



# There and back again



Sebastian Wild

[www.wild-inter.net](http://www.wild-inter.net)



since 01.09.2024 Professor @



2019 – 2024  
(Senior) Lecturer @



Liverpool, UK

Marburg

2019

2017

Kaiserslautern

2016 PhD



2017 – 2019  
postdoc @



UNIVERSITY OF  
WATERLOO

Waterloo, Canada

# Research Interests: (1) Beyond worst-case algorithms

## Goal:

Improve performance when input is "tame" (far from worst case)

## My Focus:

Fundamental algorithms & data structures

Dictionaries, Sorting, Searching

adaptive sorting: exploit "presortedness"

• few inversions



• few runs



• few outliers



ANALCO

ESA

ALENEX

BTW

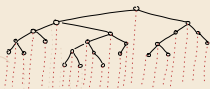
lazy search trees

PQ



only min accessible

BST



fully sorted

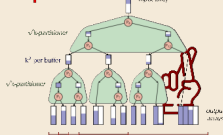


external memory

dynamics

cache-oblivious multiple selection

k-partitioner



ESA

SIWAT

FOCS

# Research Interests: (2) Space-efficient Data Structures

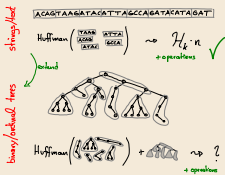
## Goal:

Store data in compressed form  
But retain efficient access  
(without decompressing first)

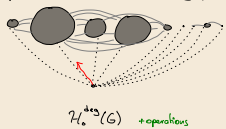
## My Focus:

Graph-structured data

universally compressed  
tree data structure

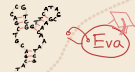


preferential-attachment graphs

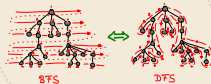


generalizes to

RNA secondary structure  
compression & operations



levelorder in  
succinct trees

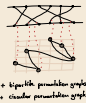


succinct distance oracles

interval graphs



permutation graph

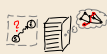


circle graphs ?

other geometric  
intersection graphs ?

semi-local graph representations

standard data structure



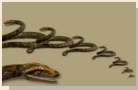
fully centralized

graph labeling schemes

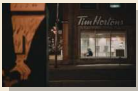


fully distributed

# Outline



## 1 Sort of a list



## 2 Timsort



## 3 Beware, Stackoverflow!



## 4 Merge policies



## 5 Powersort



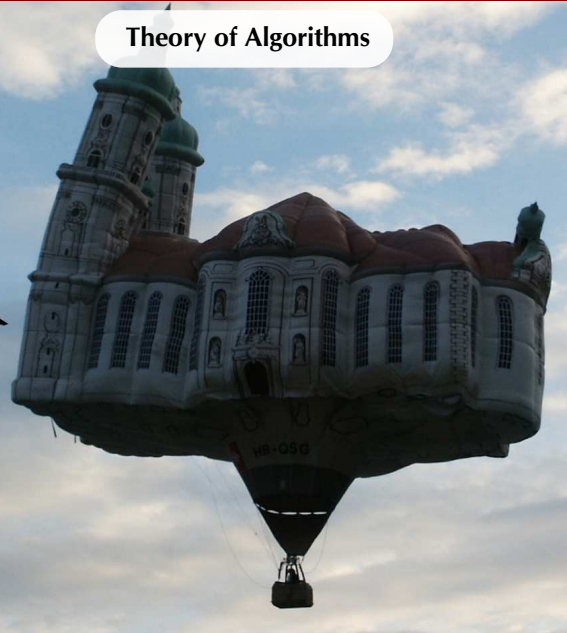
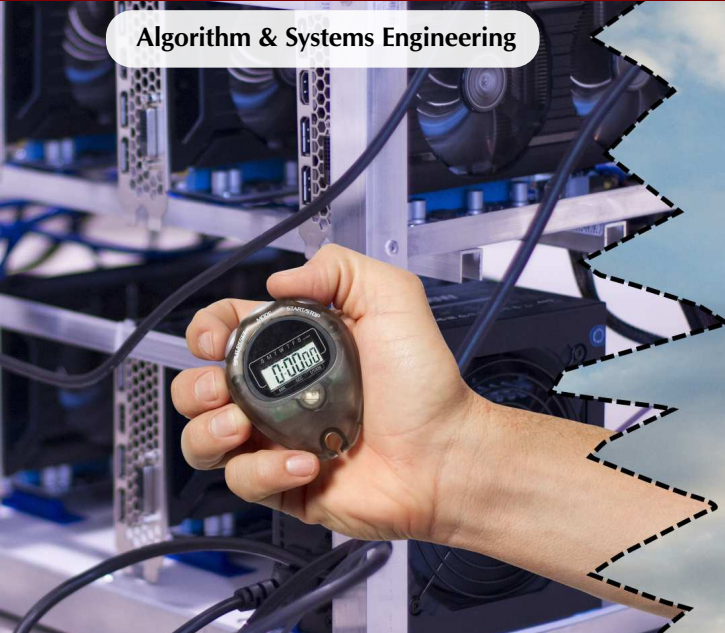
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# Algorithm Science

