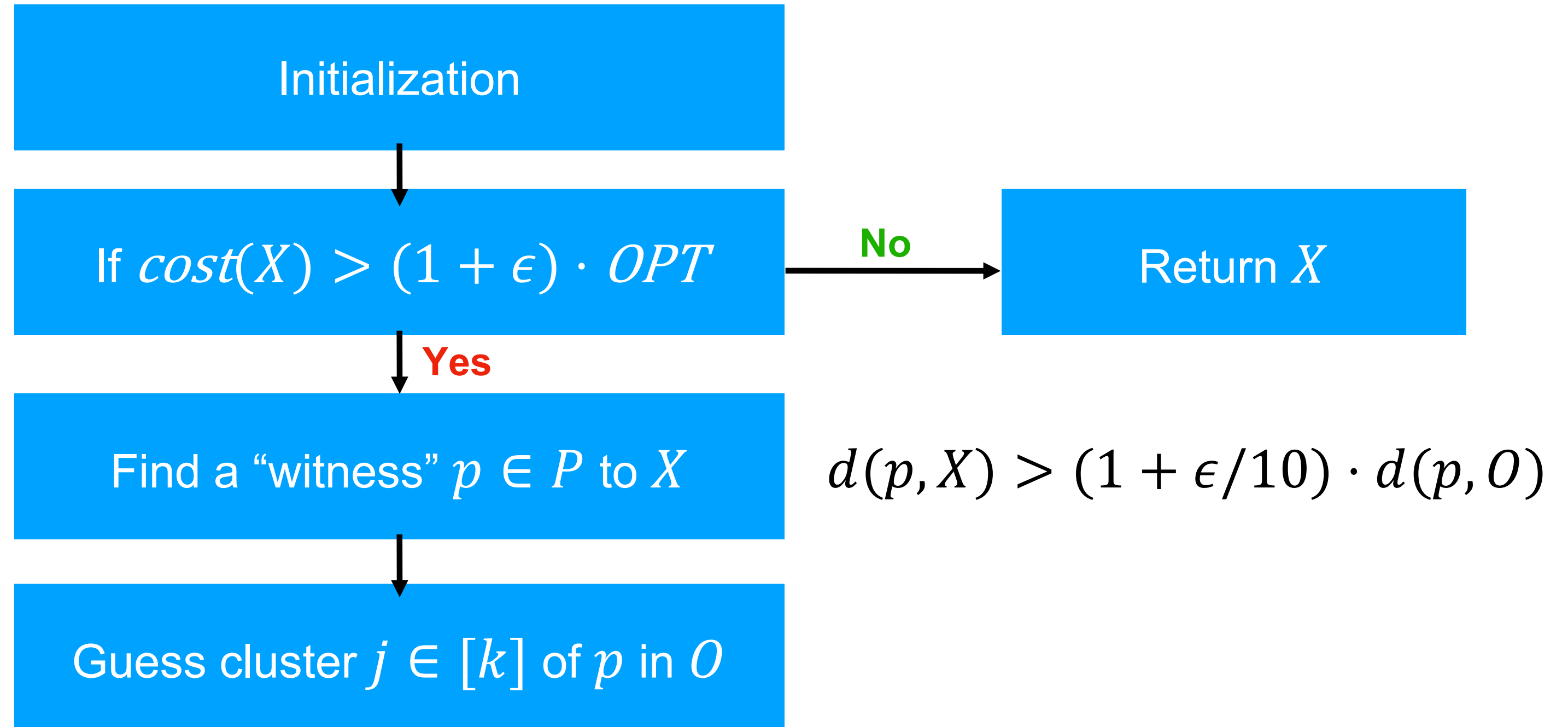
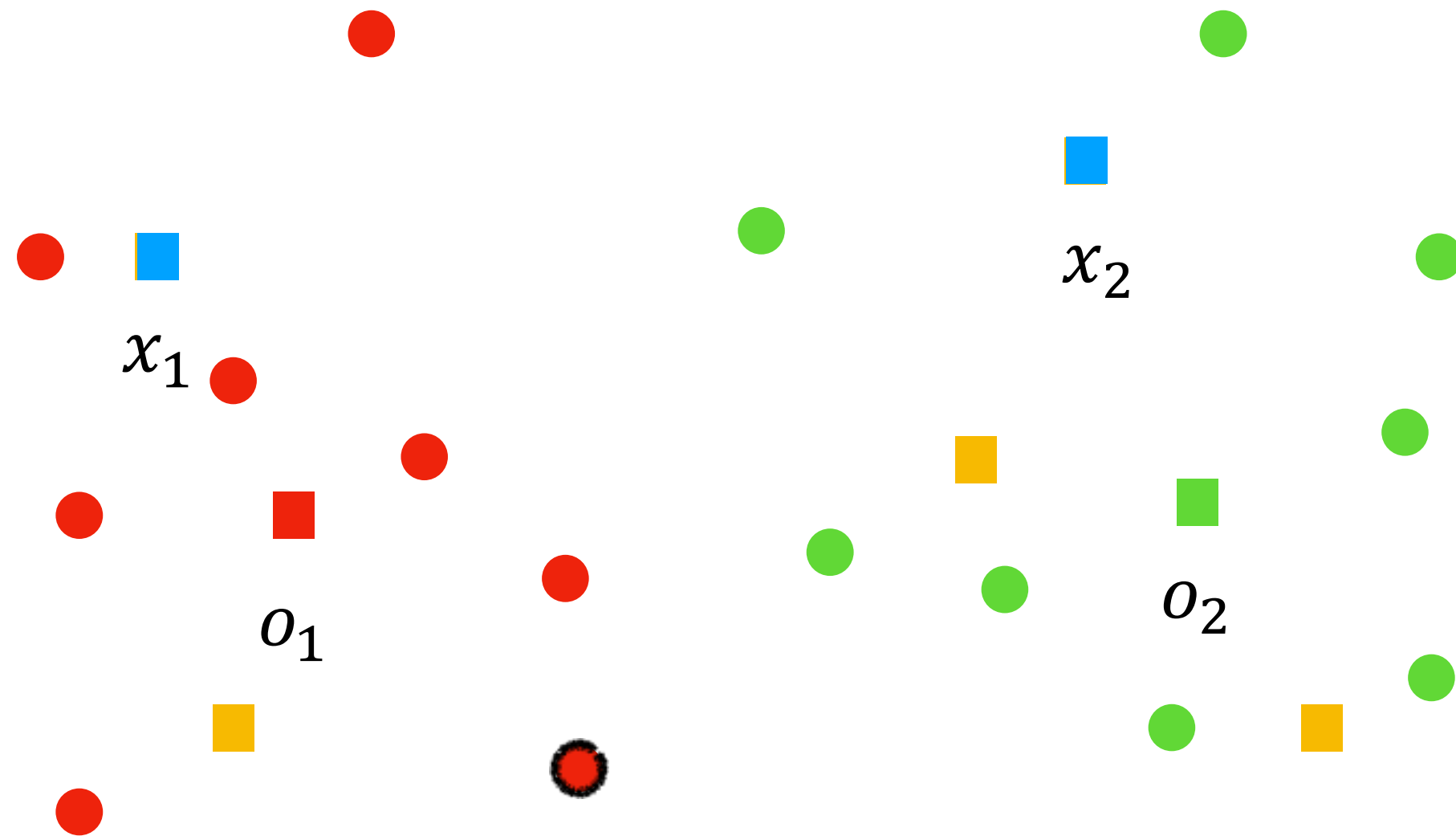
Unified-EPAS



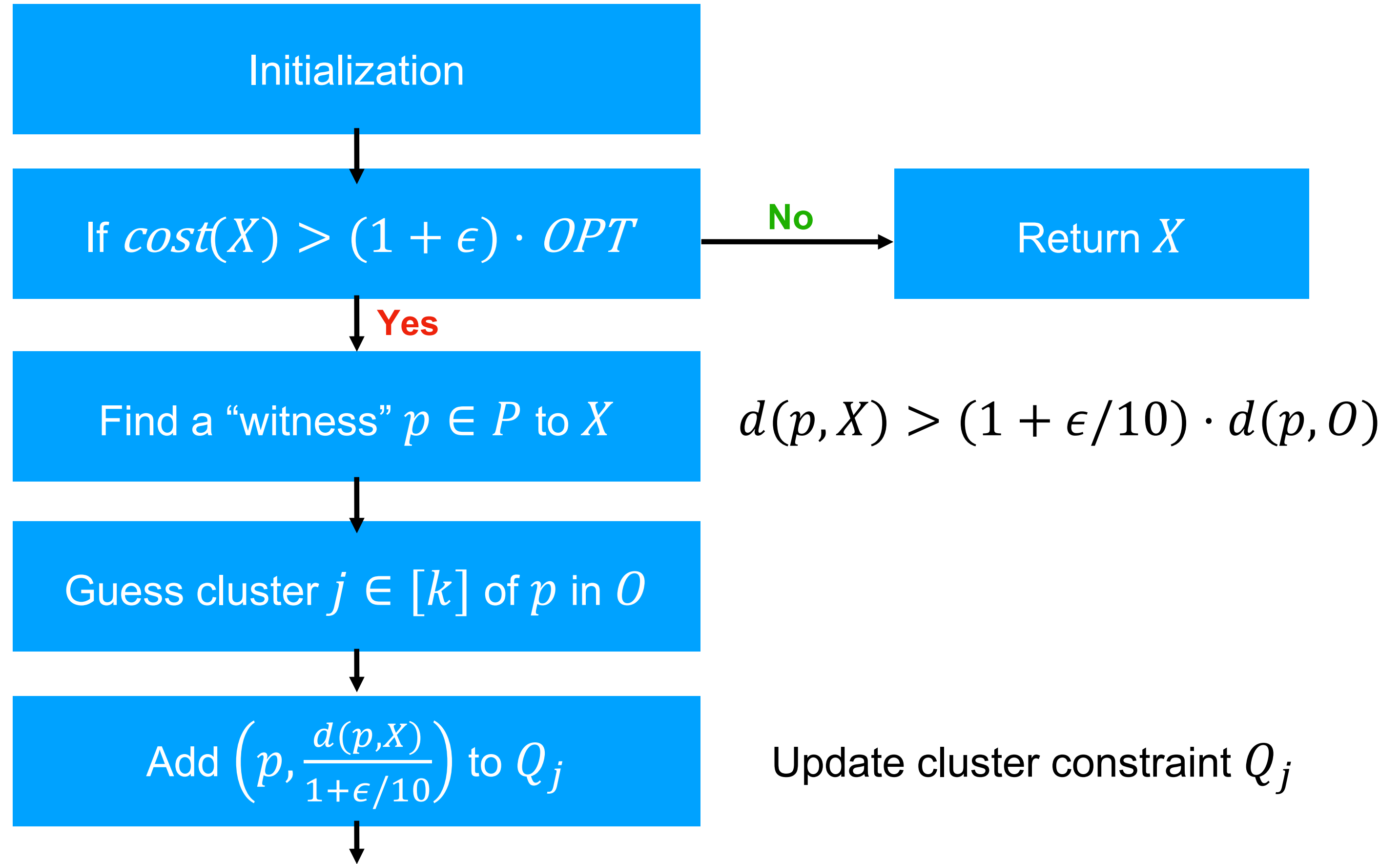
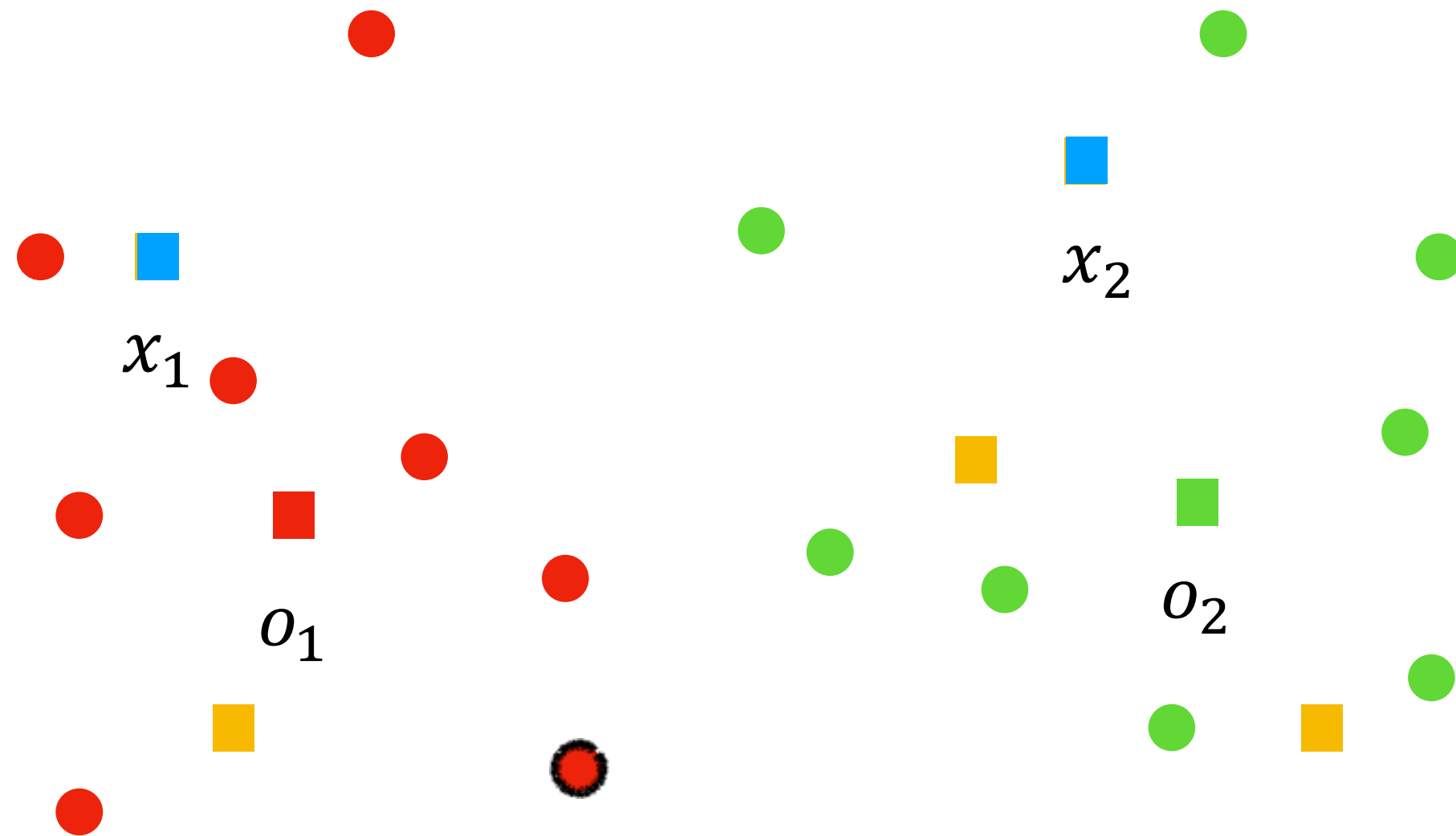
Unified-EPAS



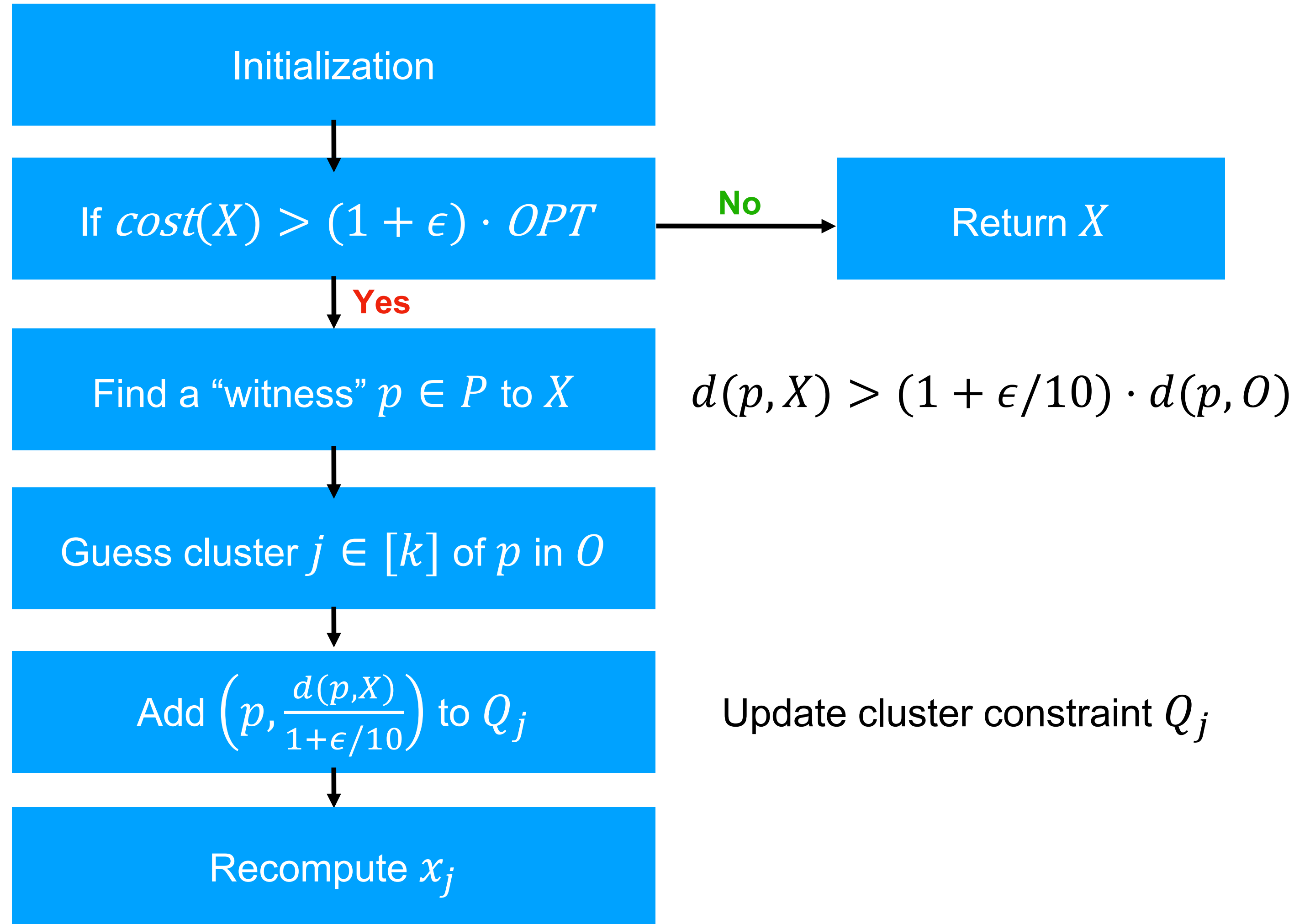
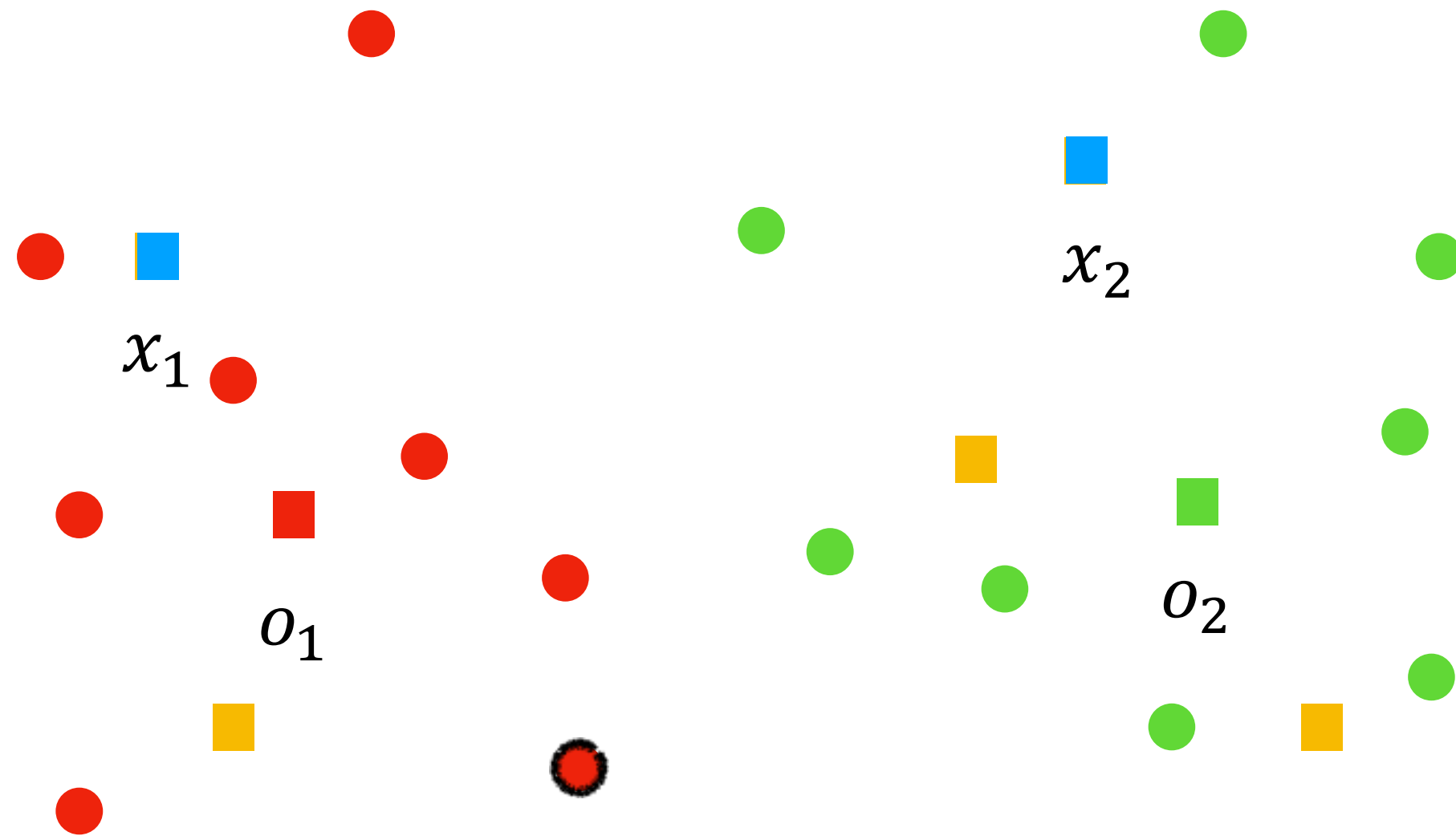
Unified-EPAS