Algorithm & Systems Engineering

Theory of Algorithms



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## Algorithm & Systems Engineering

- measured performance
- specific to machine
- reproducible?
- does it *generalize*?

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- no actual prediction! (hidden constants!)
- pessimistic (worst case)
- does it say *anything*? (about real world)

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### 1 **Abstract Cost Models**

precise asymptotic analysis  
↔ quantitative predictions!

### 2 **Adaptive Analysis**

fine-grained input features  
↔  $\neq$  worst case

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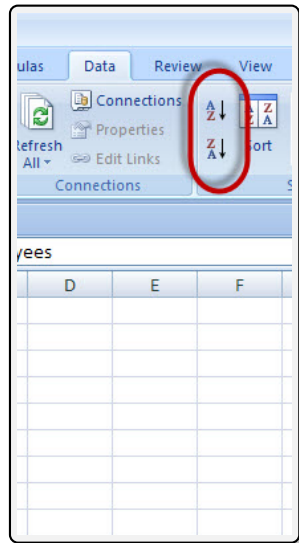


### **Platform-independent performance guarantees**

- concrete quantitative model
- validated by experiments

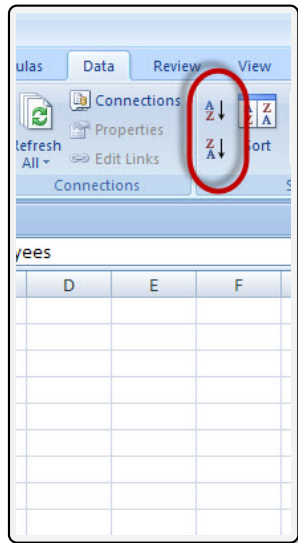
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- We use sorting to
  - to make searching faster (binary search!)
  - clean data (remove dups, get canonical form of things)
  - to present data neatly for users
  - as building block in algorithms (database join, sweepline, ...)
  - ...



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- built-in functions in Python: `my_list.sort()` and `sorted(my_list)`
  - key parameter to specify sorting criterion
  - prefer pairwise comparator function?  $\rightsquigarrow$  `functools.cmp_to_key`





# Stable Sorting

unsorted input

	A	B	
1	<b>First Name</b>	<b>Last Name</b>	
2	Homer	Simpson	
3	Ralph	Wiggum	
4	Maggie	Simpson	
5	Abraham	Simpson	
6	Cletus	Spuckler	
7	Barney	Gumble	
8	Bart	Simpson	
9	Hans	Moleman	
10	Ned	Flanders	
11	Edna	Krabappel	
12	Lenny	Leonard	
13	Jimbo	Jones	
14	John	Frink	
15	Agnes	Skinner	
16	Martin	Prince	
17	Fat	Tony	
18	Marge	Simpson	
19	Otto	Mann	
20	Troy	Mcclure	
21	Moe	Szyslak	
22	Apu	Nahasapeemapetilon	
23	Patty	Bouvier	
24	Lisa	Simpson	
25	Chief	Wiggum	
26	Kent	Brockman	
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sorted by First Name

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sorted by Last Name

	A	B	
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3	Patty	Bouvier	
4	Selma	Bouvier	
5	Kent	Brockman	
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# CPython Sorting History

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Bentley & McIlroy: *Engineering a sort function*, Softw. Prac. Exp. 1993

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3.11.1 – ∞?	2022	<b>Powersort</b> 	Timsort with Powersort merge policy [MW18]	✓

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**[MW18]**  Munro & Wild: *Nearly-Optimal Mergesorts: Fast, Practical Sorting Methods That Optimally Adapt to Existing Runs*, ESA 2018