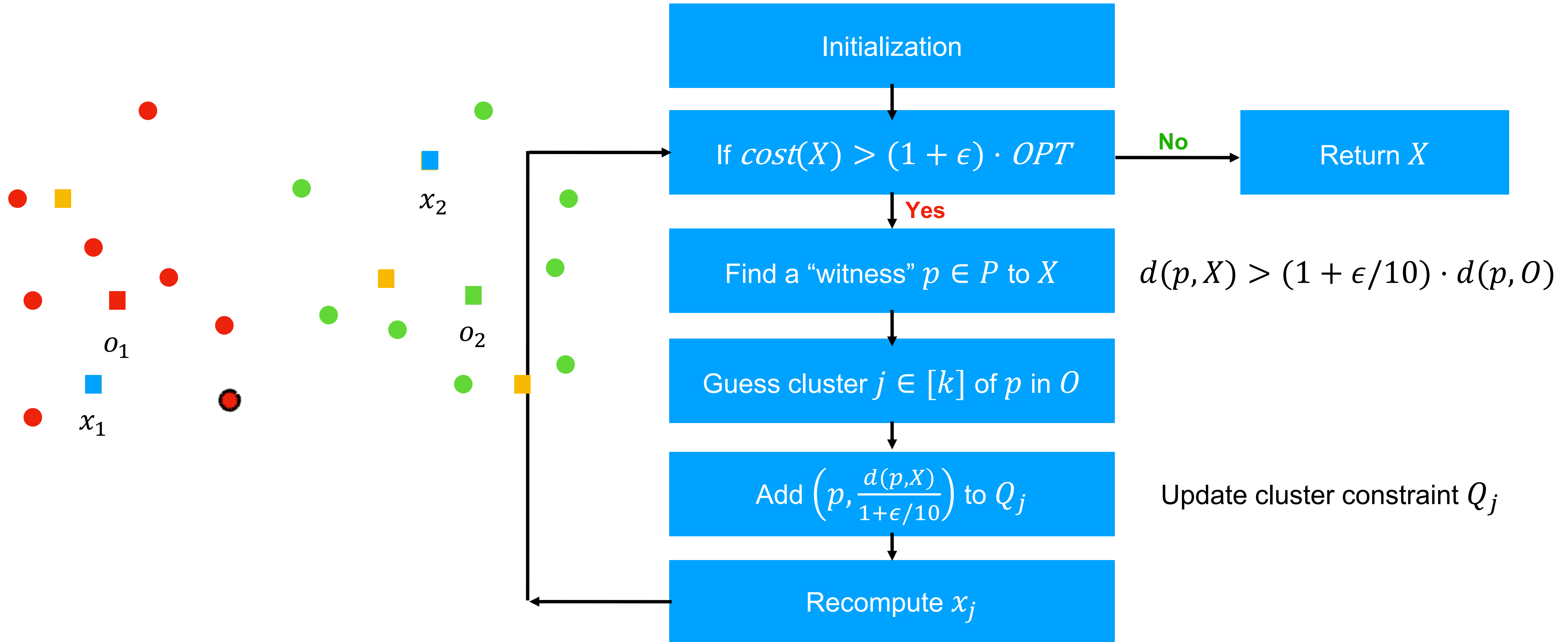
Unified-EPAS



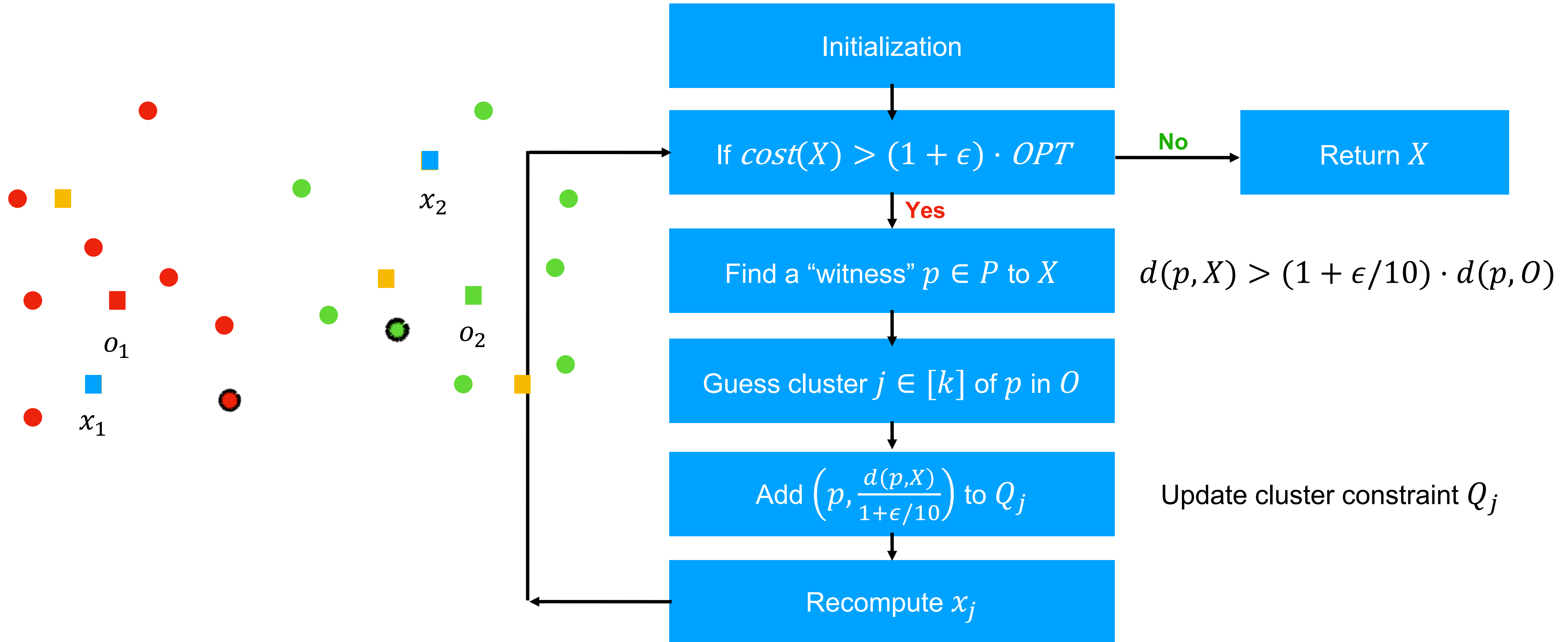
Unified-EPAS



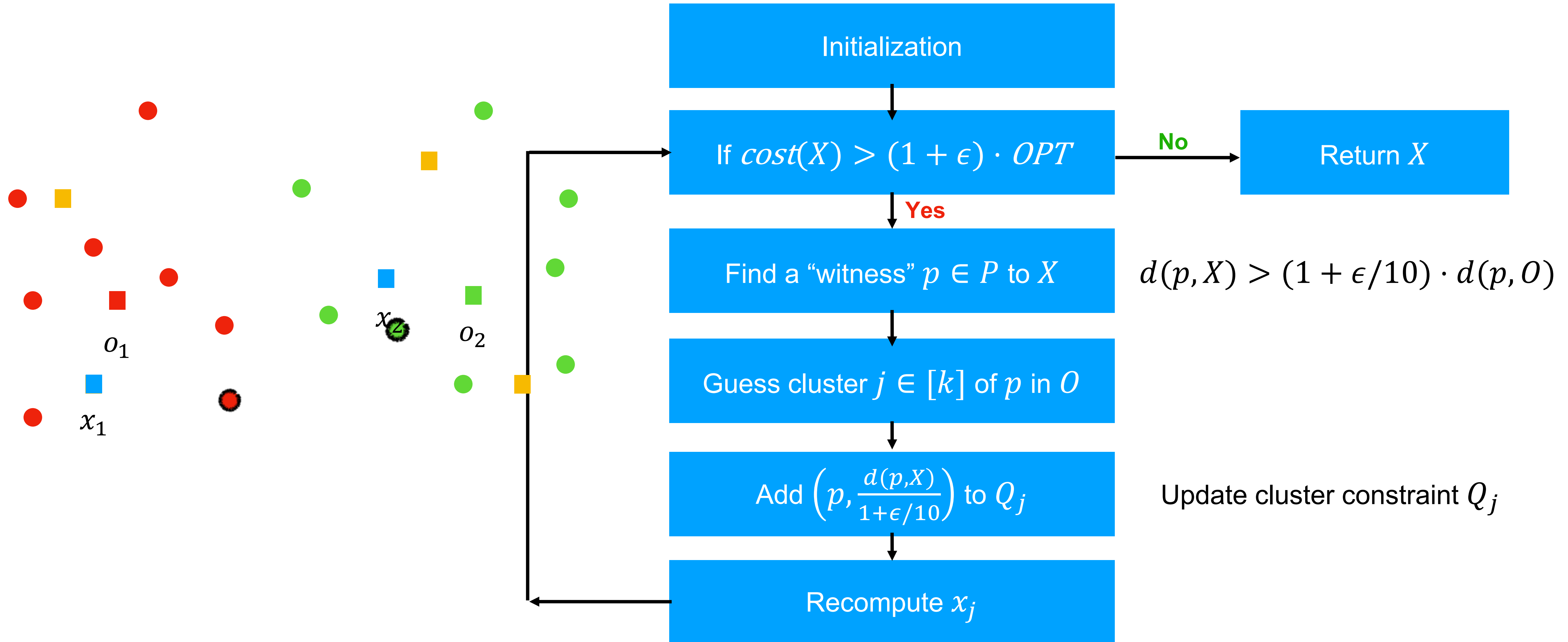
Unified-EPAS



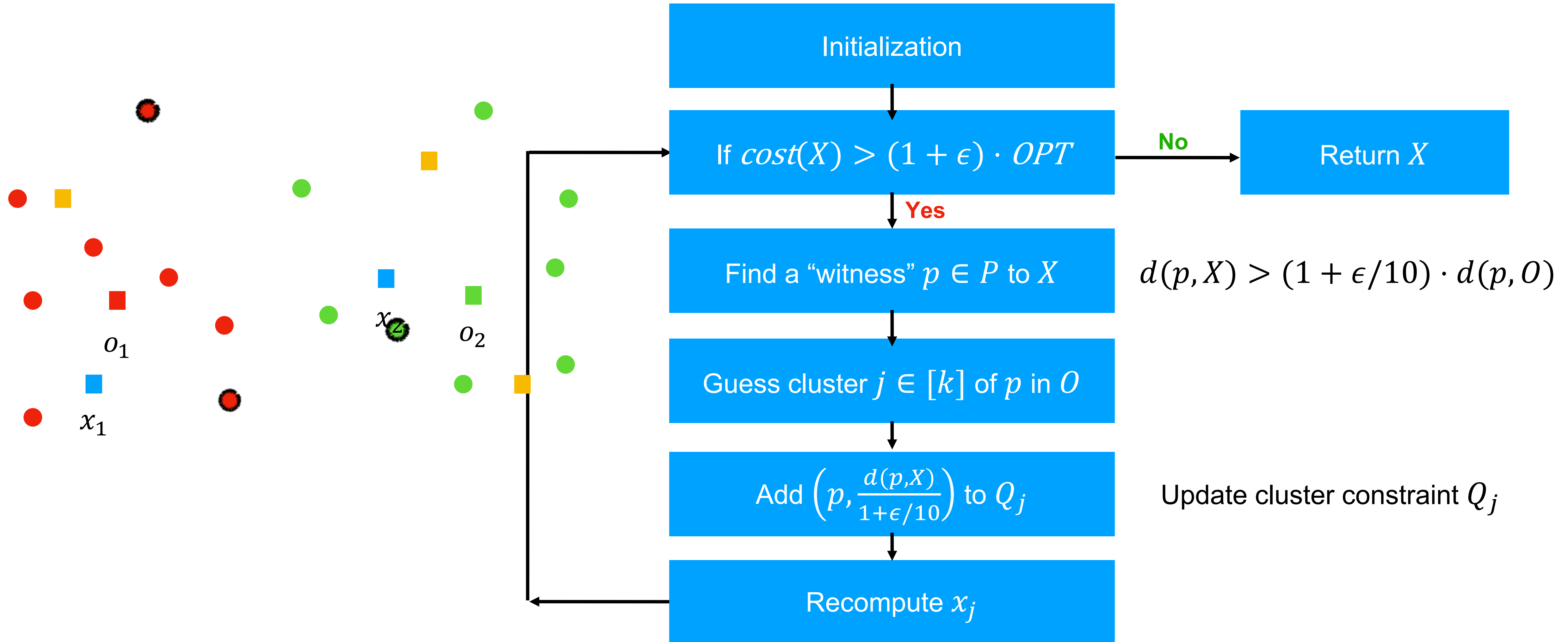
Unified-EPAS



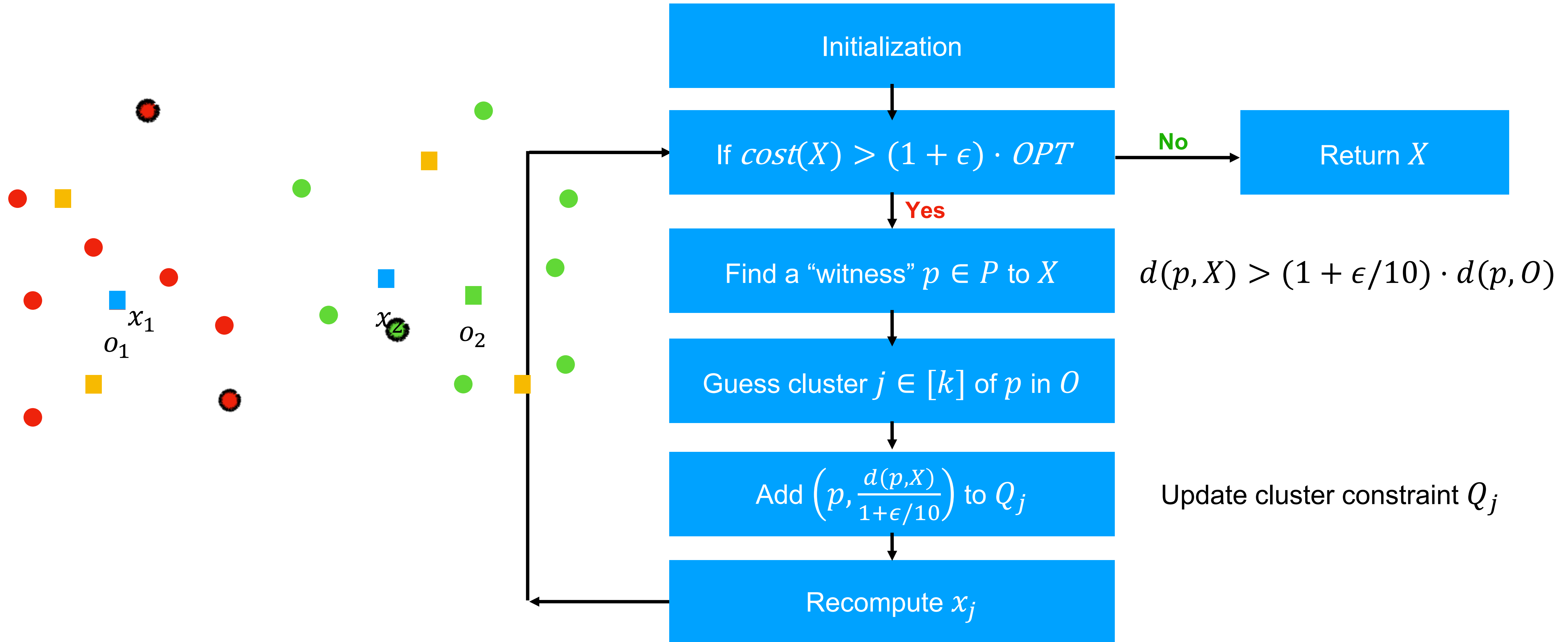
Unified-EPAS



Unified-EPAS



Unified-EPAS



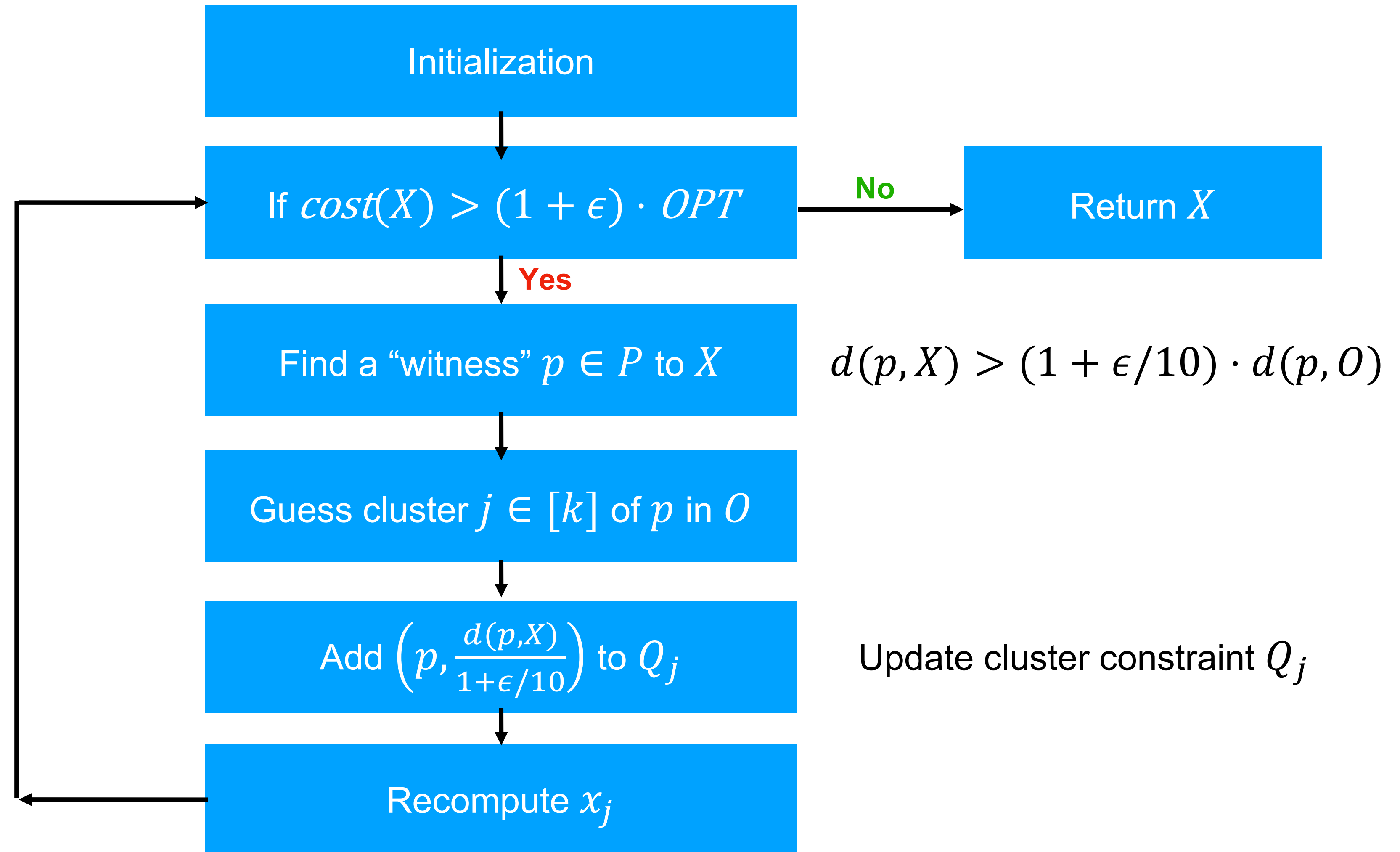
Unified-EPAS

Lemma 1

If $cost(X) > (1 + \epsilon) \cdot OPT$, then we can find a witness to X w.h.p.

Question:

Bound #iterations?



Unified-EPAS

Lemma 1

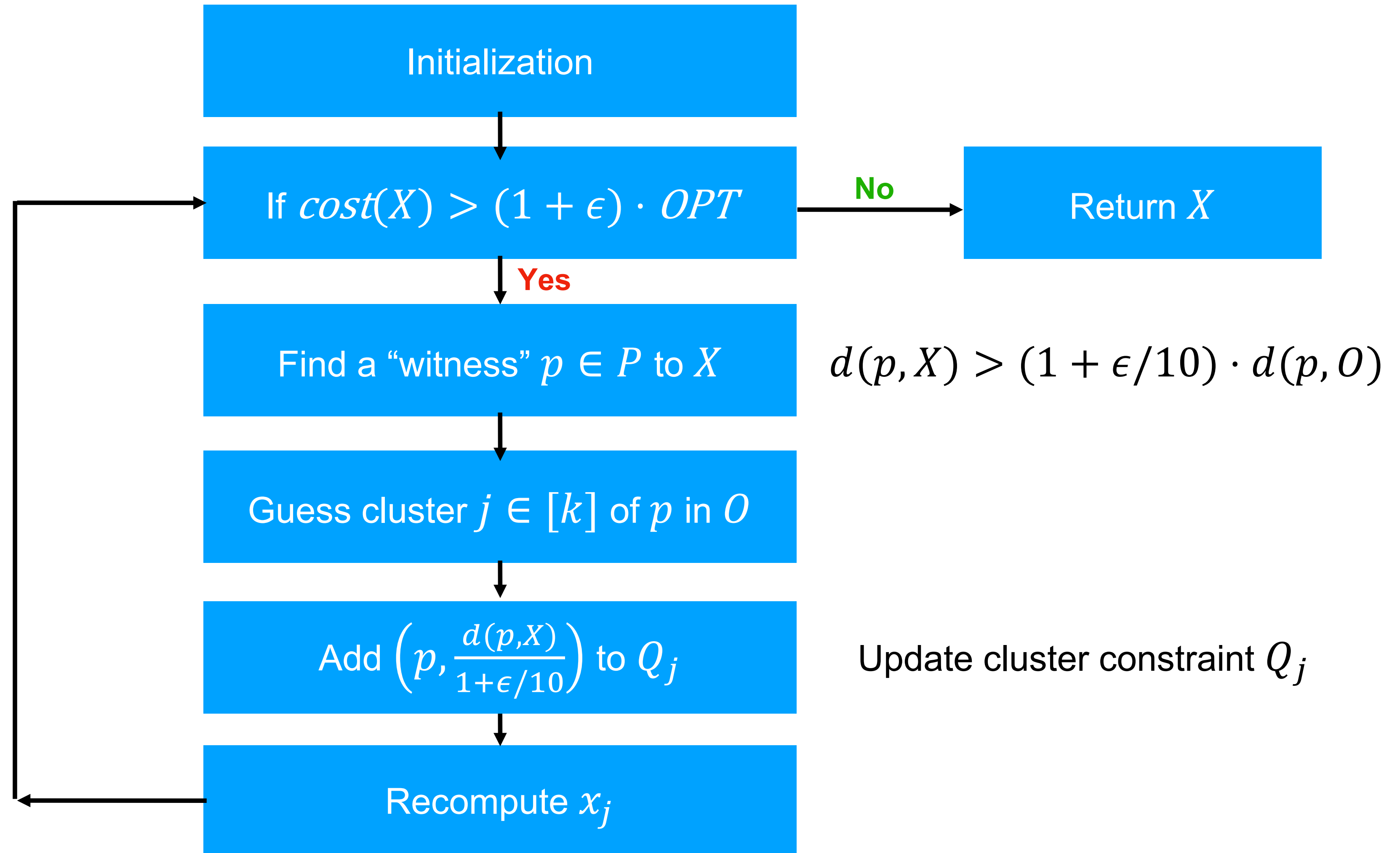
If $cost(X) > (1 + \epsilon) \cdot OPT$, then we can find a witness to X w.h.p.

Question:

Bound #iterations?