# Outline



**1** Sort of a list



**2** Timsort



**3** Beware, Stackoverflow!



**4** Merge policies



**5** Powersort

## 2 Timsort





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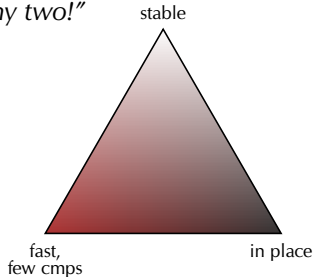
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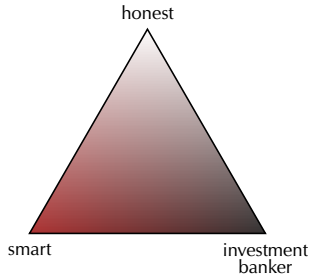
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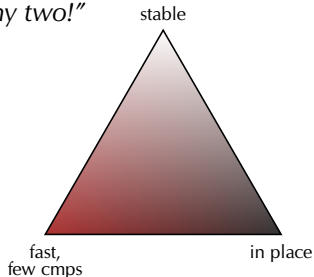
≈?



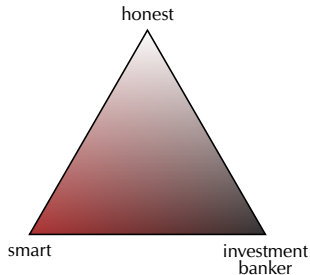
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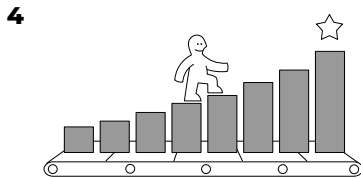
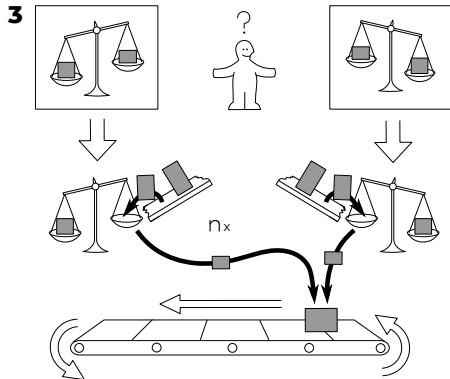
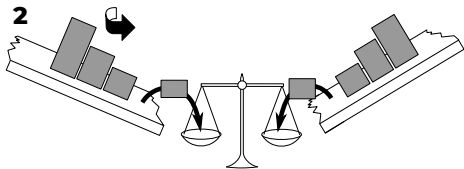
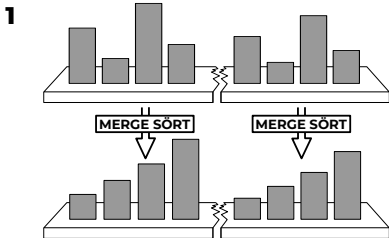
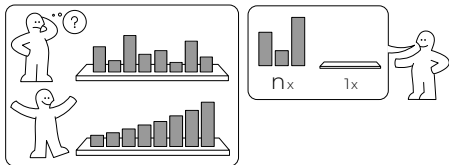
≈?



- Python has lots of objects and pointers anyways ... “in-place” property least painful to sacrifice

↪ Mergesort!

# MERGE SÖRT



# Timsort = Mergesort++

## Various modifications

- 1 detect existing **runs**  
run = any (weakly) increasing or  
(strictly) decreasing range
- 2 **merge policy** decides when to merge which runs
- 3 keeping runs on a **fixed-size** runstack
- 4 **galloping** merges  
use exponential searches  
to find position in other run
- 5 **minimum run lengths** with binary insertion sort  
choose  $32 \leq \text{minrun} \leq 64$ , so that  $\lceil \frac{n}{\text{minrun}} \rceil$  is  $2^k$  or slightly smaller  
fill runs to **minrun** elements



Tim Peters et al.: *listsort.txt*, CPython sources

# Timsort merge policy (original)

---

```
1 def timsort(lst):                full code:
2     i = 0; runs = []             tiny.cc/timsort
3     while i < len(lst):
4         j = extend_run(lst, i)
5         runs.append((i,j-i)); i = j
6         while Rule A/B/C applicable
7             merge X,Y resp. Y,Z
8     while len(runs) > 1:
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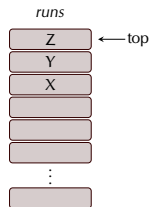
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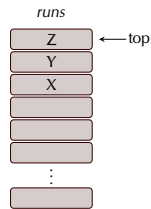


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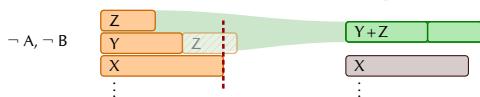
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**Rule B:**  $Z \geq Y \rightsquigarrow \text{merge}(Y, Z)$



**Rule C:**  $Y + Z \geq X \rightsquigarrow \text{merge}(Y, Z)$

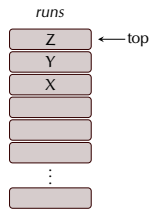


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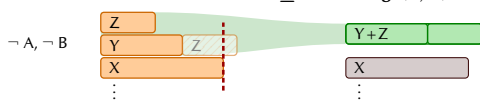
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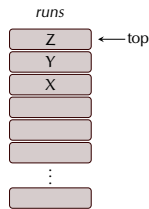


- `extend_run` detects next run & boosts to `minrun` if necessary
- **Goal:** runs on stack grow like Fibonacci numbers
  - Invariant:  $\forall j: \text{runs}[j] \geq \text{runs}[j+1] + \text{runs}[j+2]$
  - $\rightsquigarrow$  max stack height  $\approx \log_{\phi}(n)$

# Timsort merge policy (original)