ϵ -scatter dimension

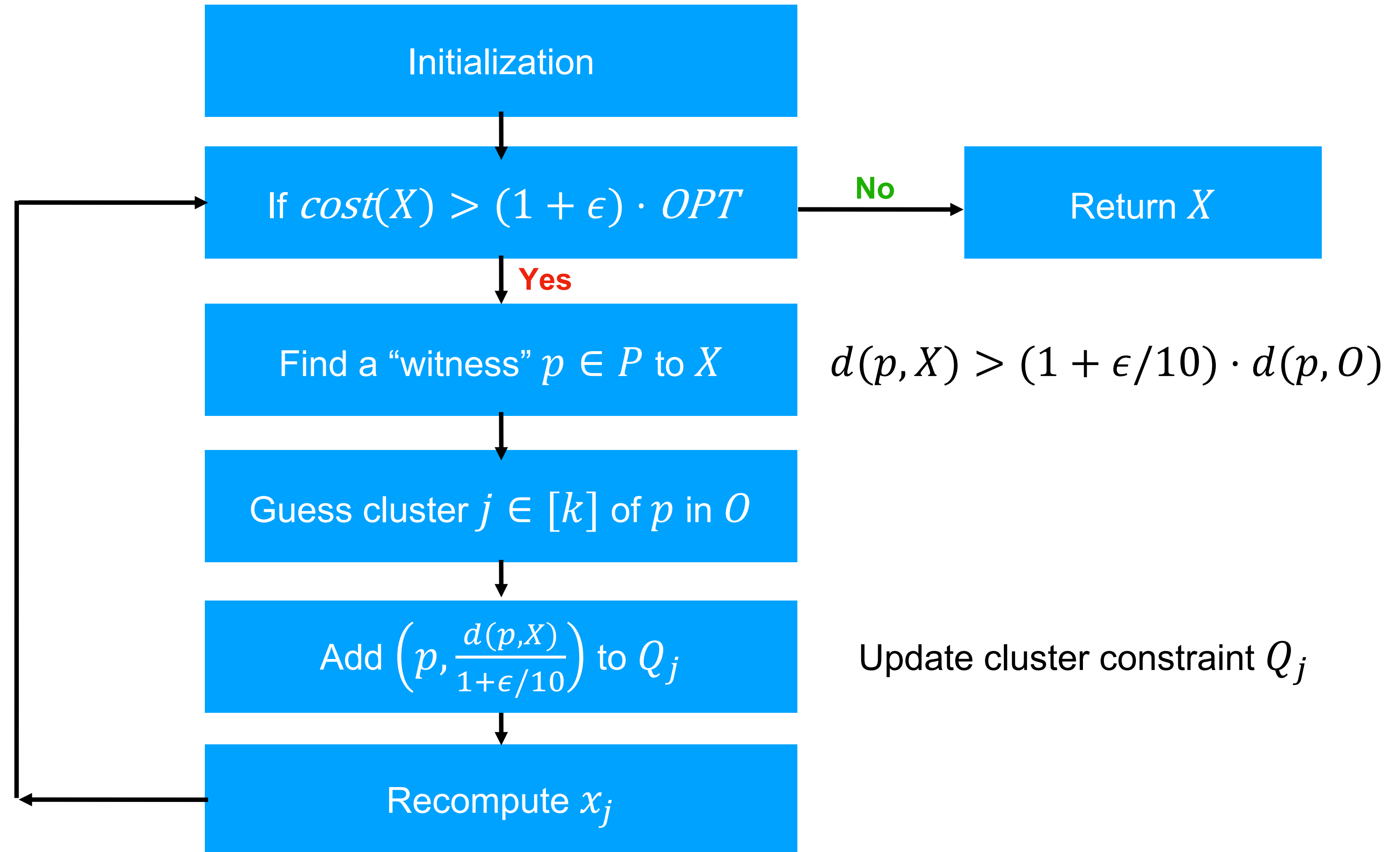
Upper Bounds



Unified-EPAS

Bound #iterations?

ϵ -scatter dimension

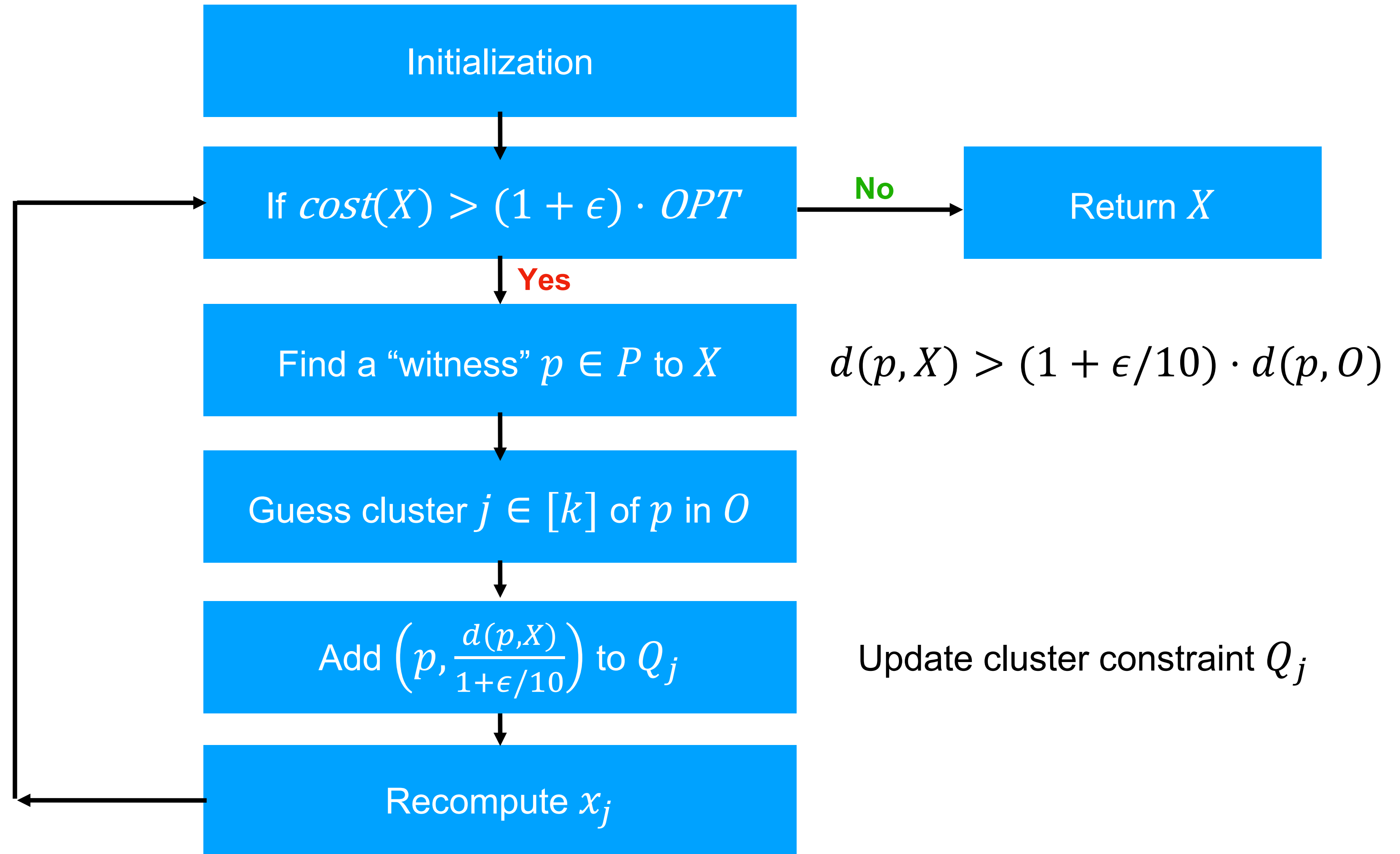
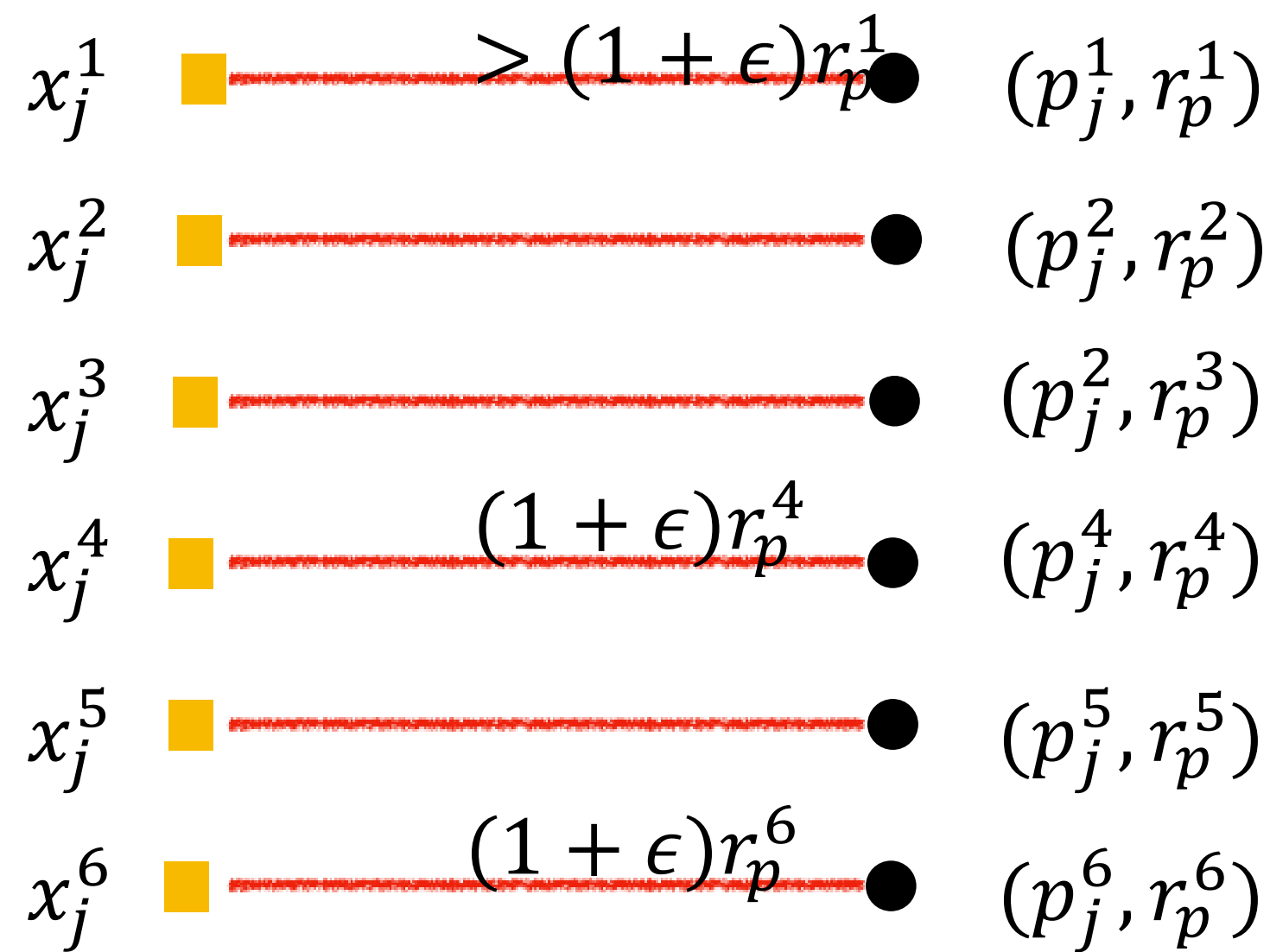


Unified-EPAS

Bound #iterations?

ϵ -scatter dimension

Fix Q_j

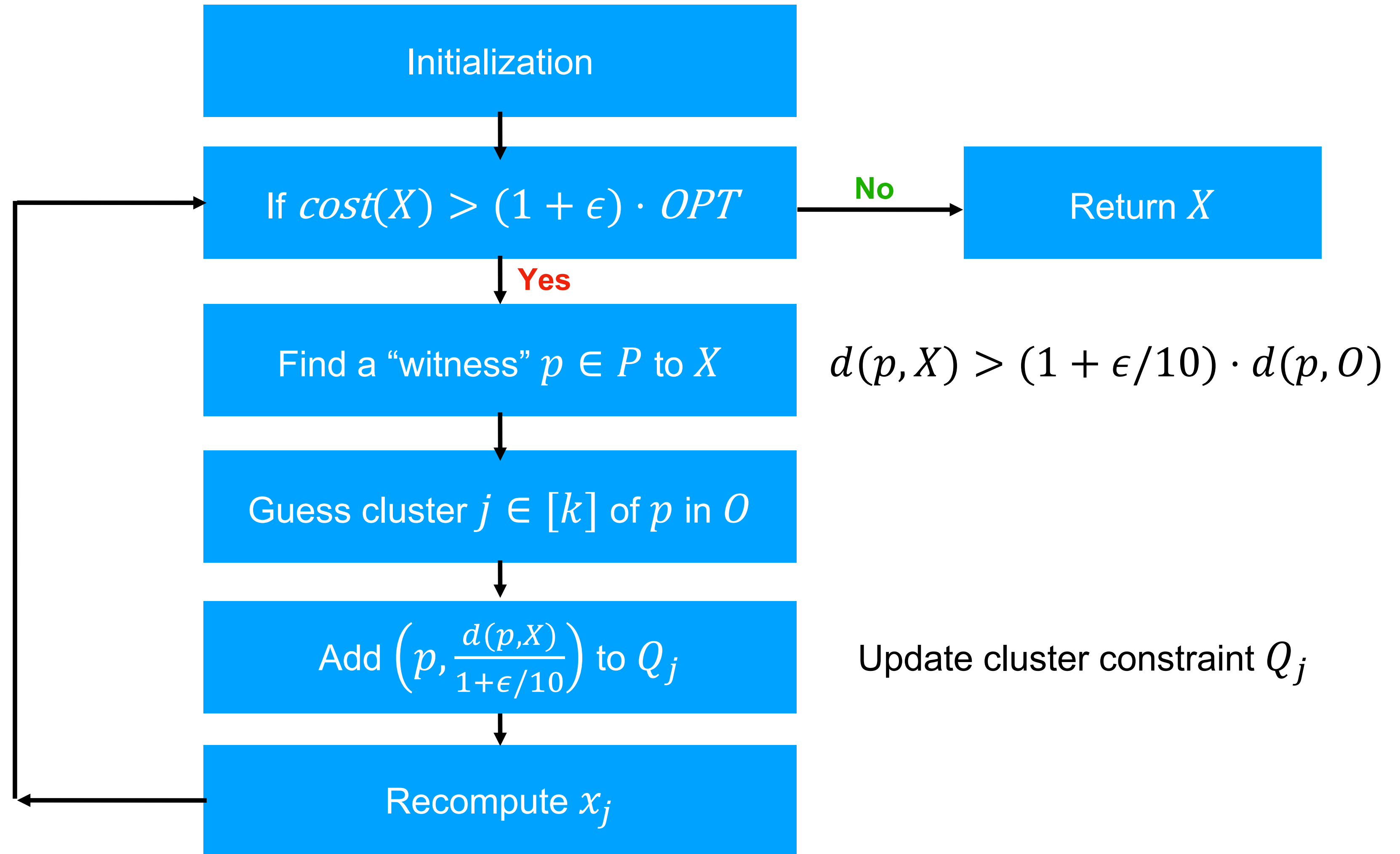
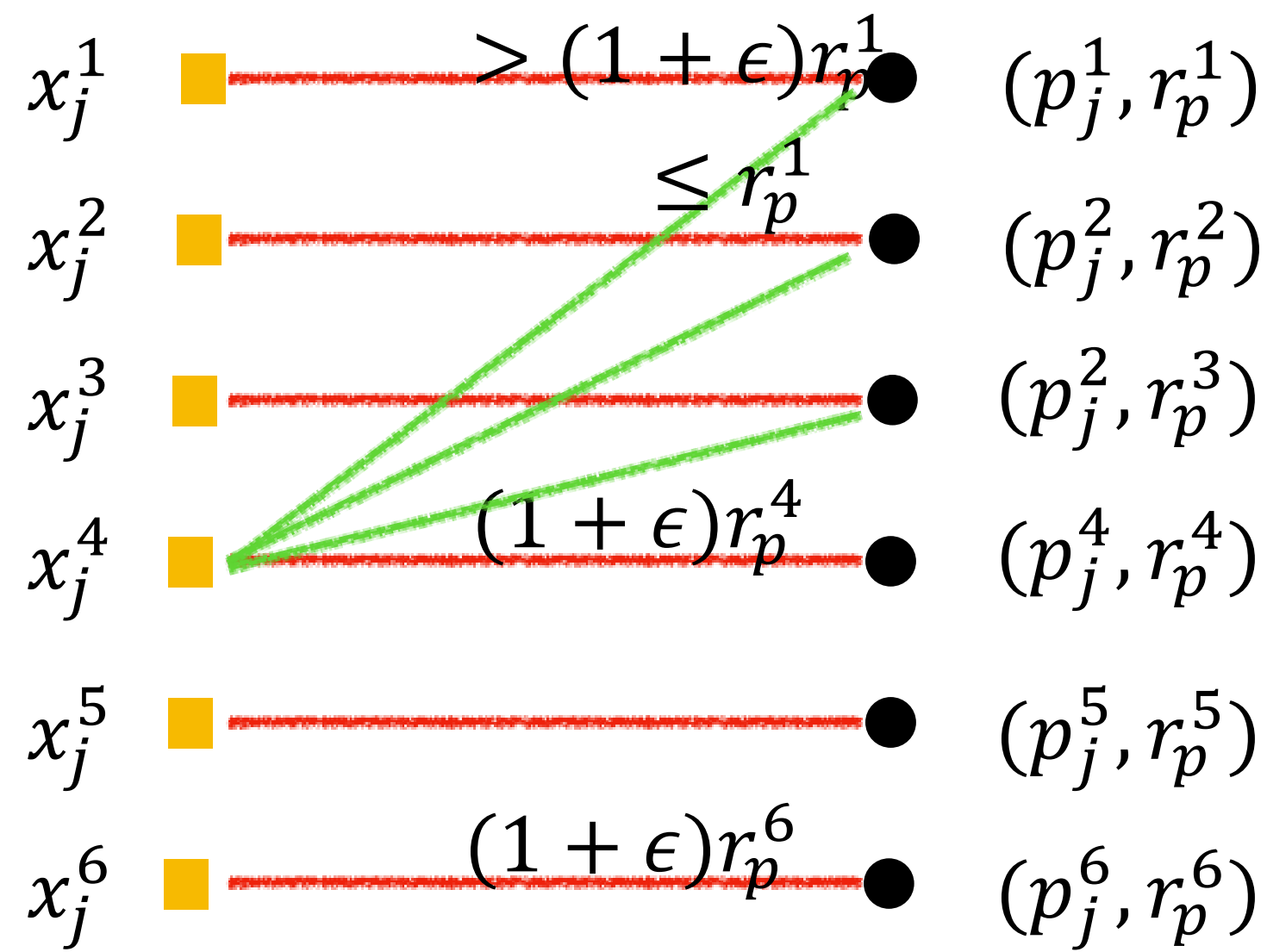


Unified-EPAS

Bound #iterations?

ϵ -scatter dimension

Fix Q_j

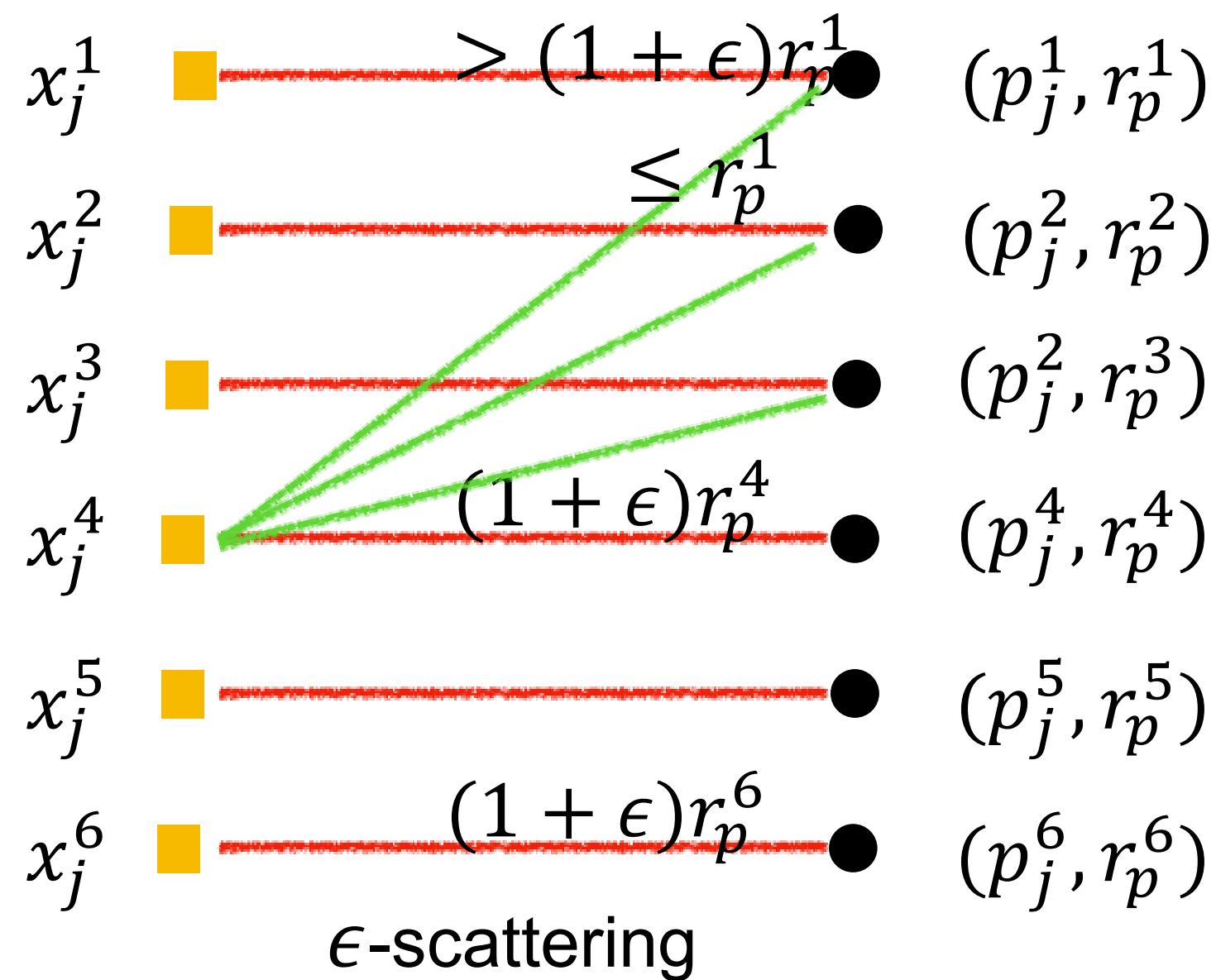


Unified-EPAS

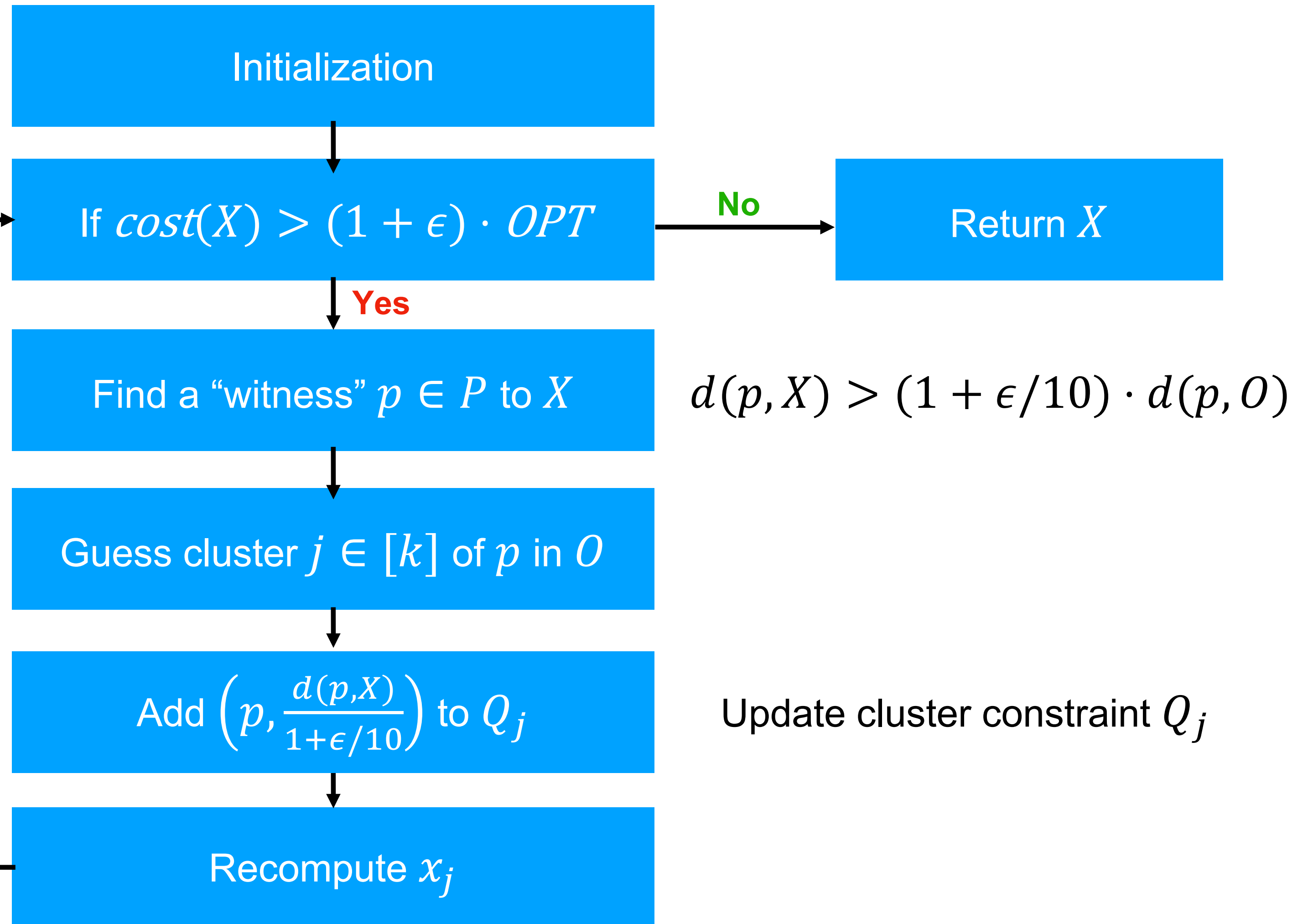
Bound #iterations?