```
1 def timsort(lst):
2     i = 0; runs = []
3     while i < len(lst):
4         j = extend_run(lst, i)
5         runs.append((i,j-i)); i = j
6         while Rule A/B/C applicable
7             merge X,Y resp. Y,Z
8     while len(runs) > 1:
9         merge Y,Z
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)



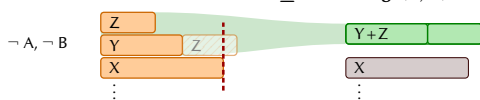
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- Why exactly these rules?

- Tim Peters: "first thing I tried that 'worked well'"

(a) small runs stack,  
(b) balanced on equal runs,  
(c)  $O(n \log n)$  worst case\*

# Outline



**1** Sort of a list



**2** Timsort



**3** Beware, Stackoverflow!



**4** Merge policies



**5** Powersort



3

Beware, Stackoverflow!

# Invariant trouble

Recall this?

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Proving that Android's, Java's and Python's  
sorting algorithm is broken (and showing  
how to fix it)

© February 24, 2015  Envisage Written by Stijn de Gouw.  Ss

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not true(!) for naughty pattern of run lengths



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**de Gouw, de Boer, Bubel, Hähnle, Rot, Steinhöfel:** *Verifying OpenJDK's  
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not true(!) for naughty pattern of run lengths

- In Java: `Arrays.sort(Object[])`  
could throw `ArrayIndexOutOfBoundsException`  
for specific input of size 67,108,864
  - Timsort in Java since 2009, but issue never reported?
  - first (incorrectly!) patched in 2013, then a second time in 2015 ...
- for CPython: patched in 2015
  - mostly a theoretical issue (needs  $\geq 2^{49}$  elements)



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# Timsort merge policy (patched)