ϵ -scatter dimension

Fix Q_j



ϵ -scatter dimension of a metric space is λ



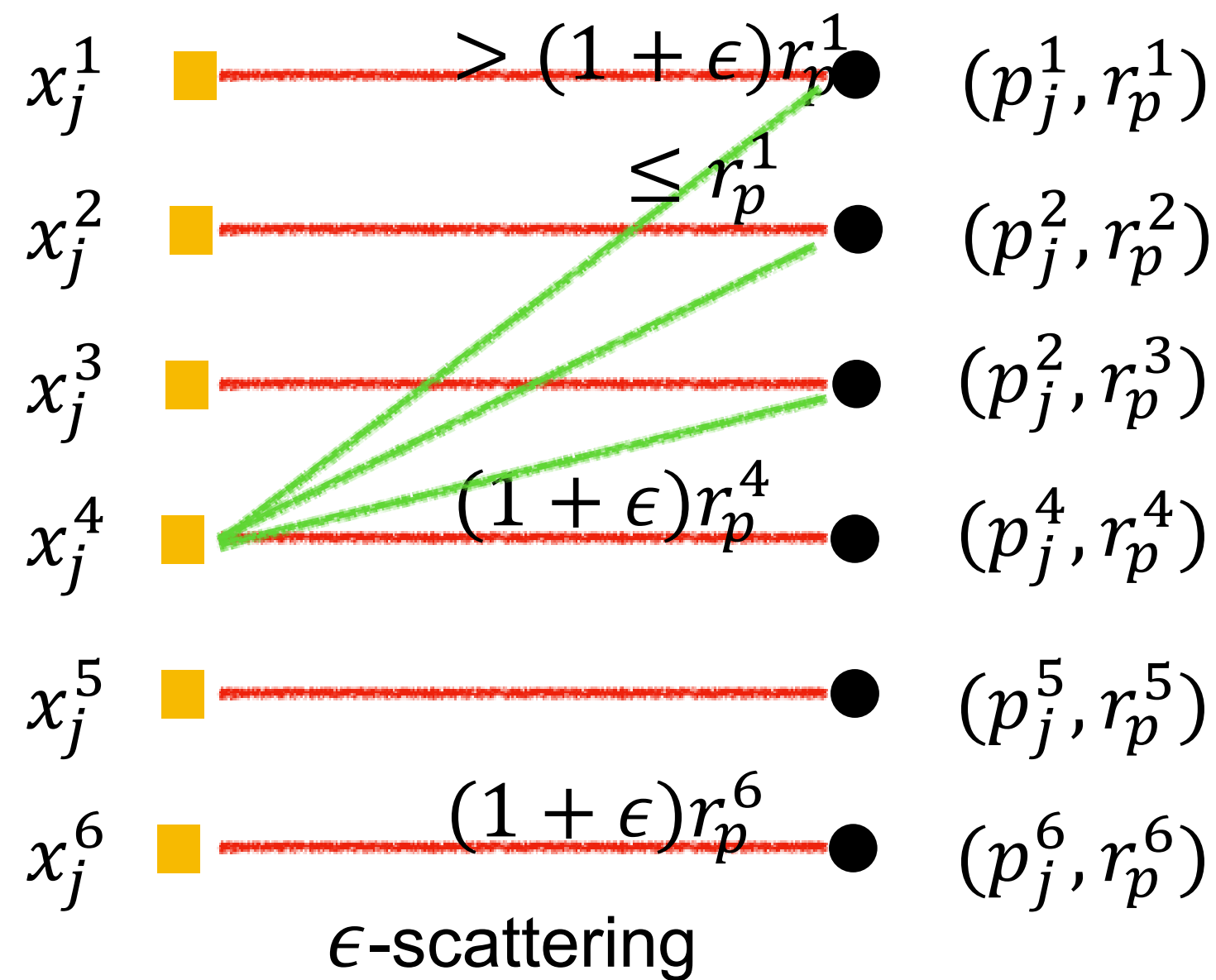
if any ϵ -scattering contains at most λ many triples with same radius

Unified-EPAS

Bound #iterations?

ϵ -scatter dimension

Fix Q_j



Initialization

If $cost(X) > (1 + \epsilon) \cdot OPT$

Return X

Find a "witness" $p \in P$ to X

$$d(p, X) > (1 + \epsilon/10) \cdot d(p, O)$$

Guess cluster $j \in [k]$ of p in O

Add $\left(p, \frac{d(p, X)}{1 + \epsilon/10}\right)$ to Q_j

Update cluster constraint Q_j

Recompute x_j

ϵ -scatter dimension of a metric space is λ



if radius aspect ratio is bounded, then the length is bounded

Unified-EPAS

Bound #iterations?

Upper Bounds

Initialization

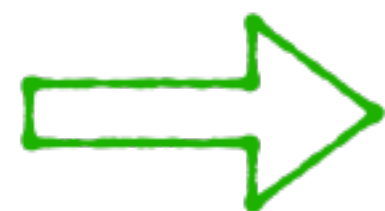
Compute Upper bounds

Initialize Cluster constraints Q_1, \dots, Q_k using upper bounds

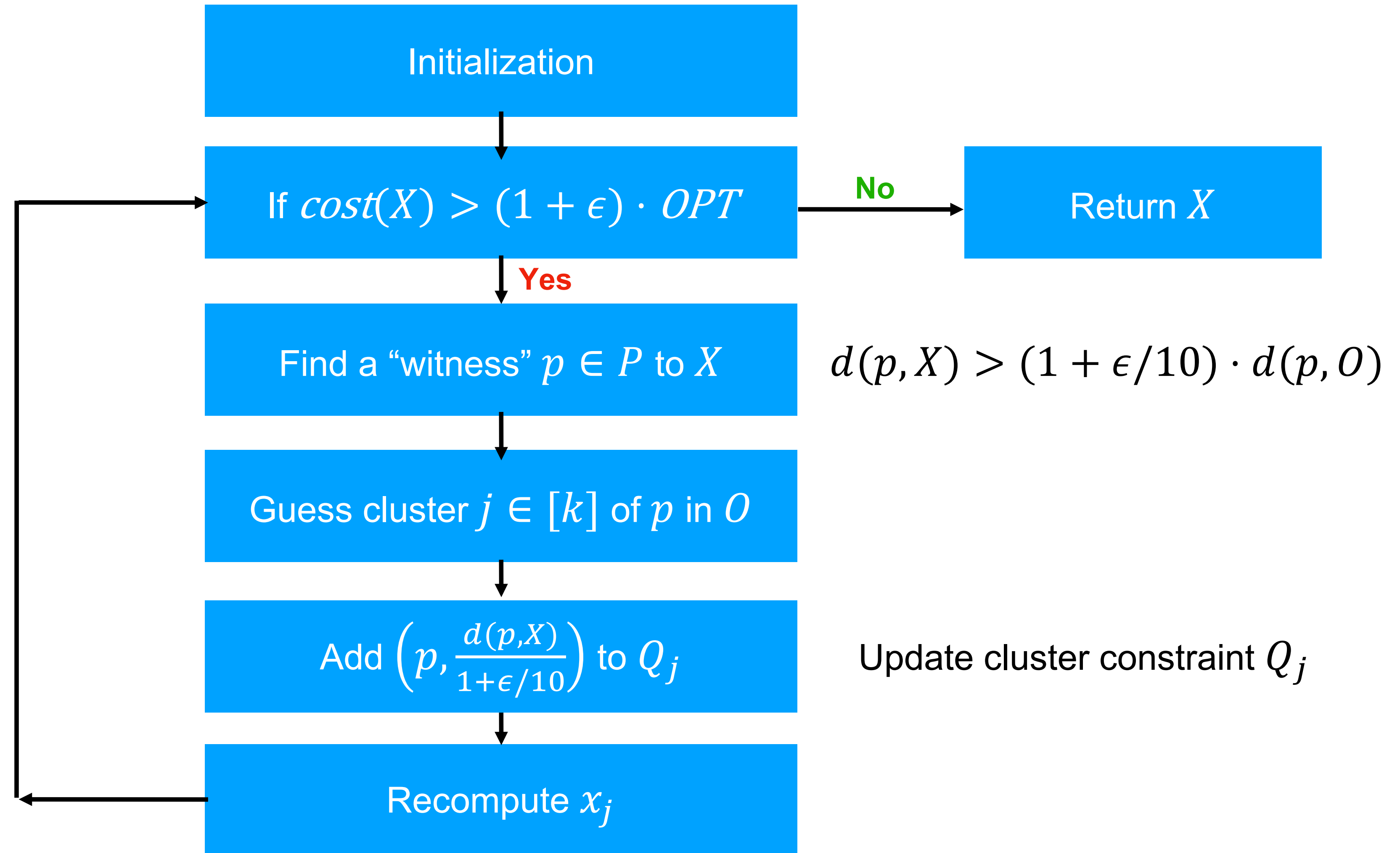
Initialize solution $X = (x_1, \dots, x_k)$ using Q_1, \dots, Q_k

Lemma 2

Upper bounds



Radii aspect ratio of requests in every Q_j is bounded



Unified-EPAS

Lemma 1

If $cost(X) > (1 + \epsilon) \cdot OPT$, then we can find a witness to X w.h.p. $g(k, \epsilon)$

Lemma 2

Upper bounds



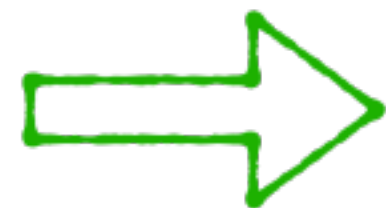
Radii aspect ratio of requests in every Q_j is bounded $f(k, \epsilon)$

Lemma 3 (Theorem)

Lemma 1



Lemma 2



Requests in every Q_j form an ϵ -scattering $\lambda(\epsilon)$

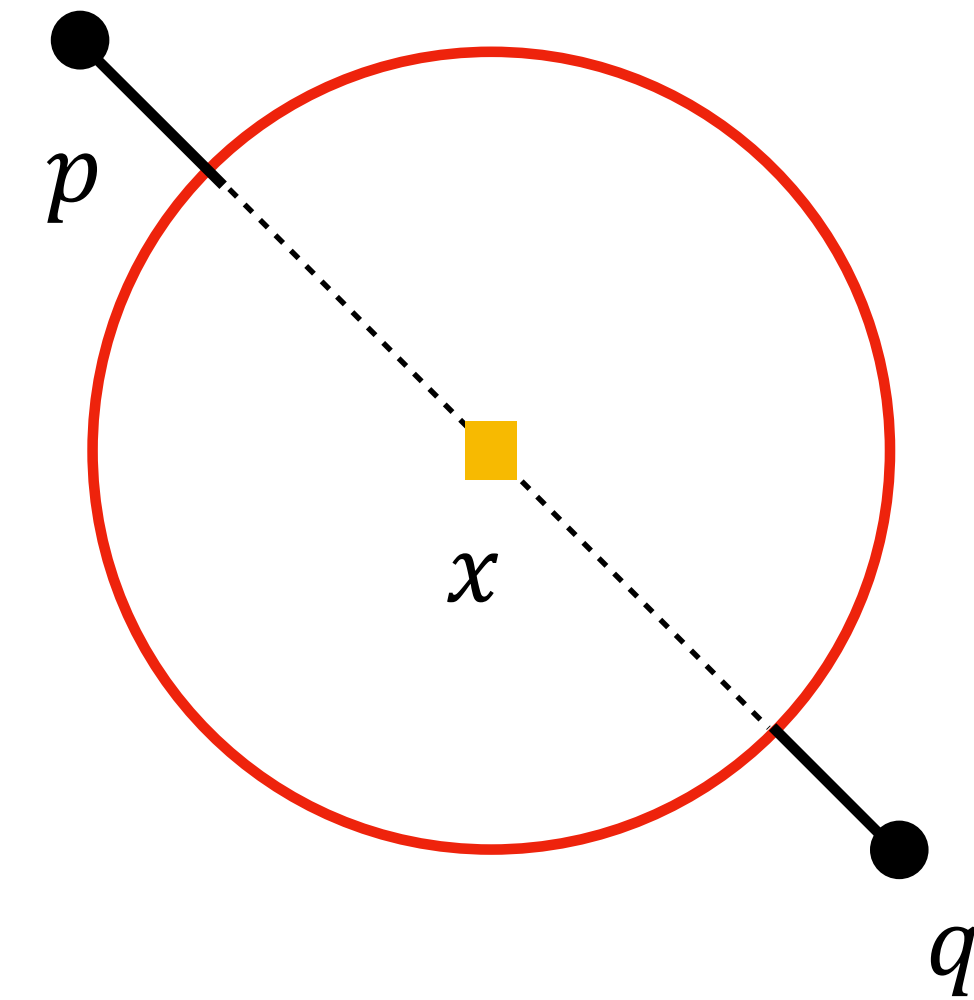


#iterations are bounded $h(k, \epsilon, \lambda)$



Hybrid Clustering

d_r does not satisfy triangle inequality



- Computing **Upper bounds** fails!
- **Sampling lemma** (Lemma 1) does not work!
- **Radii Aspect Ratio lemma** (Lemma 2) fails!
- **Iteration lemma** (Lemma 3) does not apply since **the new requests may not be feasible!**

Hybrid Clustering

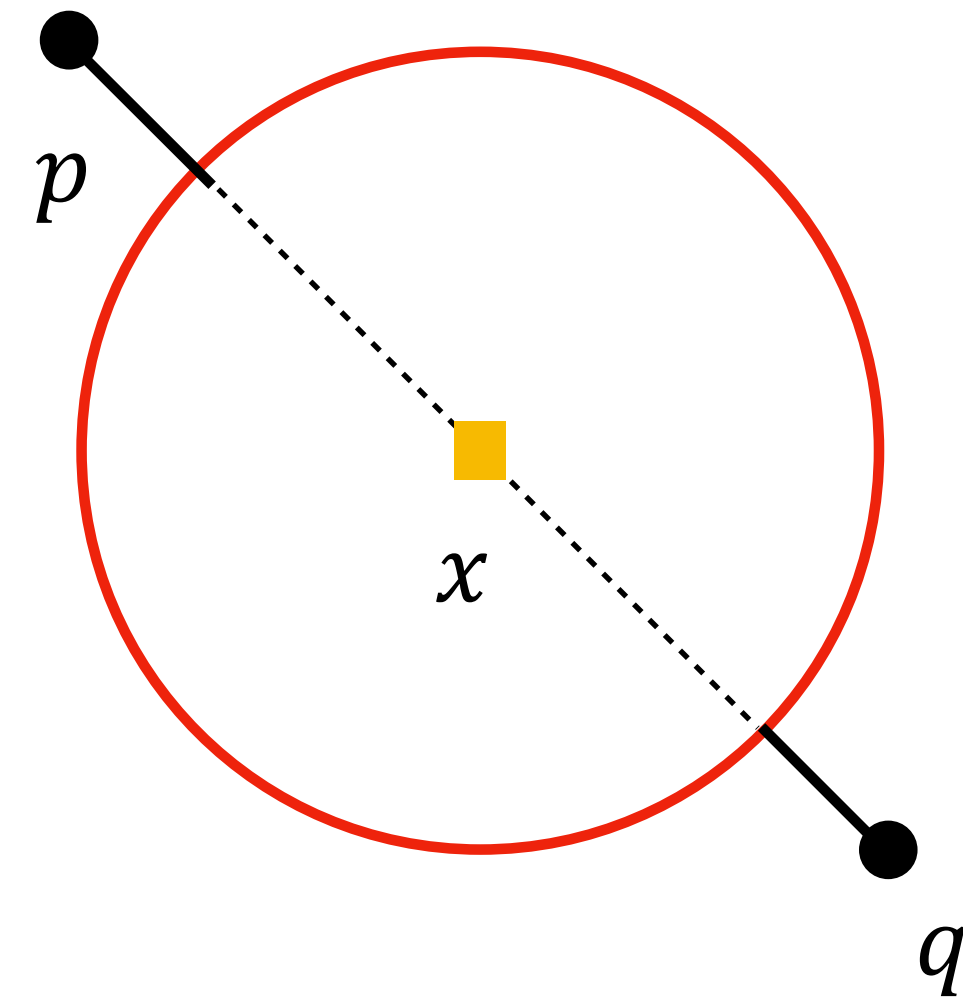
d_r does not satisfy triangle inequality

- Computing **Upper bounds** fails!

- **Sampling lemma** (Lemma 1) does not work!

- **Radii Aspect Ratio lemma** (Lemma 2) fails!

- **Iteration lemma** (Lemma 3) does not apply since **the new requests may not be feasible!**



Hybrid Clustering

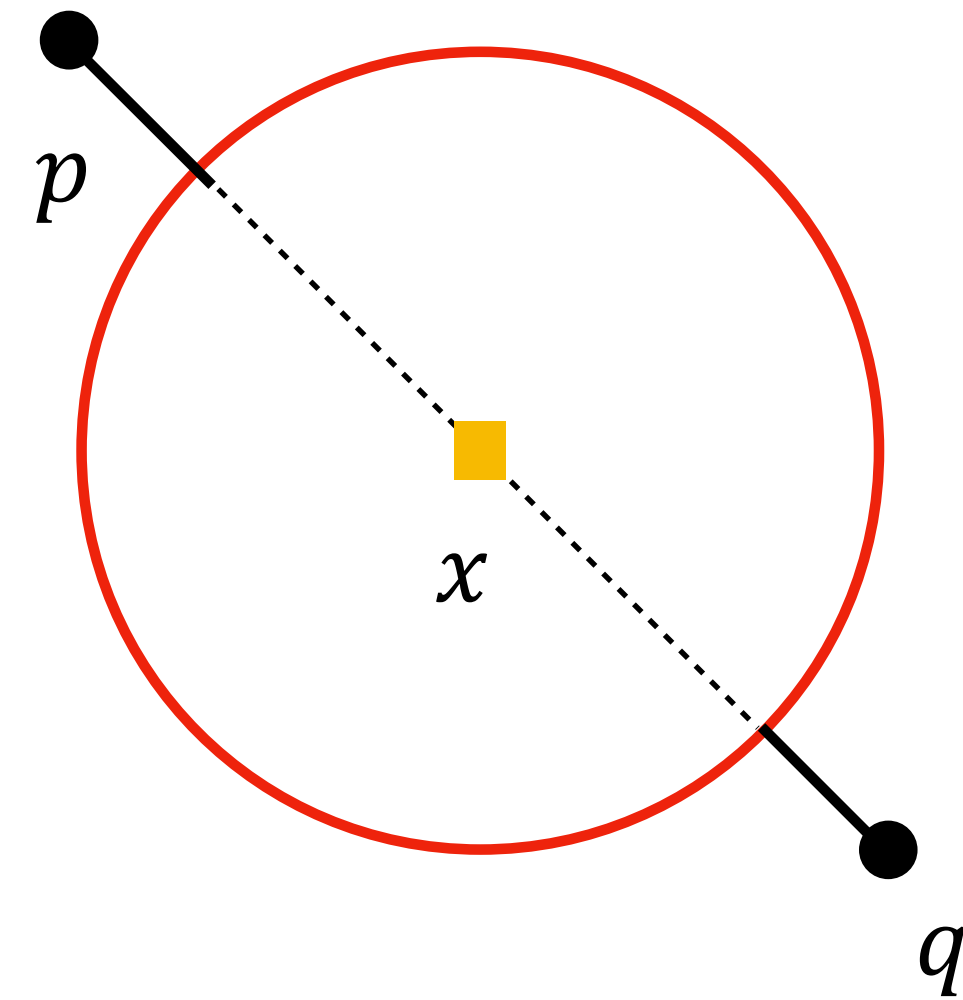
d_r does not satisfy triangle inequality

- Computing **Upper bounds** fails!

- **Sampling lemma** (Lemma 1) does not work!

- **Radii Aspect Ratio lemma** (Lemma 2) fails!