---

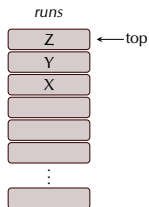
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1 def timsort(lst):
2     i = 0; runs = []
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6         while Rule A/B/C/D applicable
7             merge corresponding runs
8     while len(runs) > 1:
9         merge topmost 2 runs
```

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- Need to add a **Rule D**



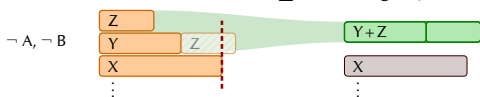
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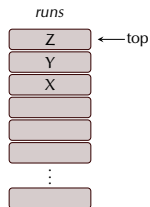
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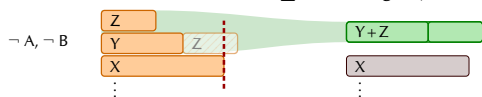
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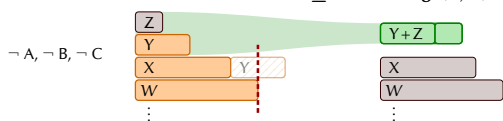
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**Rule D:**  $X + Y \geq W \rightsquigarrow \text{merge}(Y, Z)$

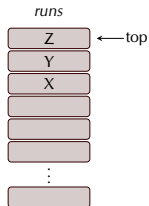


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```

## ● Need to add a **Rule D**

→ **Invariant:**  $\forall j = 0, \dots, \text{len}(\text{runs}) - 3:$   
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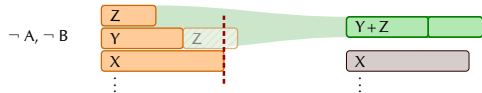
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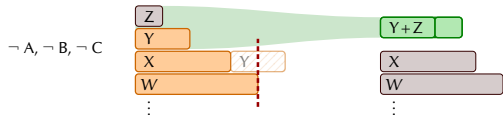
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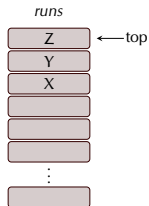
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- running time indeed  $O(n \log n)$   
... very complicated to prove



Auger, Jugué, Nicaud, Pivoteau: *On the Worst-Case Complexity of TimSort*, ESA 2018



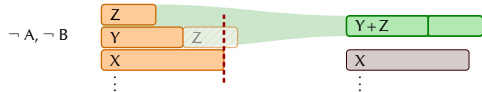
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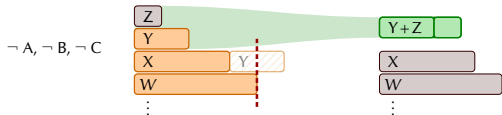
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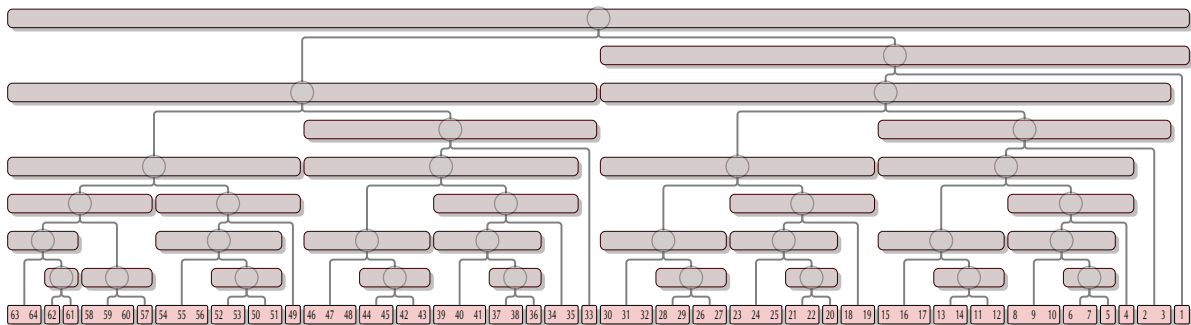
# Timsort bad case

- Timsort's merge policy mostly works OK



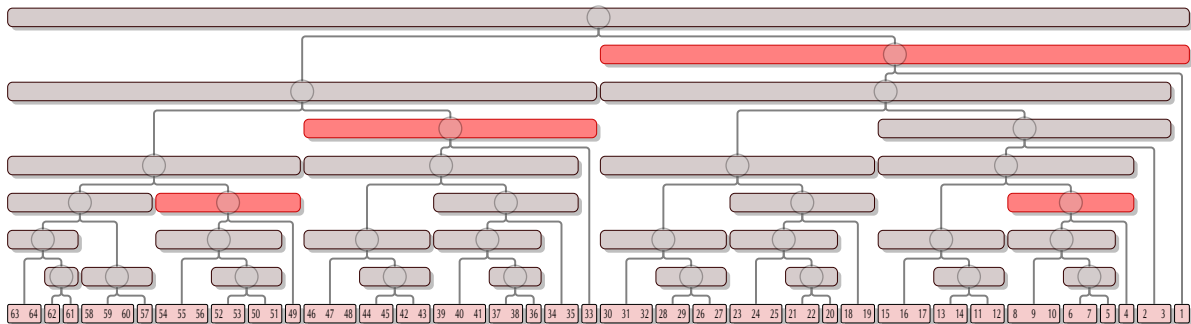
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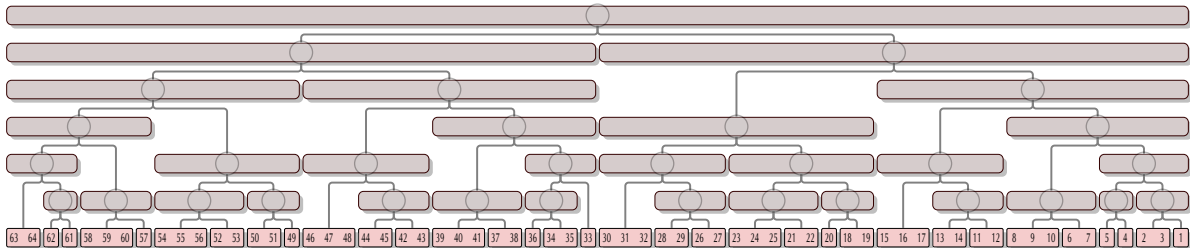
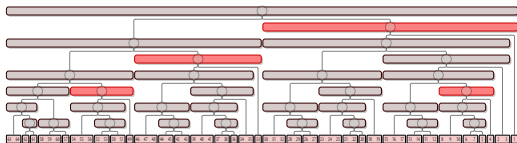
- intuitive problem: regularly very unbalanced merges



Buss, Knop: *Strategies for Stable Merge Sorting*, SODA 2019

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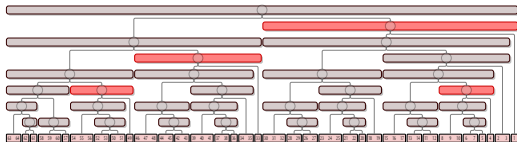
- intuitive problem: regularly very unbalanced merges
- can do much better (merge cost 321 vs. 371)
- As  $n$  increases, 50% higher merge cost than standard mergesort



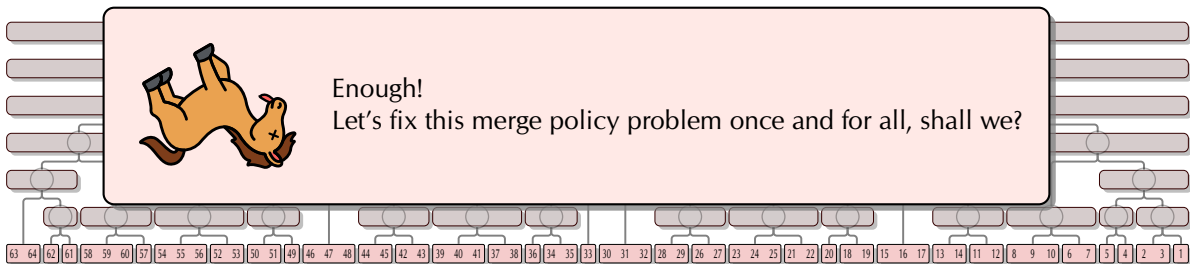
Buss, Knop: *Strategies for Stable Merge Sorting*, SODA 2019

# Timsort bad case

- Timsort's merge policy mostly works OK
- ...but does stupid things on certain inputs:



Enough!  
Let's fix this merge policy problem once and for all, shall we?

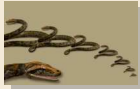


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# Outline



**1** Sort of a list



**2** Timsort



**3** Beware, Stackoverflow!



**4** Merge policies



**5** Powersort



BAYNARD BRIDGE-TUNNEL

DOWNTOWN MYSTIC BEACH	4 MI	10-12MIN
VETERAN'S BEACH	6 MI	15-19 MIN
METRO BAYNARD TRAUMA	8 MI	10-13 MIN

EXPECT DELAYS DOWNTOWN

REDUCE SPEED AHEAD

SPEED LIMIT 55

CHECK STATION

# 4 Merge policies

# Merge policies from first principles

## Run-adaptive mergesort

↙ interleaved in code

Conceptually two steps:

# Merge policies from first principles

## Run-adaptive mergesort

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Conceptually two steps:

- 1 *Find runs in input.*



# Merge policies from first principles

## Run-adaptive mergesort

*interleaved in code*

Conceptually two steps:

- 1 *Find runs in input.*
- 2 *Merge them*