- **Iteration lemma** (Lemma 3) does not apply since **the new requests may not be feasible!**



Hybrid Clustering

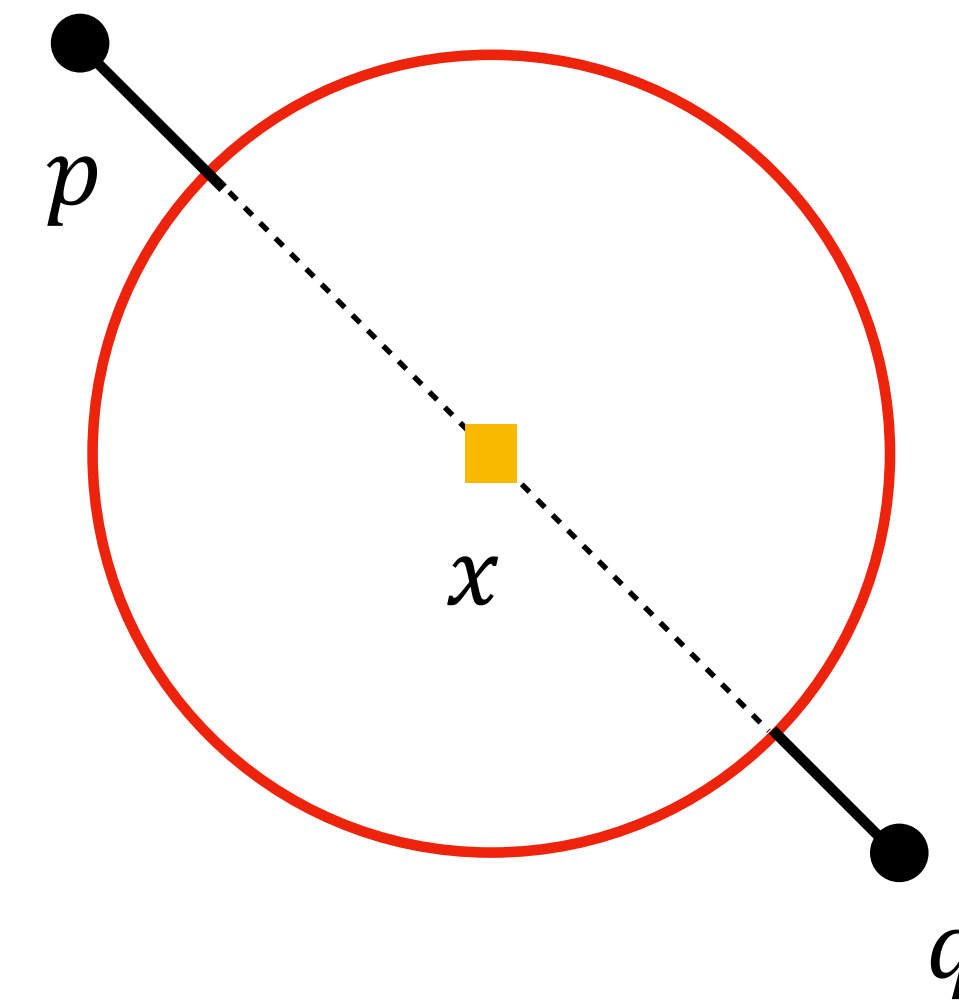
d_r does not satisfy triangle inequality

- Computing **Upper bounds** fails!

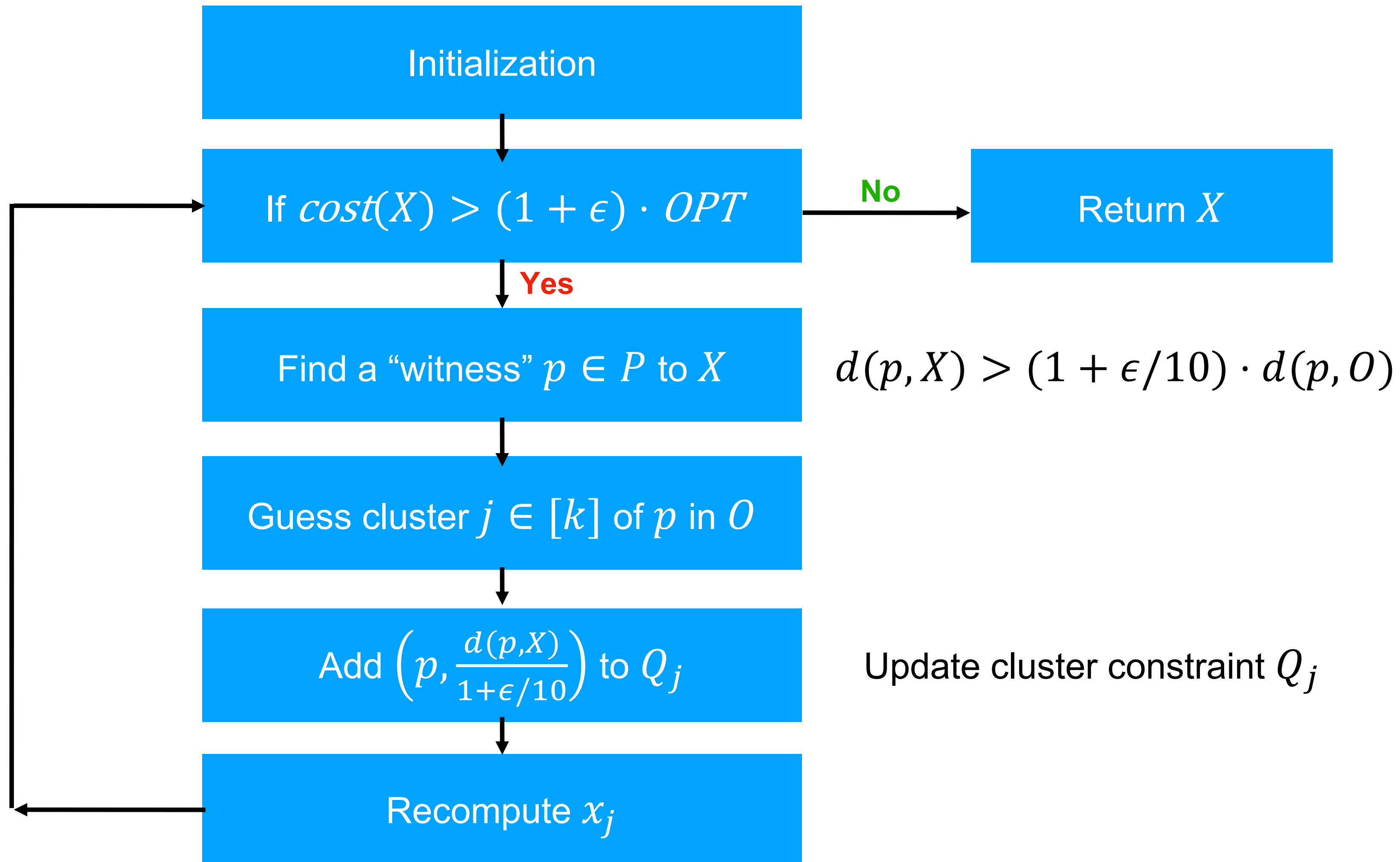
- **Sampling lemma** (Lemma 1) does not work!

- **Radii Aspect Ratio lemma** (Lemma 2) fails!

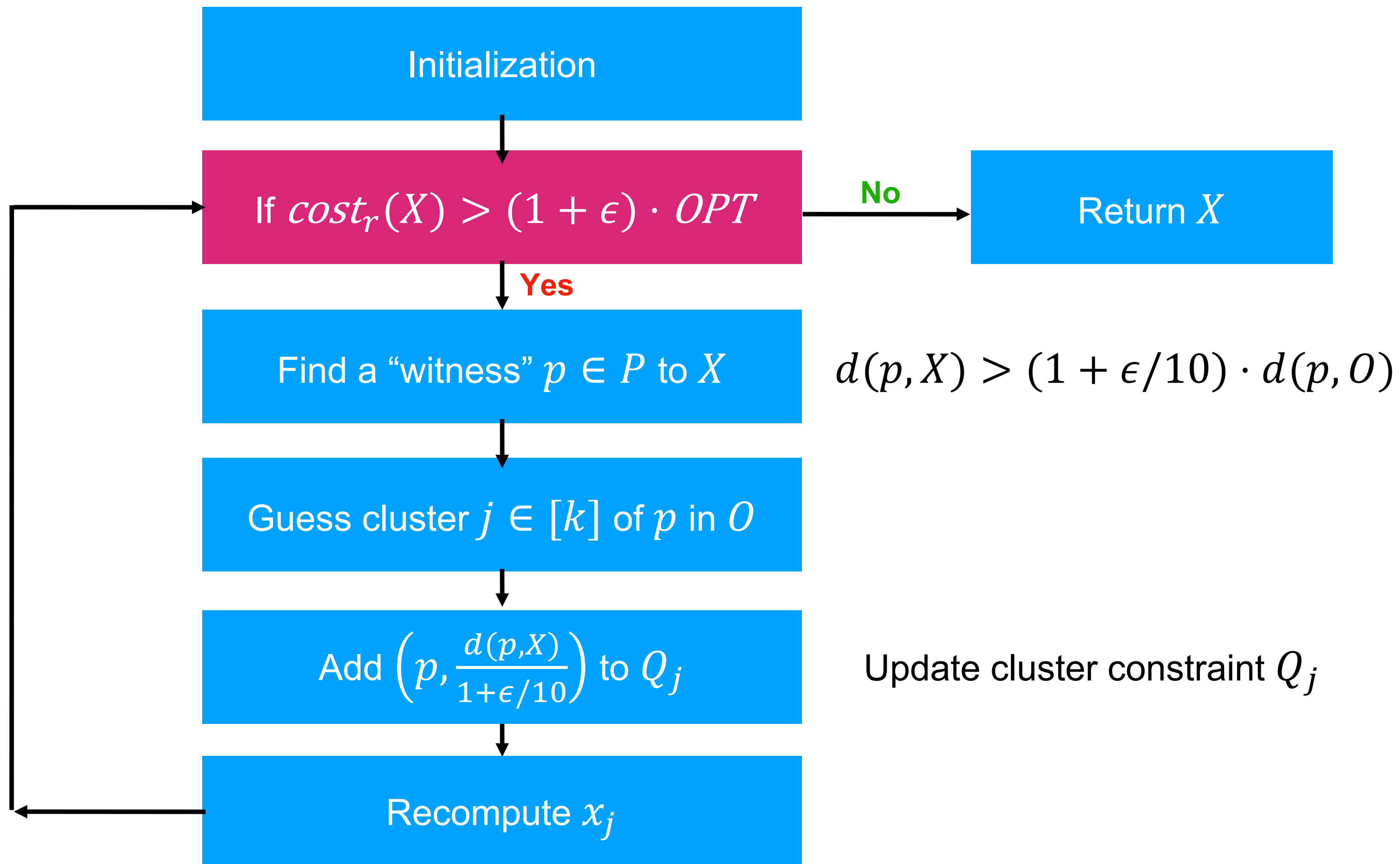
- **Iteration lemma** (Lemma 3) does not apply since **the new requests may not be feasible!**



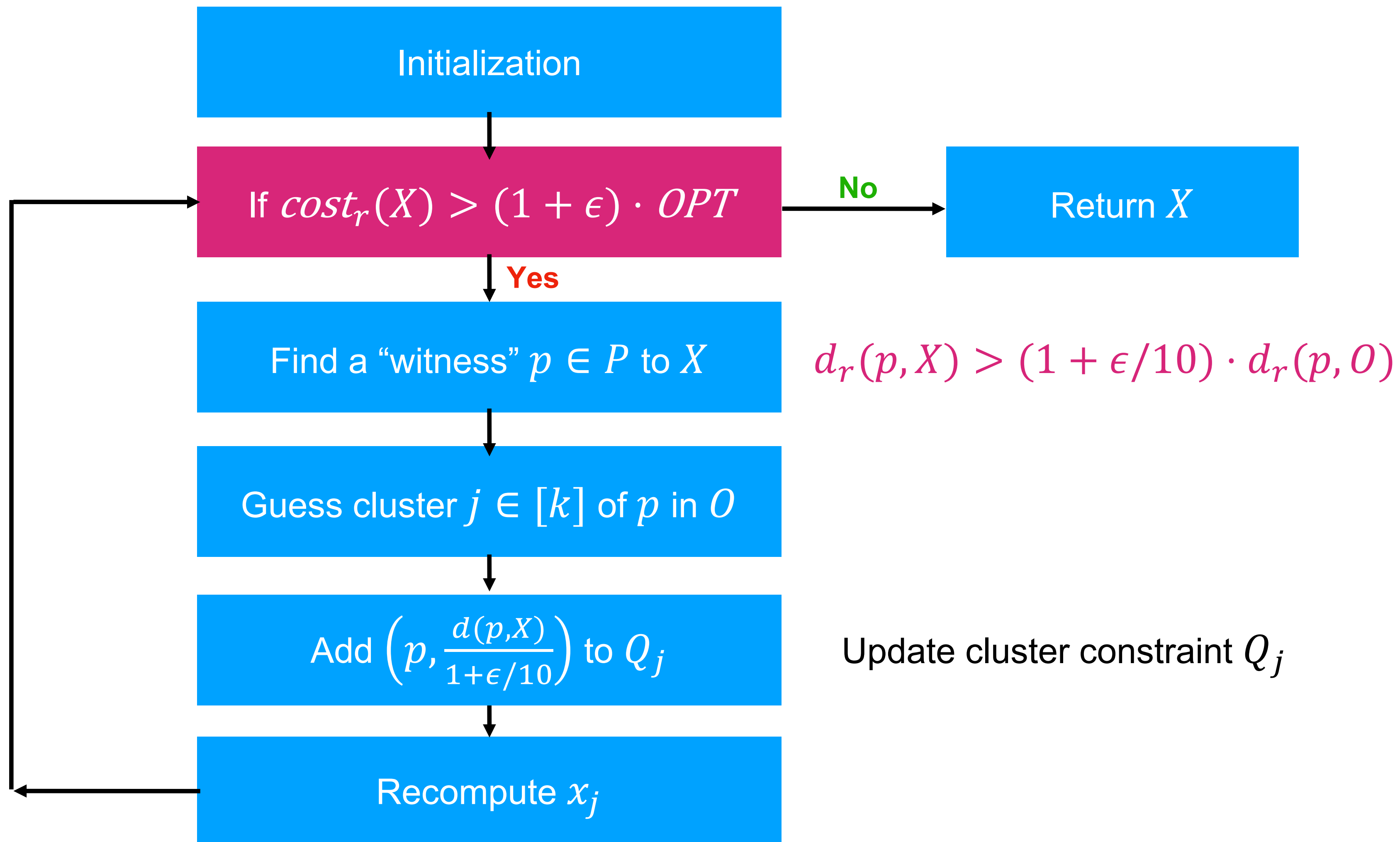
Unified-EPAS



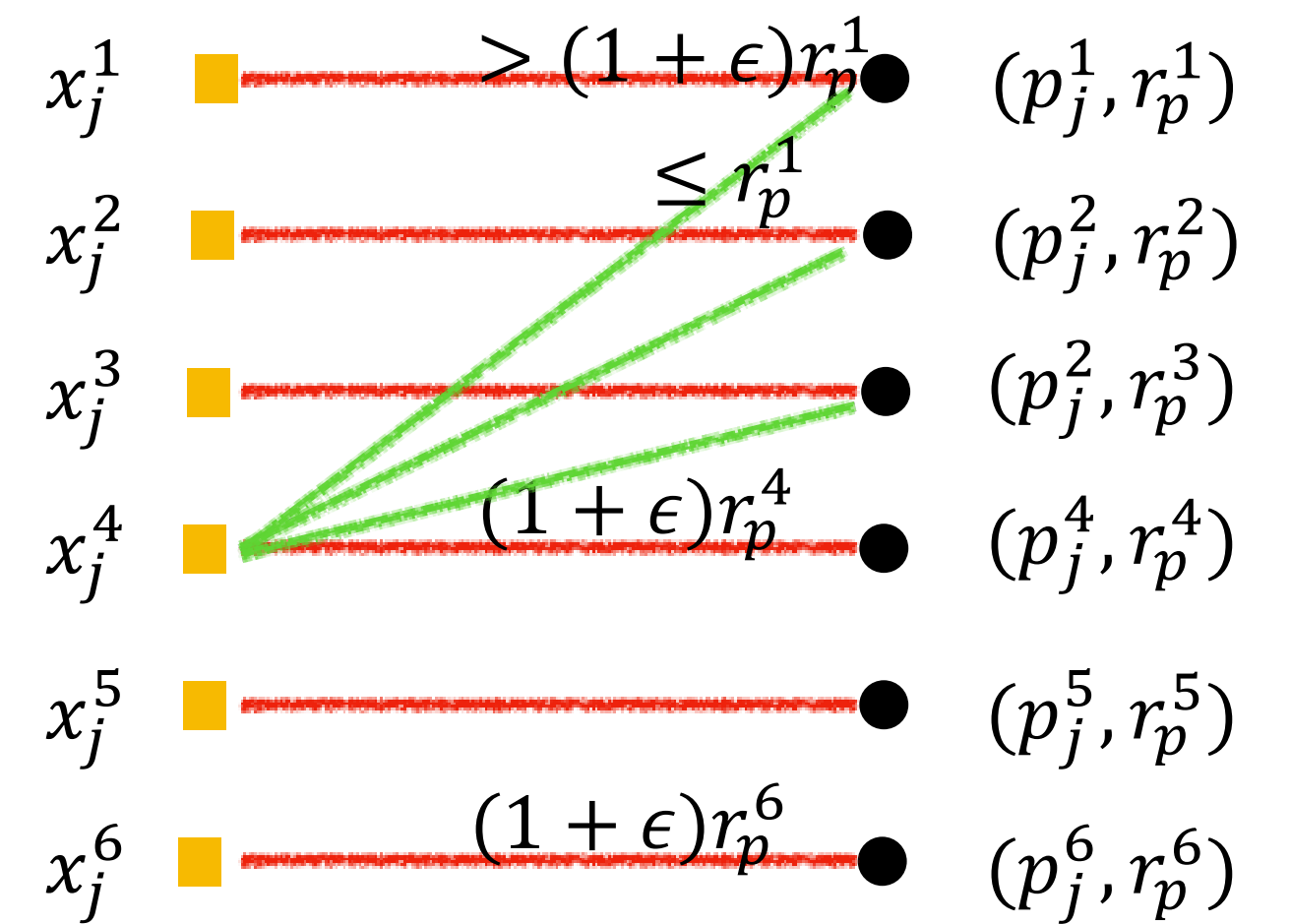
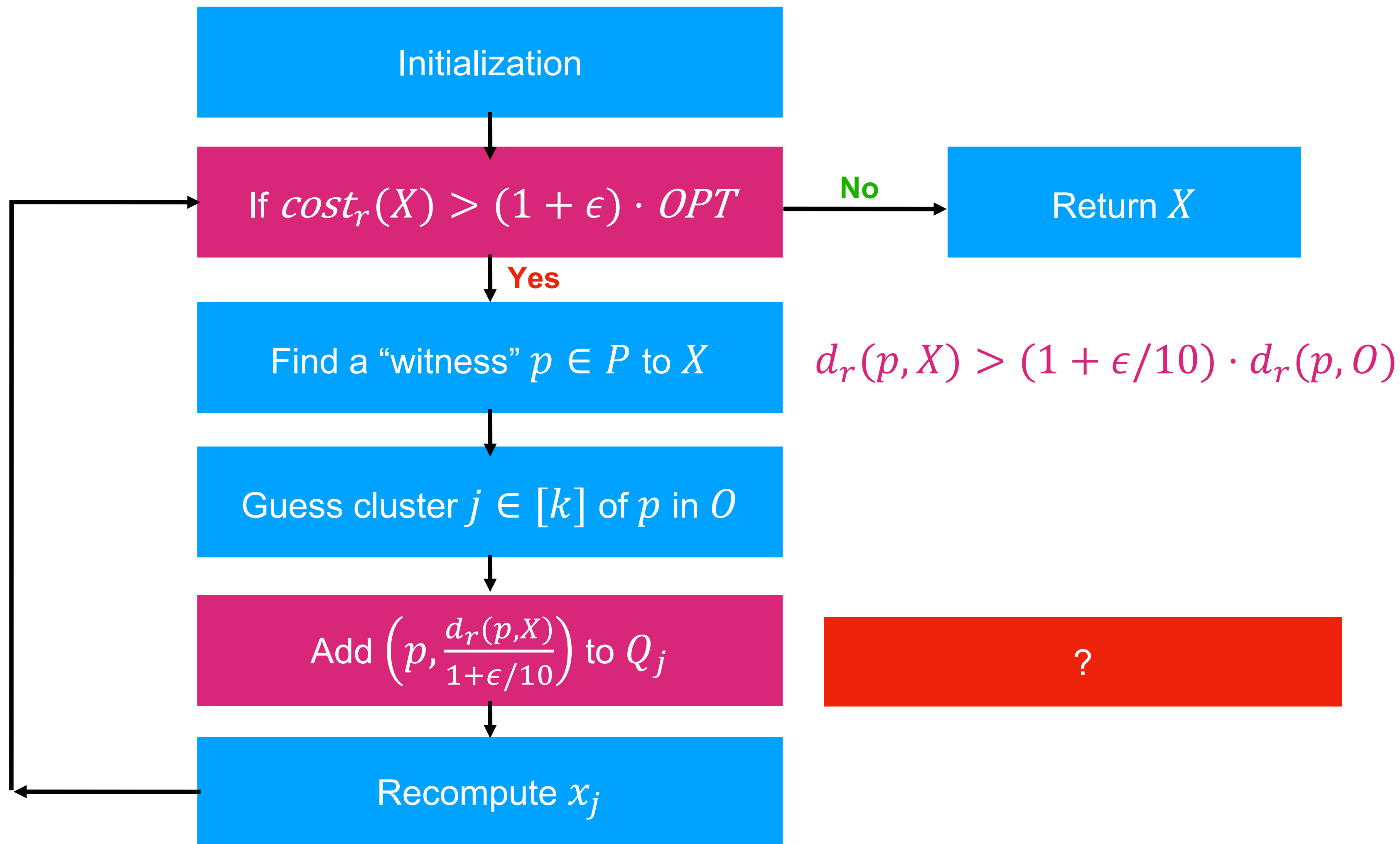
Attempt 1