# Merge policies from first principles

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↙ interleaved in code  
Conceptually two steps:

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↖ determined by  
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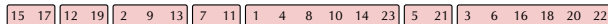
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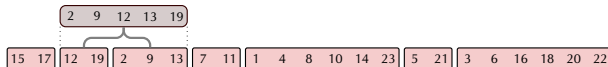
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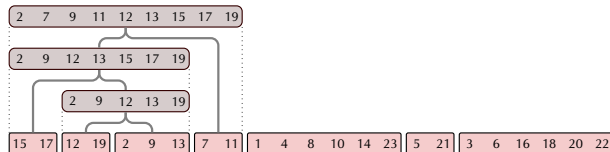
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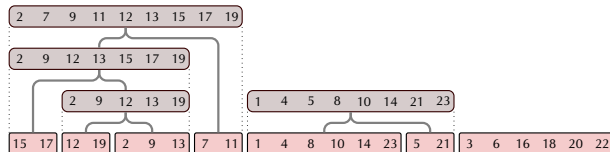
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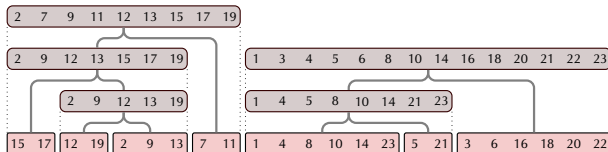
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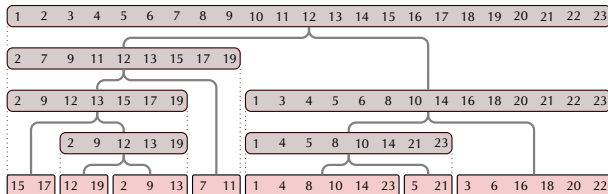
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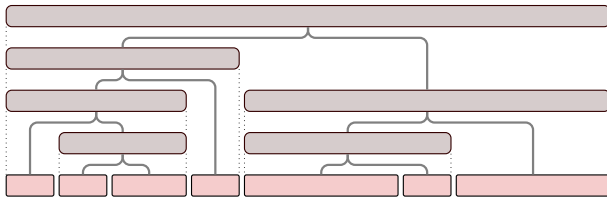
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↪ Merge policy = algorithm to choose merge tree

### Merge costs

- cost of merge := size of output
  - $\approx$  memory transfers
  - $\geq$  #cmps
- total cost = total area of

# Merge policies from first principles

Run-adapti

Conceptua

1 Find r

2 Merge

Here:

• only b

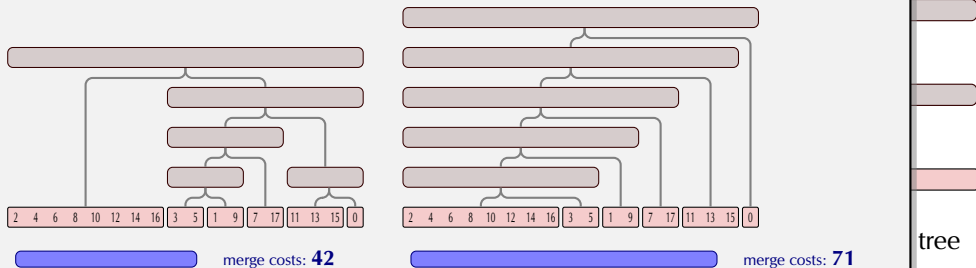
↪ 2 bec

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Different merge policies yield different merge costs!



Merge costs

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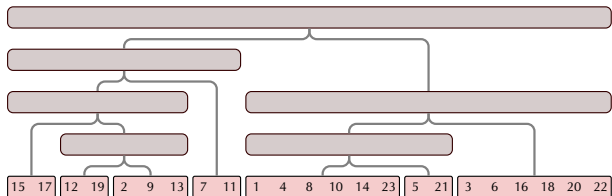
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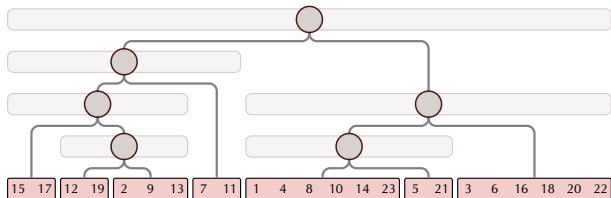



# Mergesort meets Binary Search Trees



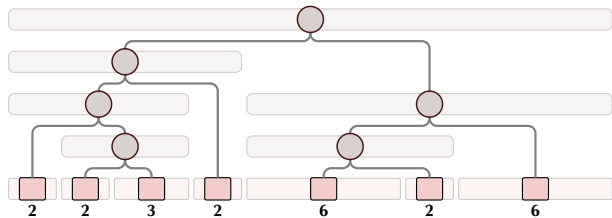
**Merge cost** = total area of 


# Mergesort meets Binary Search Trees



**Merge cost** = total area of   
= total length of paths to all array entries

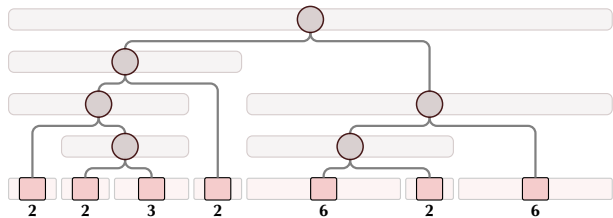