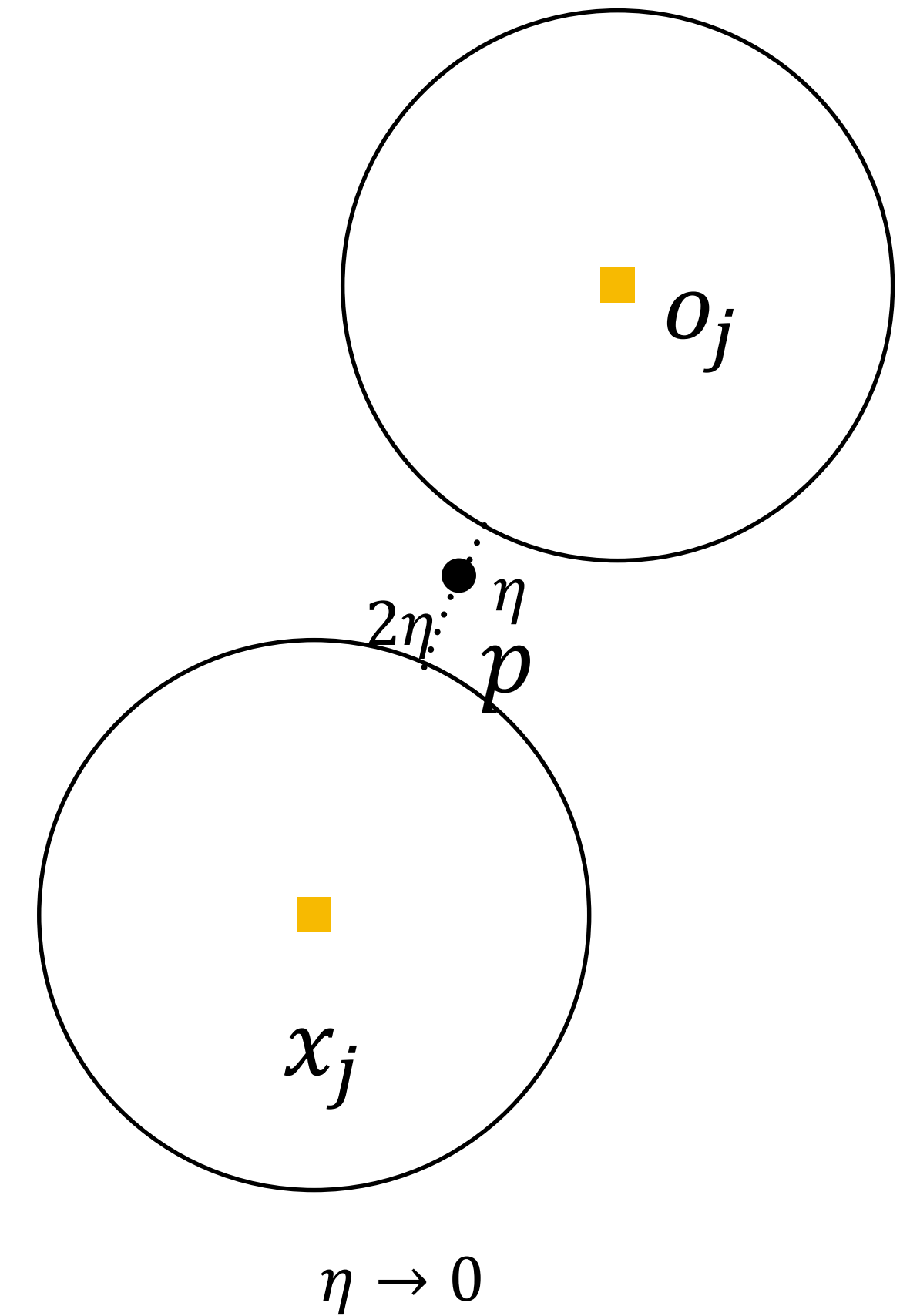
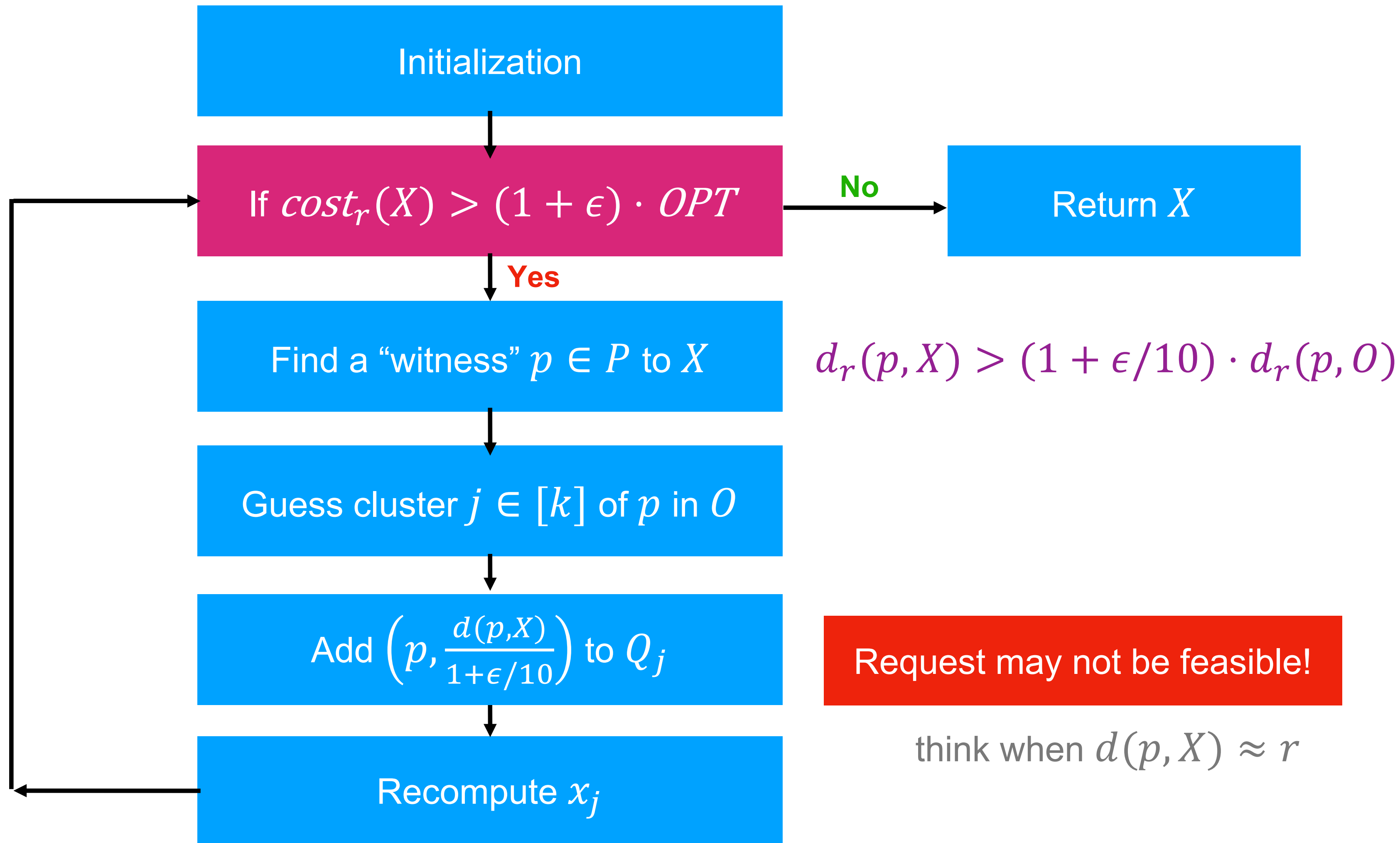
Attempt 1



Attempt 1



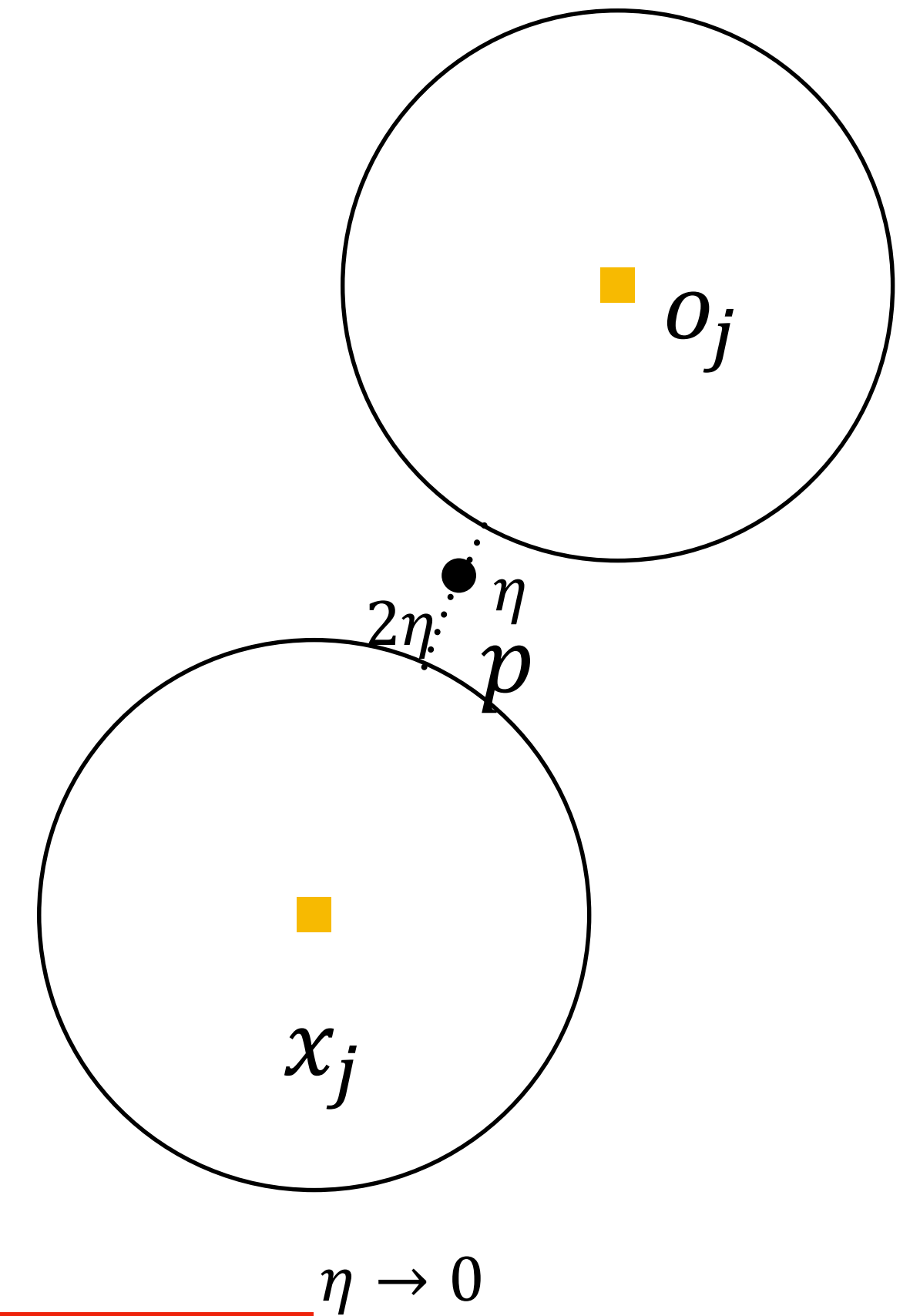
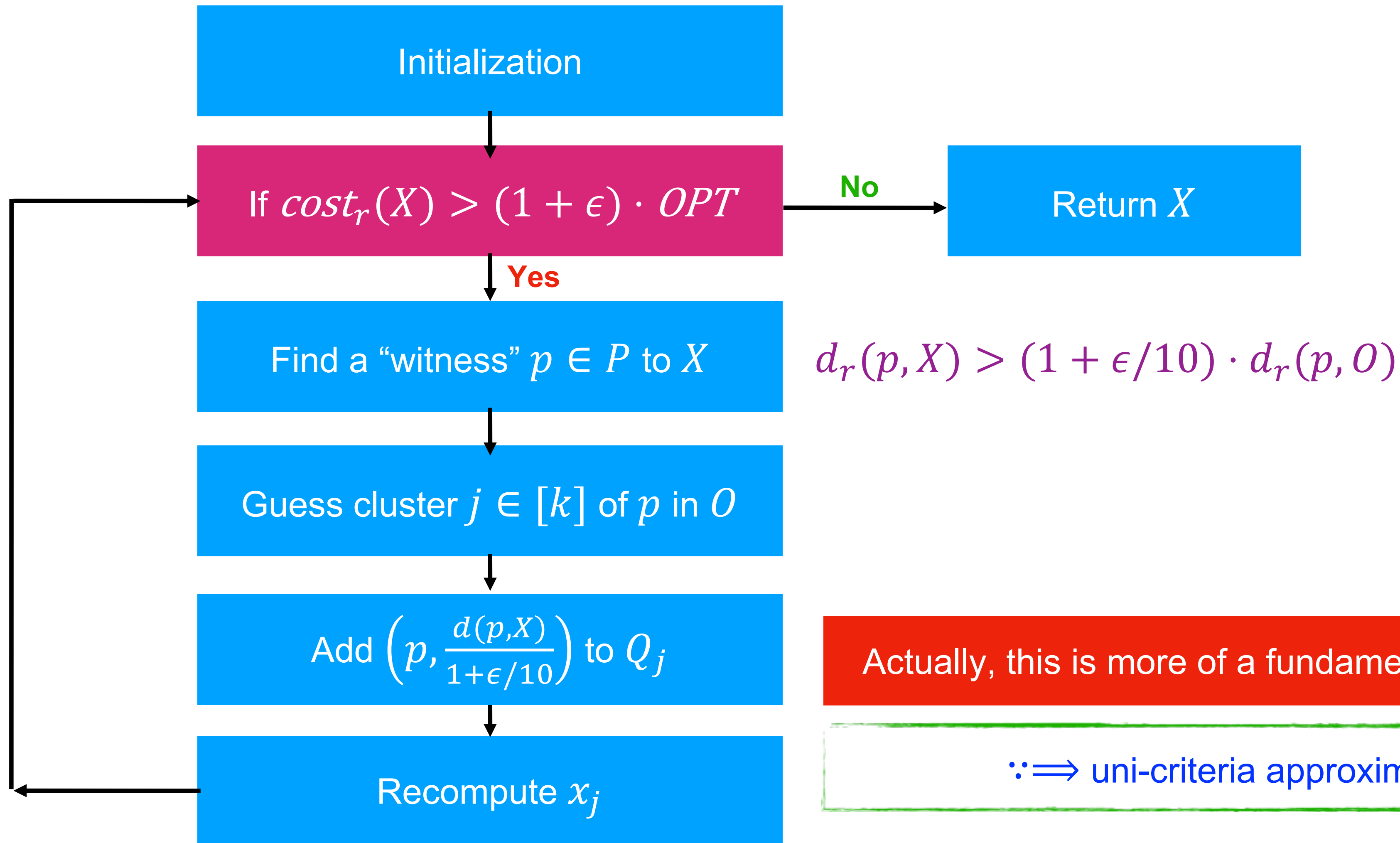
Attempt 1



Request may not be feasible!

think when $d(p, X) \approx r$

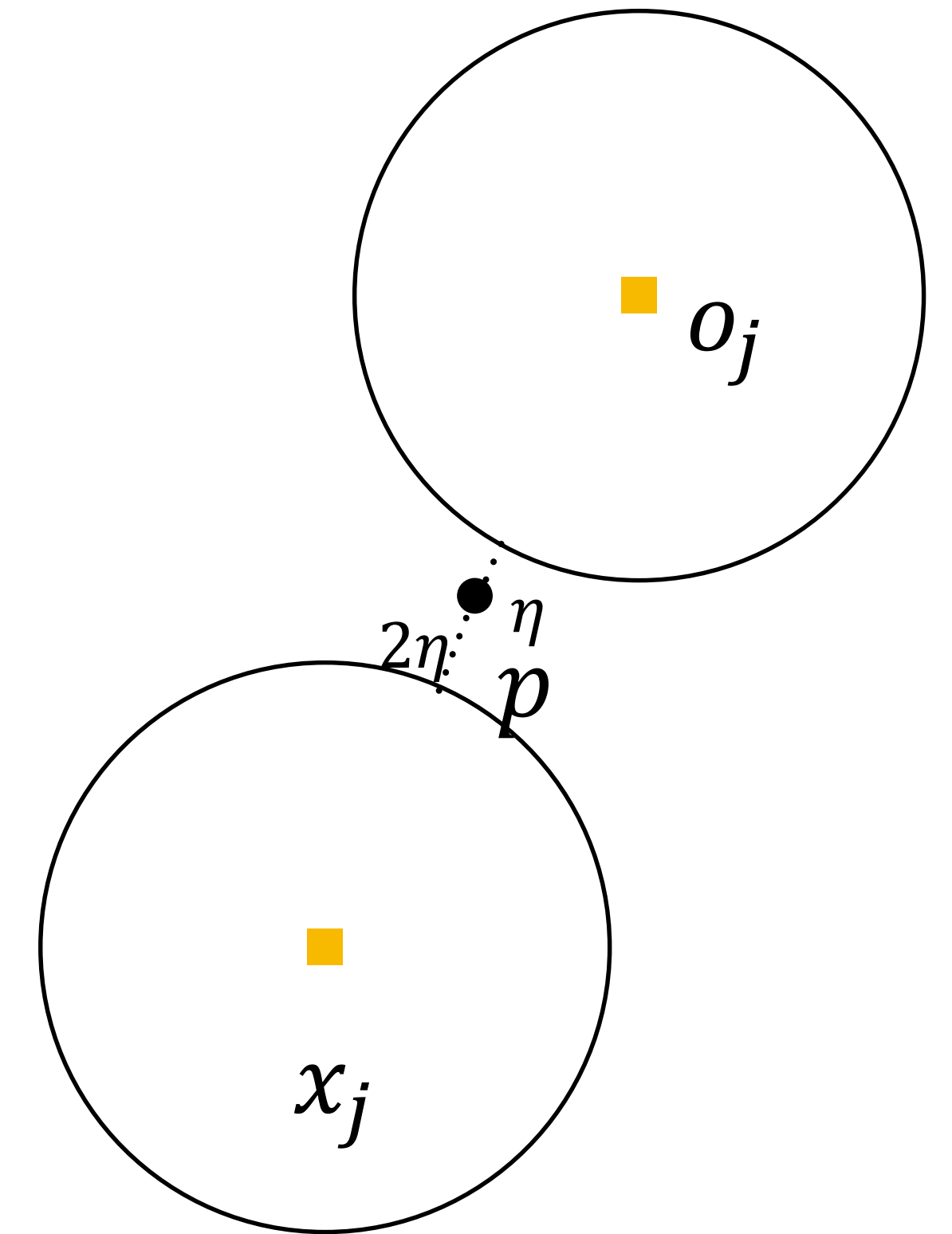
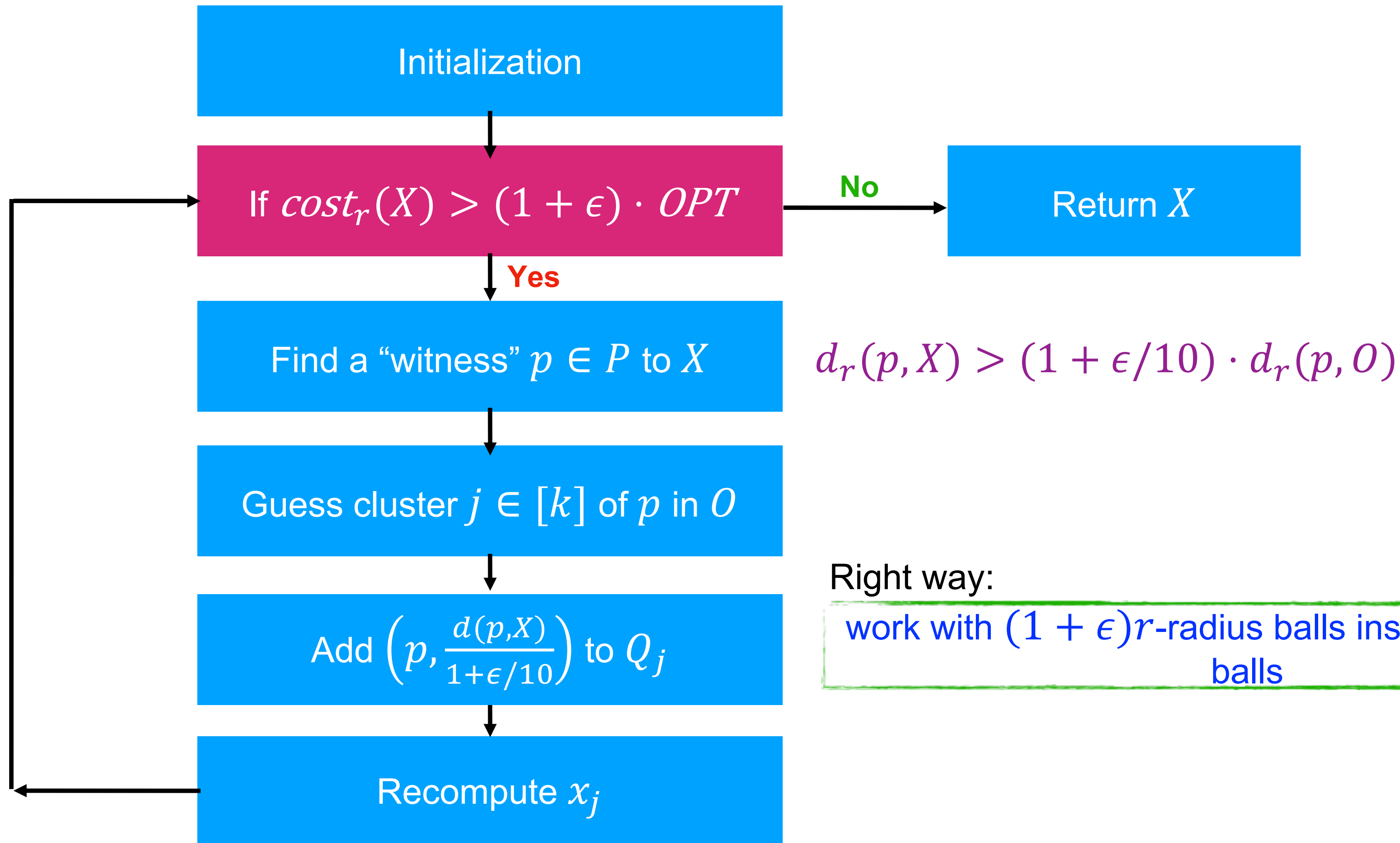
Attempt 1



Actually, this is more of a fundamental bottleneck

$\therefore \Rightarrow$ uni-criteria approximation

Attempt 1

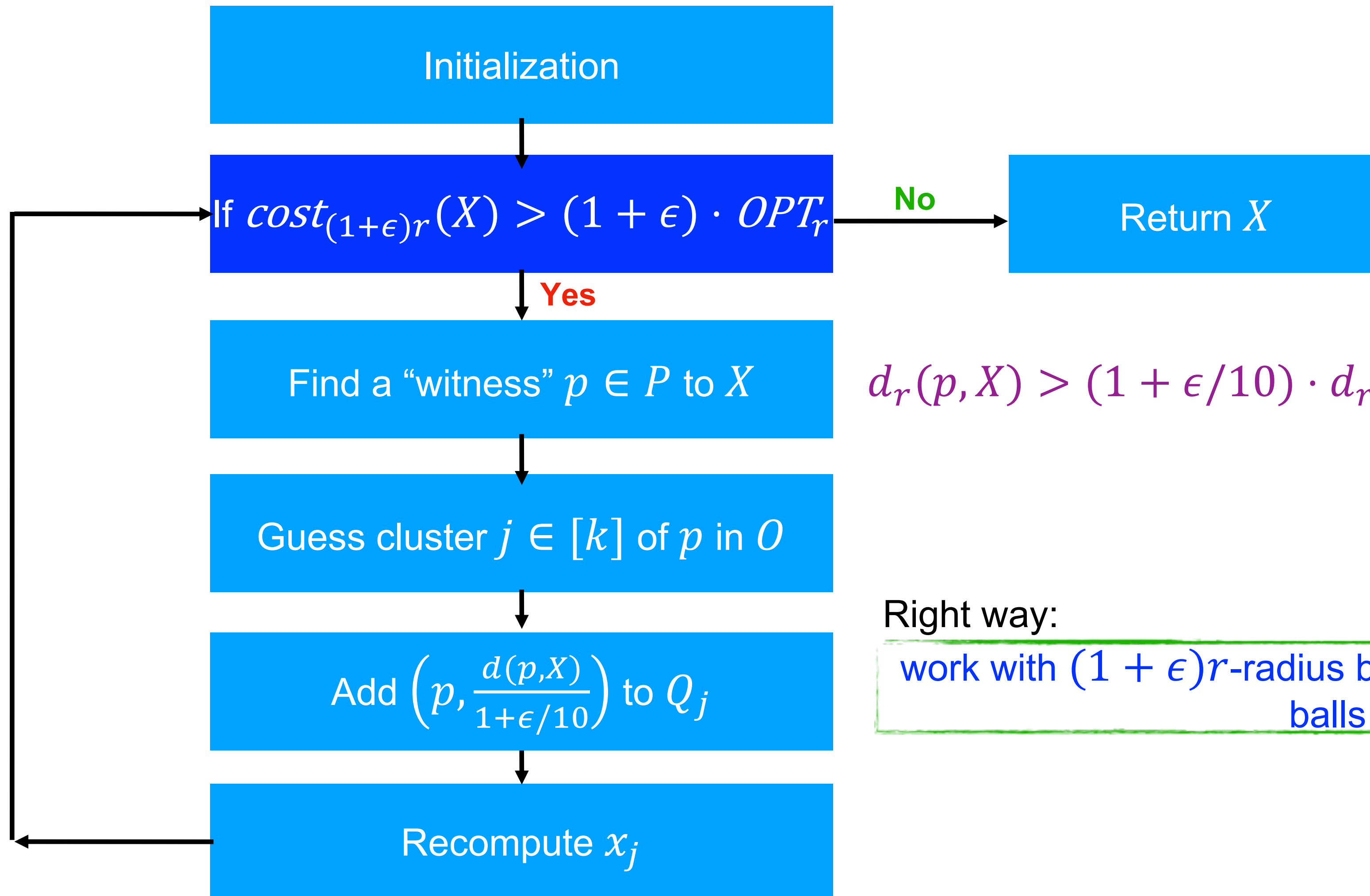


Right way:

work with $(1 + \epsilon)r$ -radius balls instead of r -radius balls

$\eta \rightarrow 0$

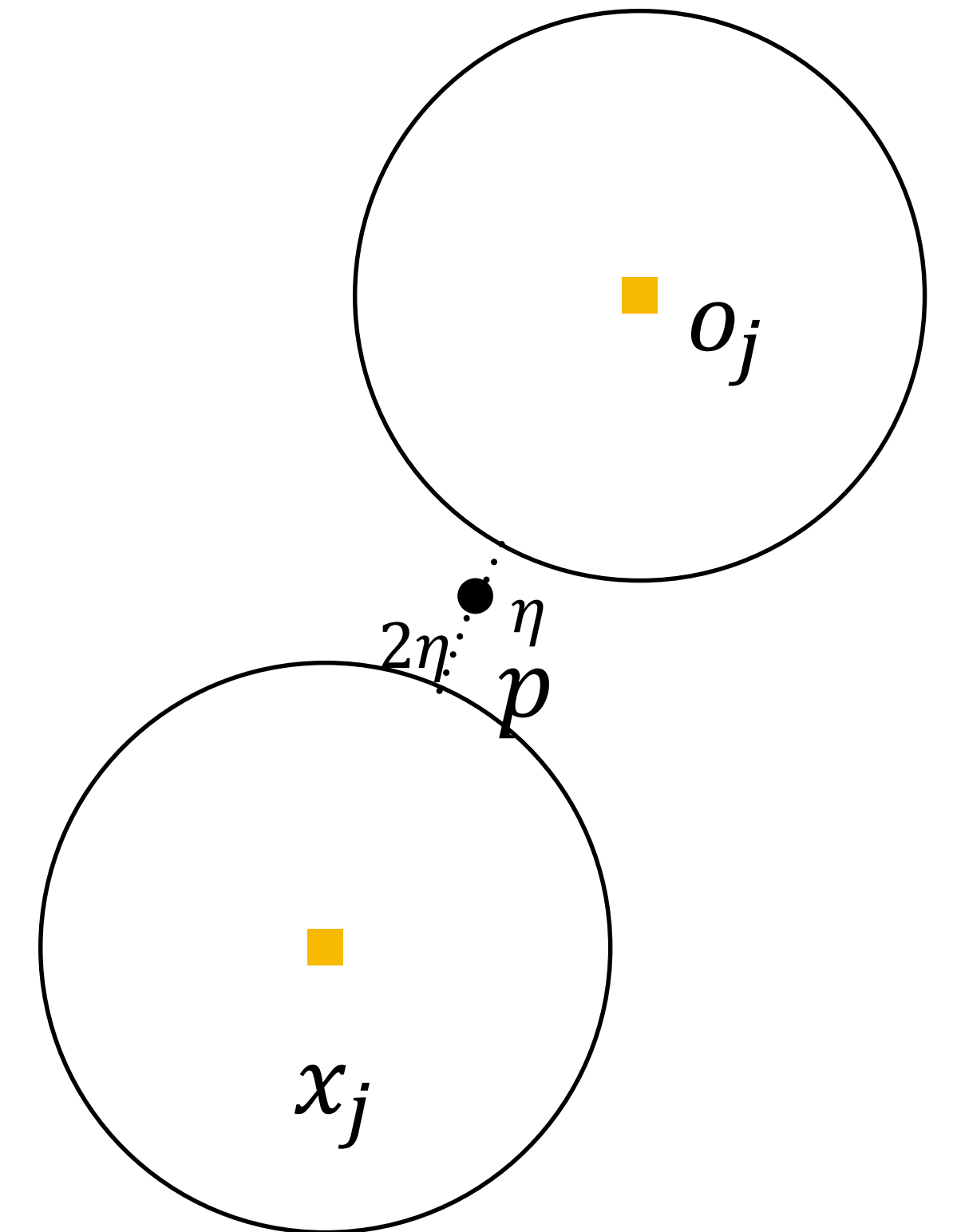
Attempt 1



$$d_r(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$$

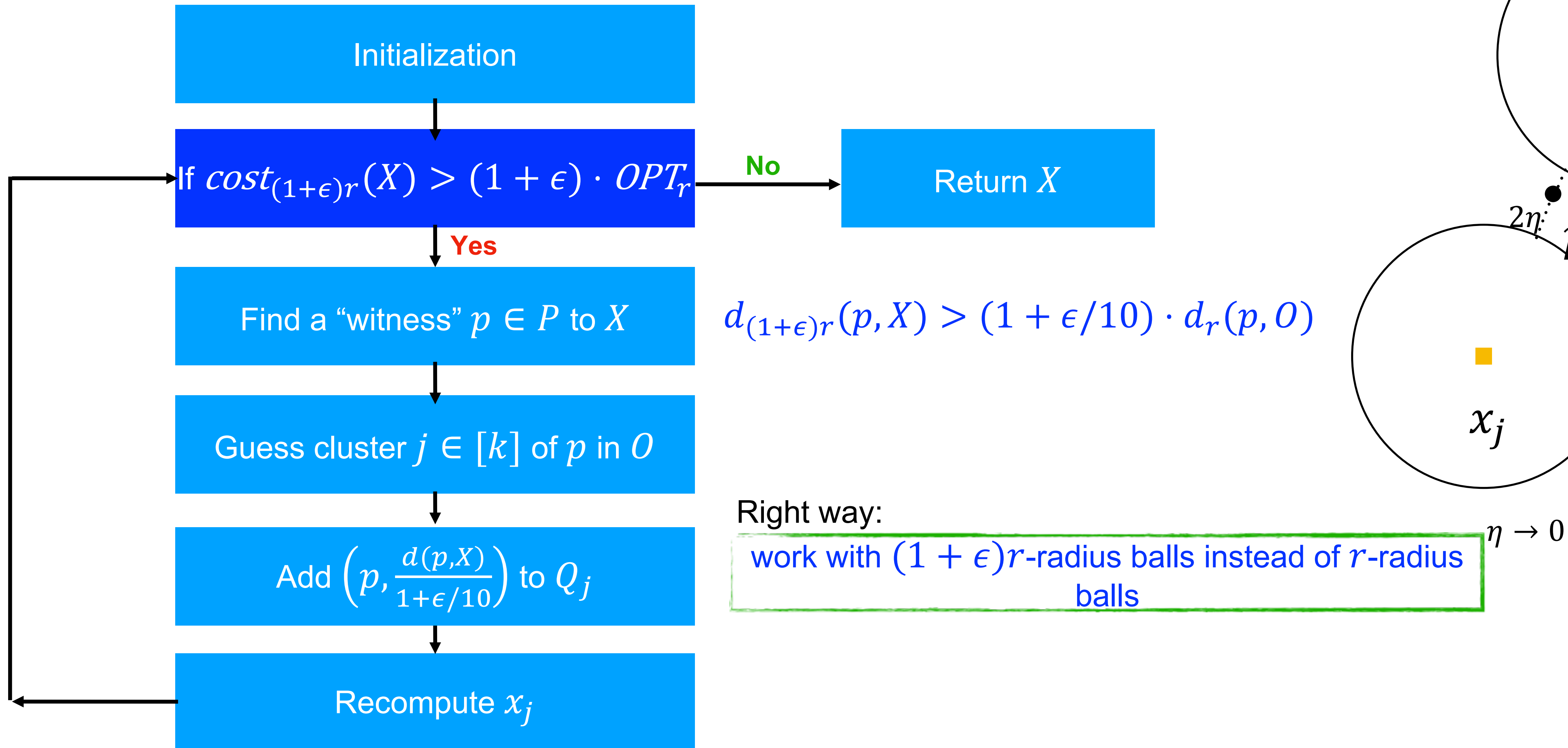
Right way:

work with $(1 + \epsilon)r$ -radius balls instead of r -radius balls

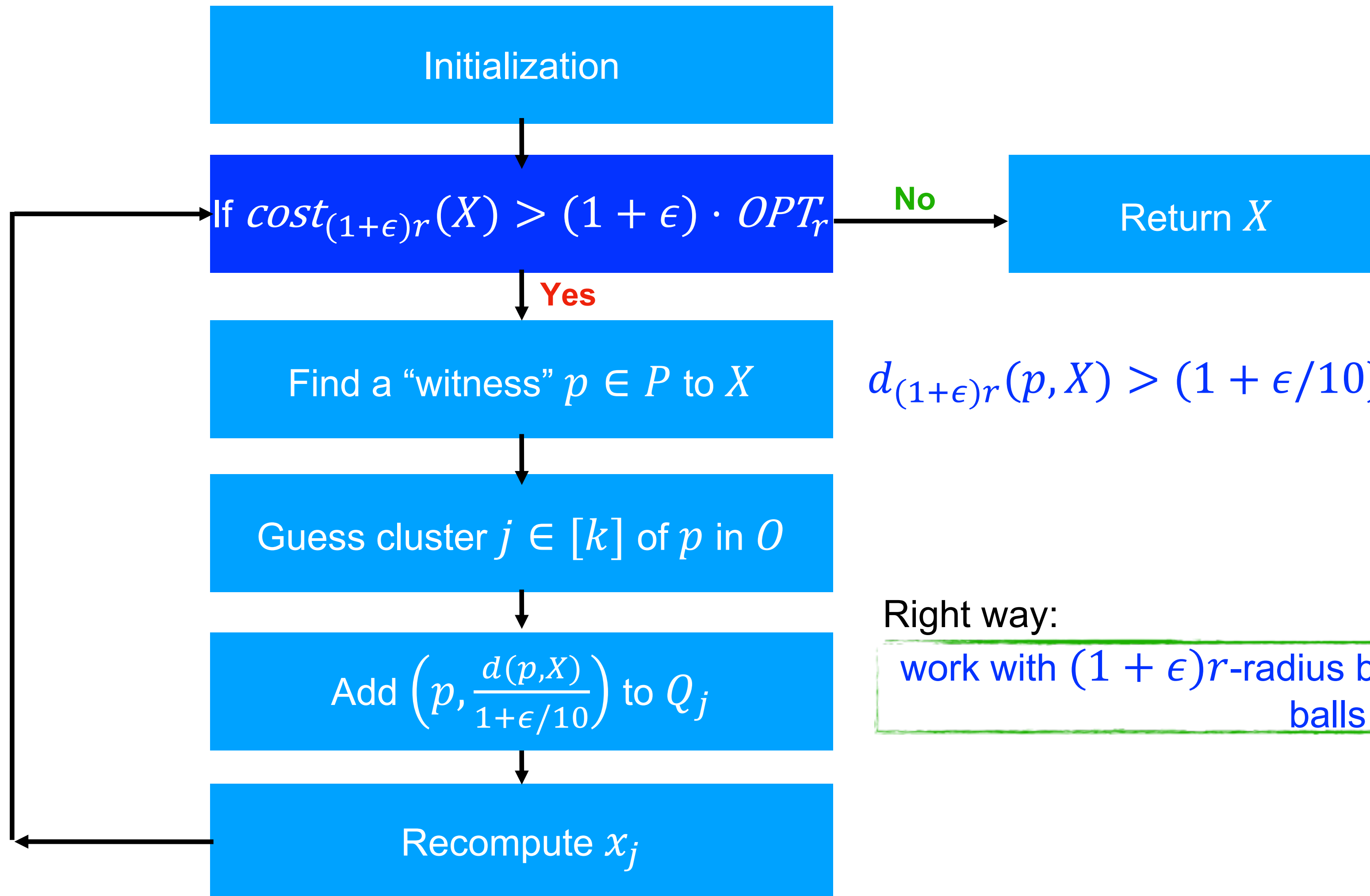


$\eta \rightarrow 0$

Attempt 1



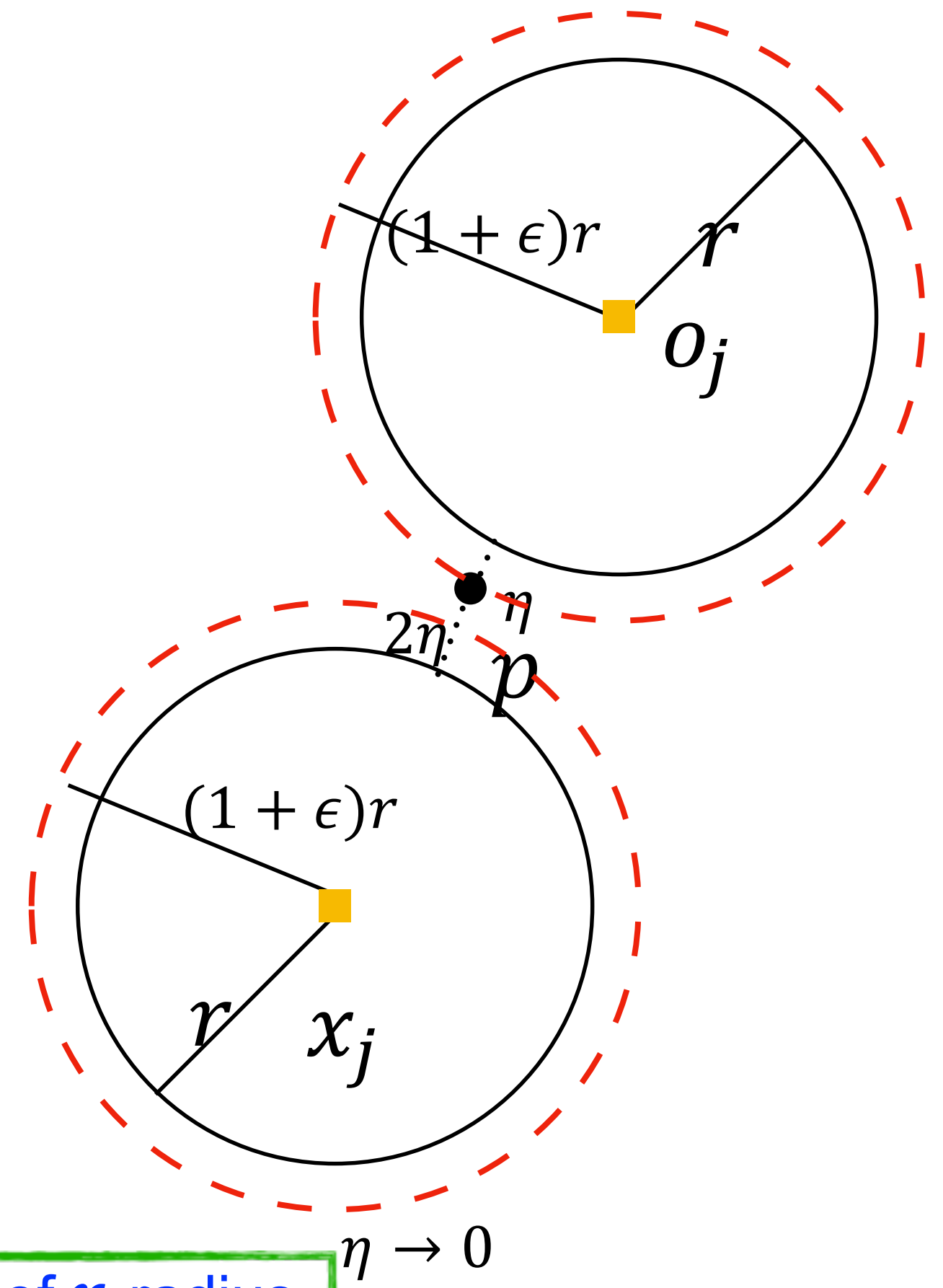
Attempt 1



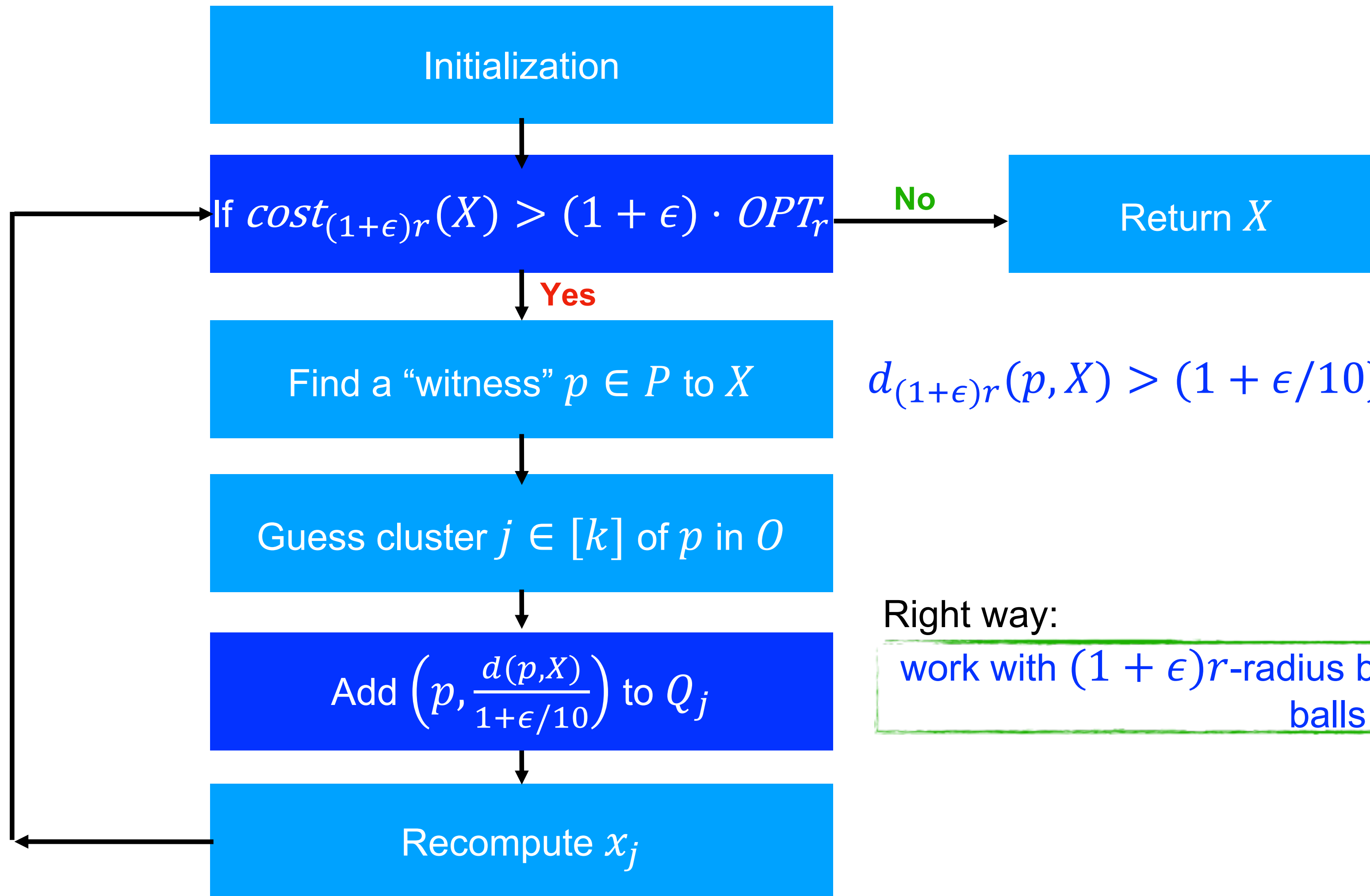
$$d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$$

Right way:

work with $(1 + \epsilon)r$ -radius balls instead of r -radius balls



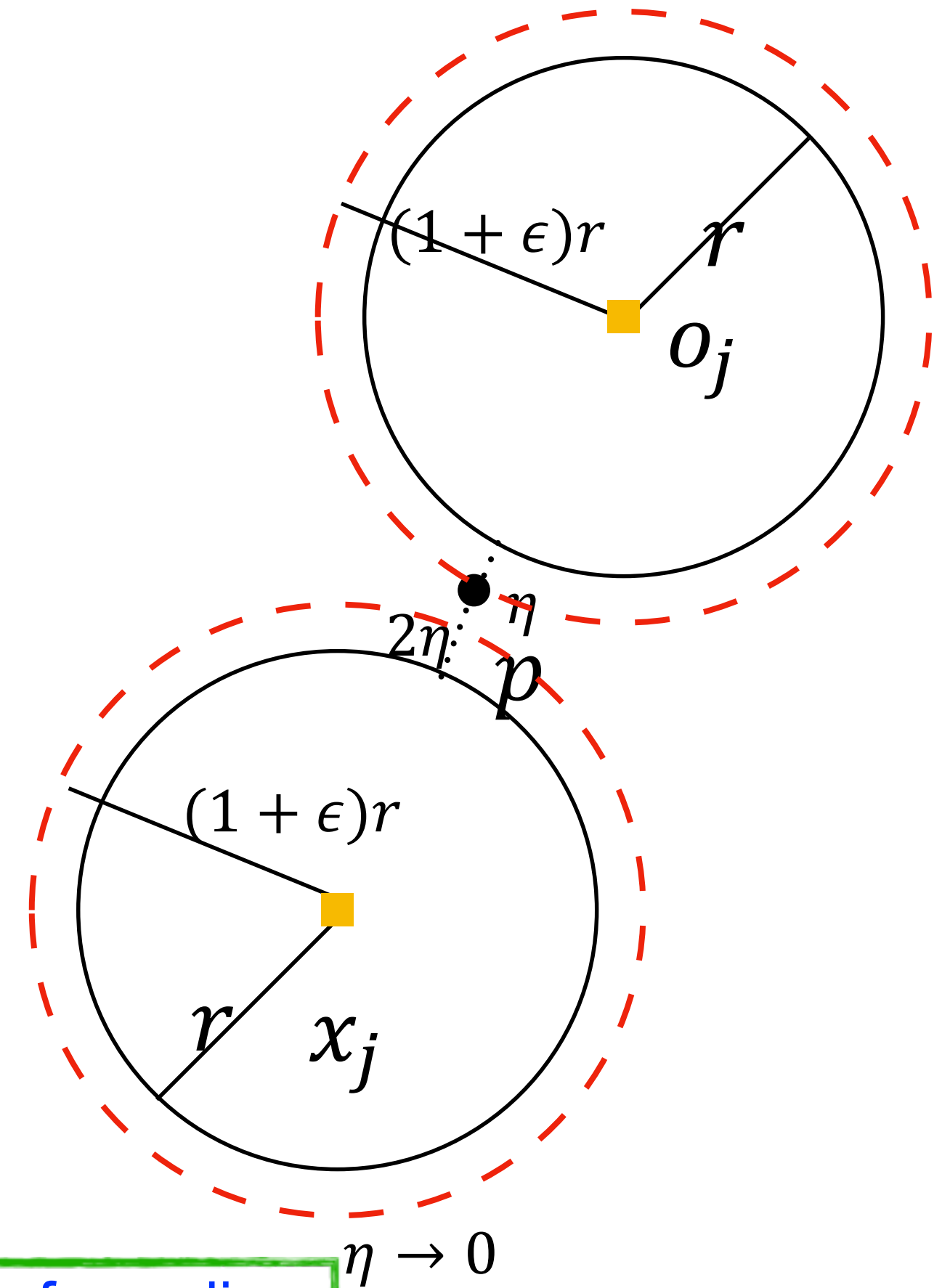
Attempt 1



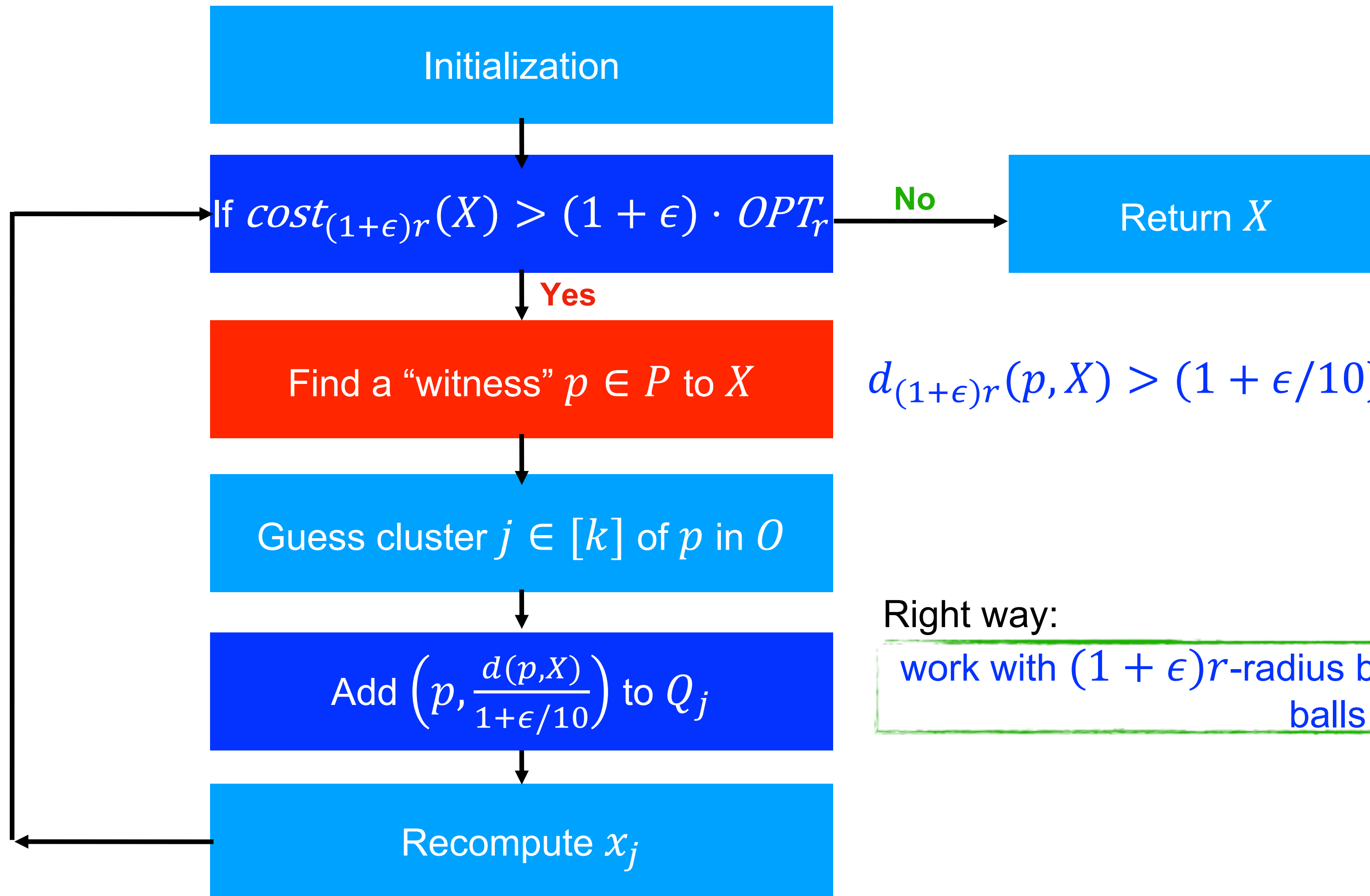
$$d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$$

Right way:

work with $(1 + \epsilon)r$ -radius balls instead of r -radius balls



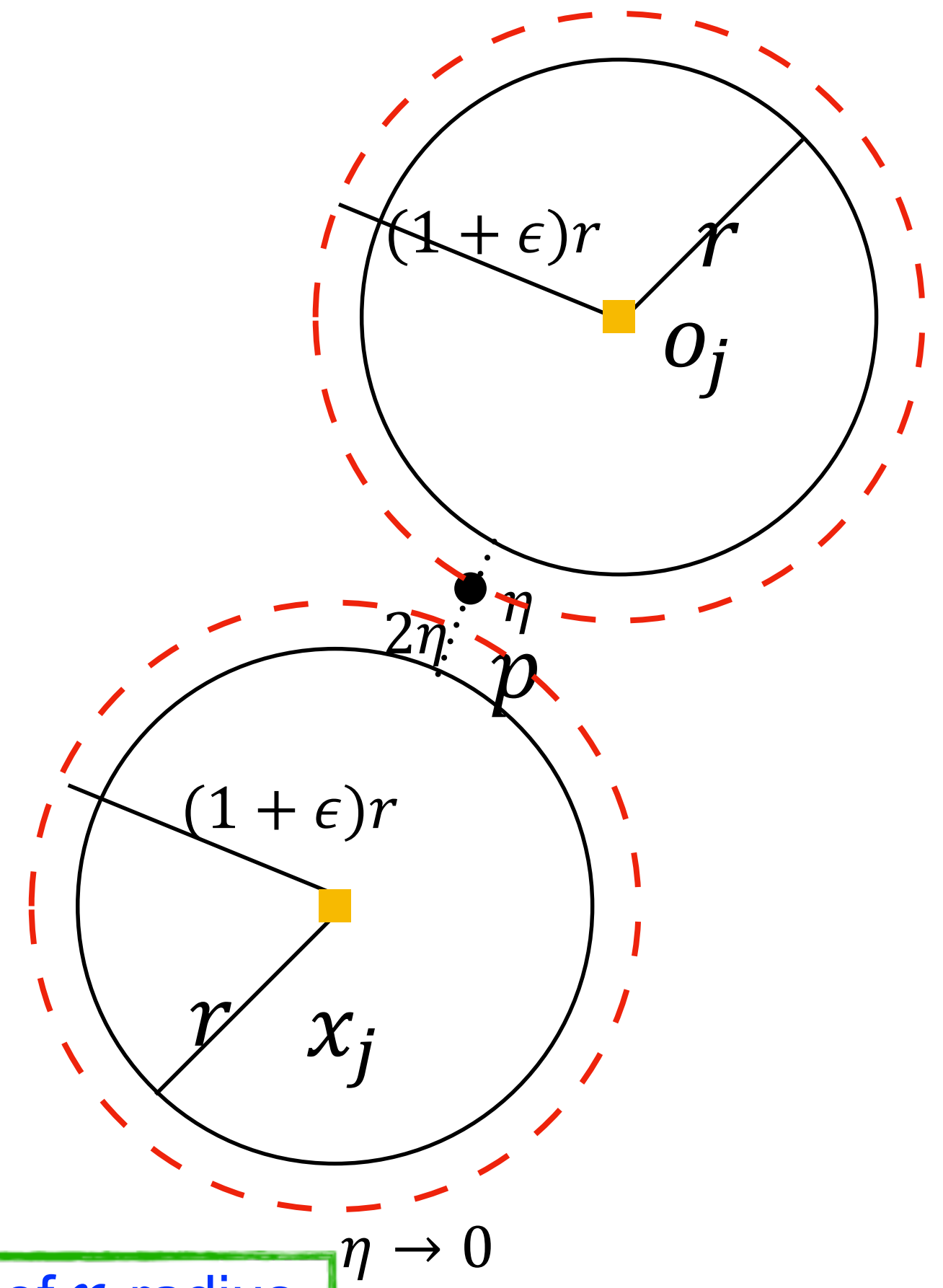
Attempt 1



$$d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$$

Right way:

work with $(1 + \epsilon)r$ -radius balls instead of r -radius balls



Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

d_r does not satisfy triangle inequality \implies FOCS'23 sampling fails

Sampling Witness

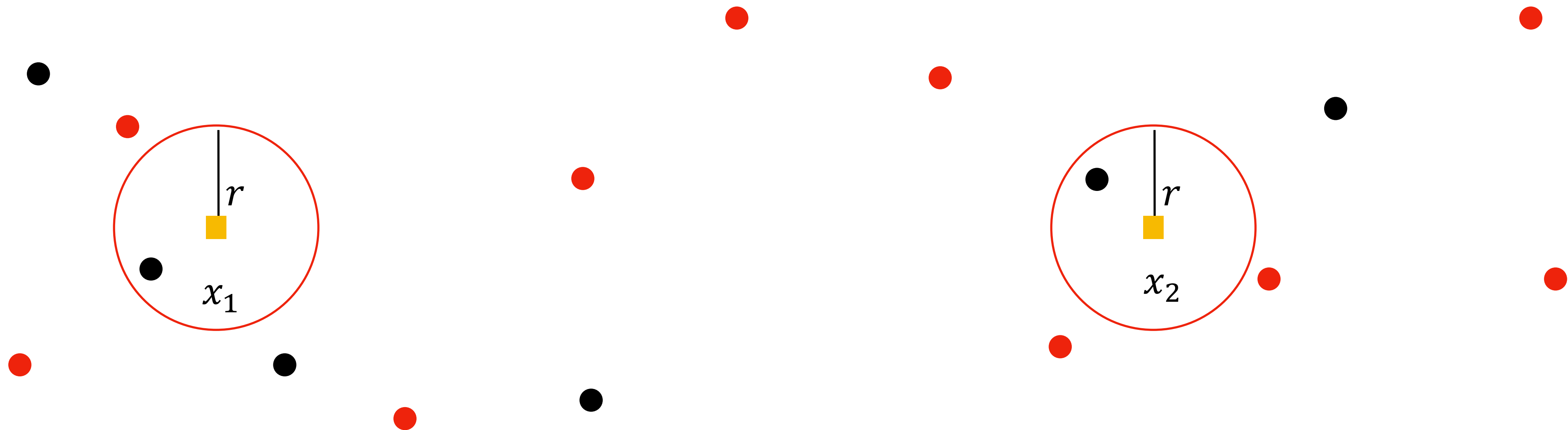
Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$

Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

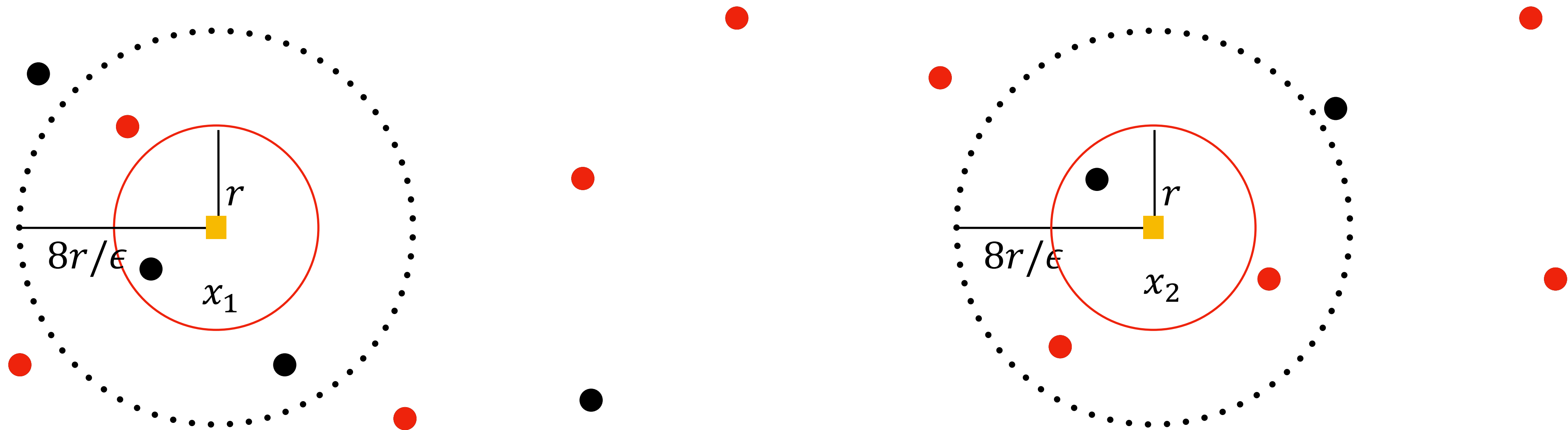
d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$



Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

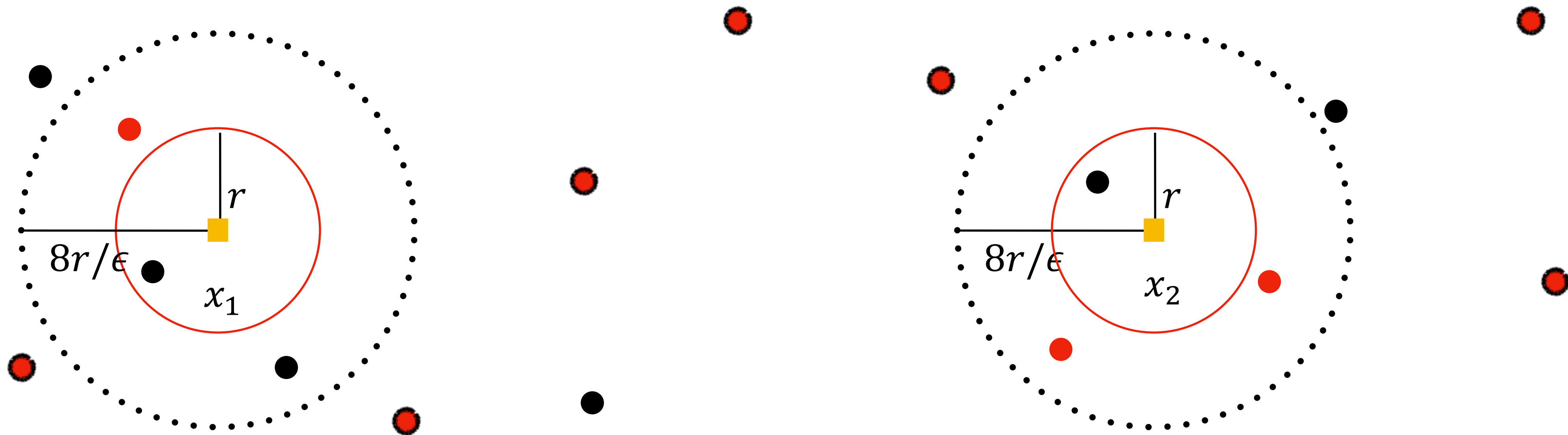
d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$



Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$

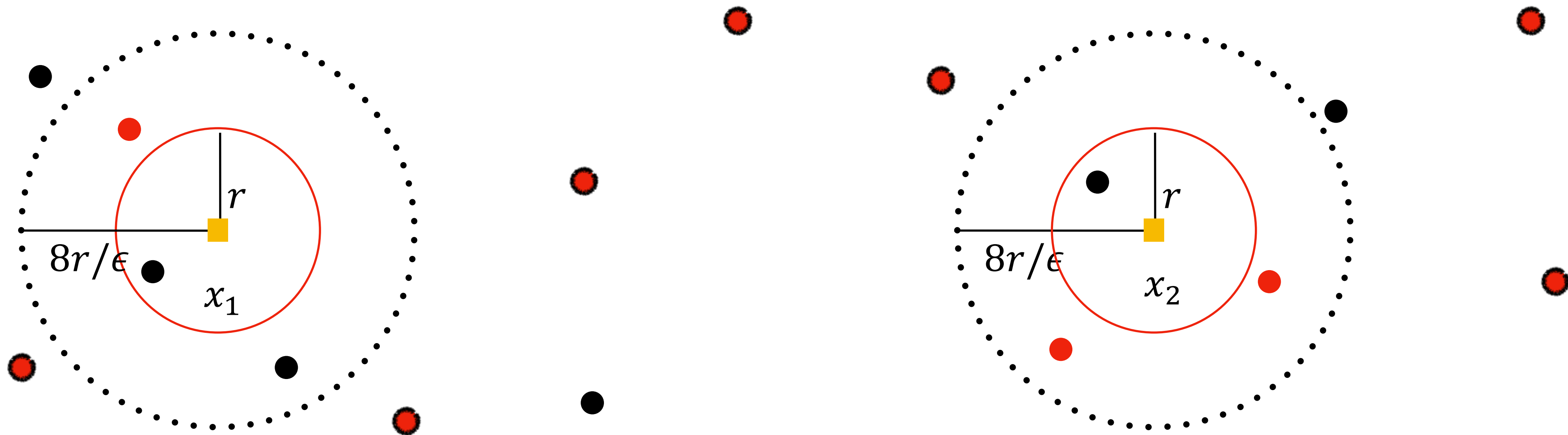


Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

Far away witnesses

d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$



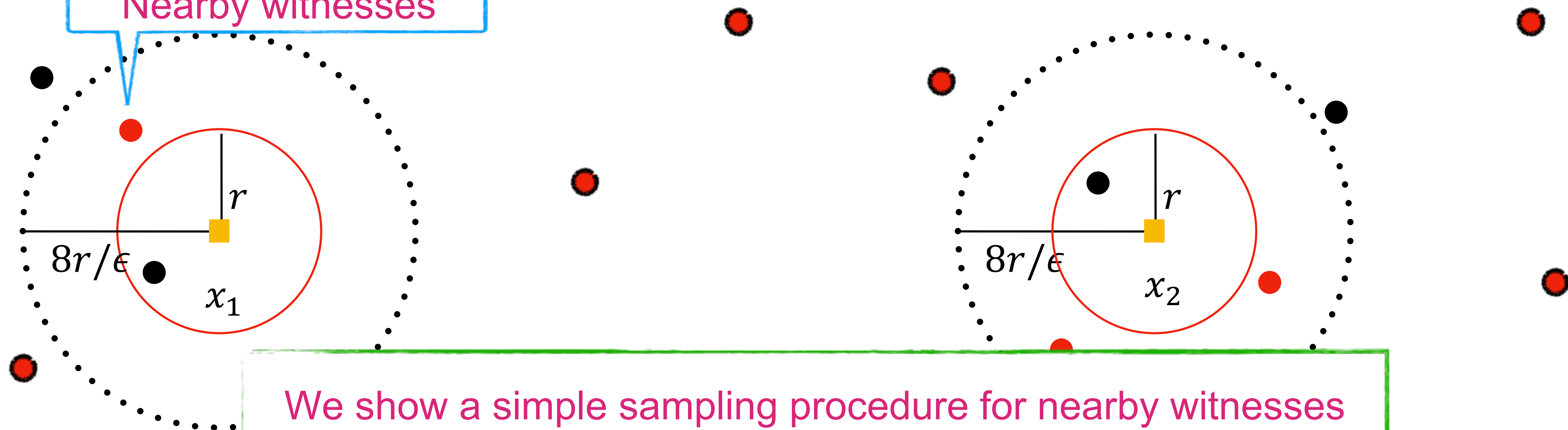
Sampling Witness

Witness: $d_{(1+\epsilon)r}(p, X) > (1 + \epsilon/10) \cdot d_r(p, O)$

d_r does not satisfy triangle inequality. But, $d_r \approx d$ when $d_r = \Omega(r/\epsilon)$

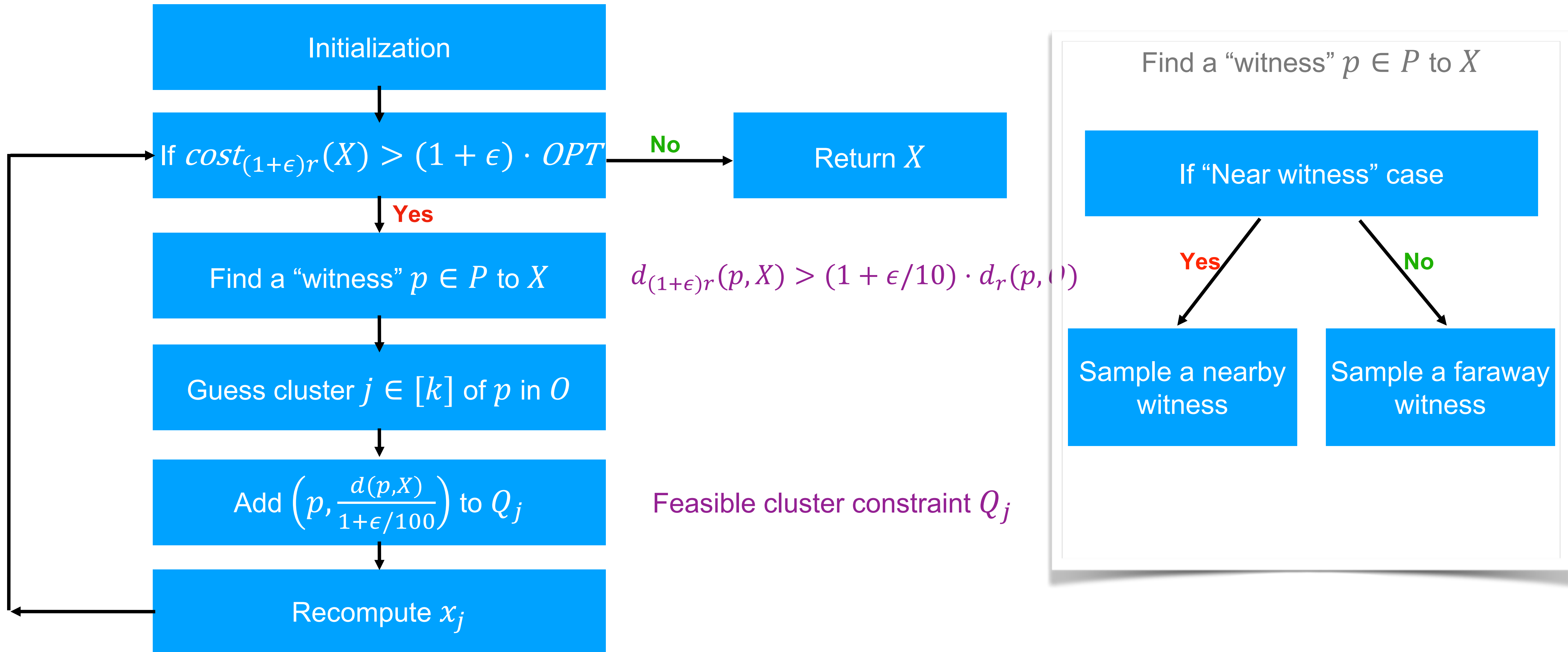
Far away witnesses

Nearby witnesses



We show a simple sampling procedure for nearby witnesses

Our Algorithm



Summary

Showed a bi-criteria EPAS for Hybrid Clustering

Metric spaces with bounded scatter dimension

Norm objective of r -distances

Generalize FOCS'23 EPAS framework for r -distances

Designed coresets for Hybrid Clustering in doubling dimensions

Derandomization?

Constrained variants of Hybrid Clustering?

capacities, outlier, fairness

Polynomial-time approximability?

(18,6) is known

Thank You!