# Mergesort meets Binary Search Trees




**Merge cost** = total area of   
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$$\sum_{w \text{ leaf}} \text{weight}(w) \cdot \text{depth}(w)$$

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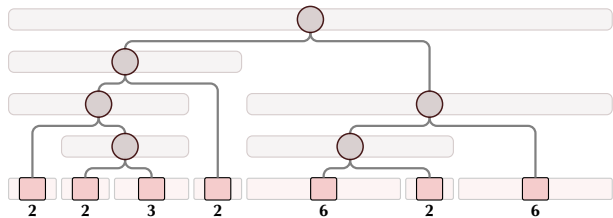



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~> **optimal** merge tree run lengths  
= optimal **BST** for leaf weights  $L_1, \dots, L_r$

# Mergesort meets Binary Search Trees



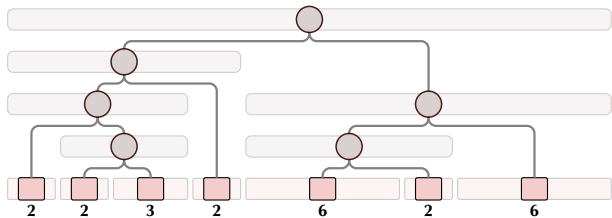
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# Mergesort meets Binary Search Trees



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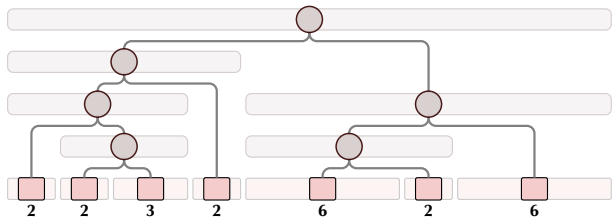


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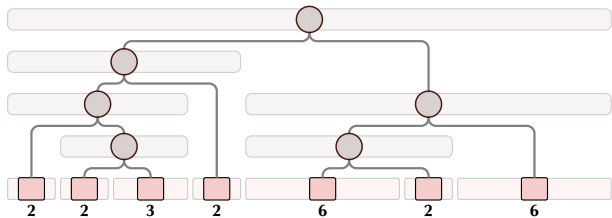
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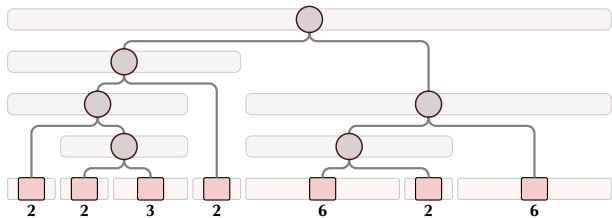
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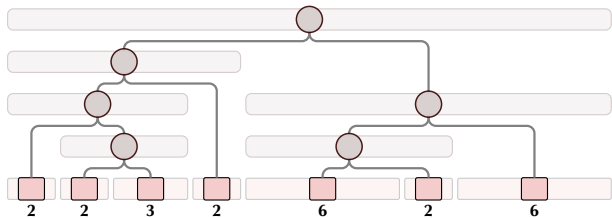
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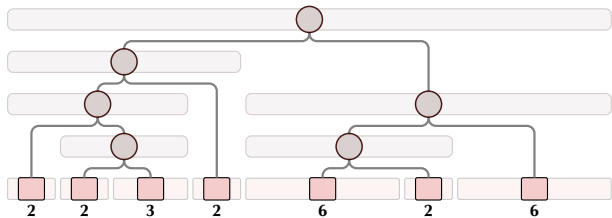
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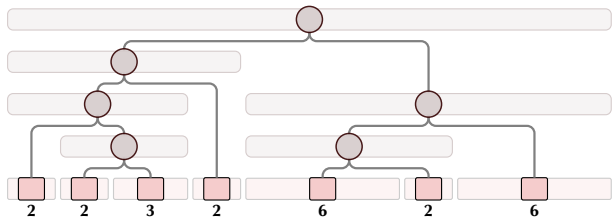



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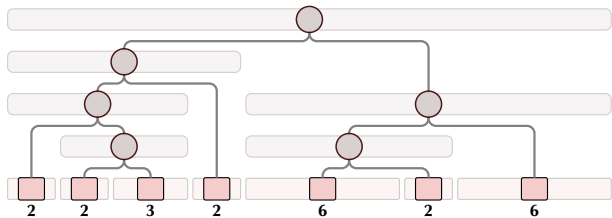
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- Powersort is based on the **bisection method**



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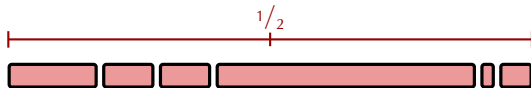


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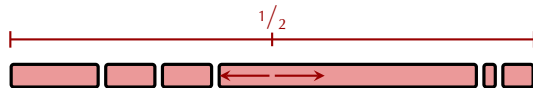


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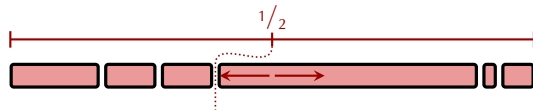


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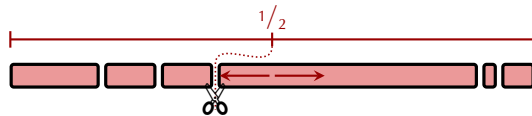


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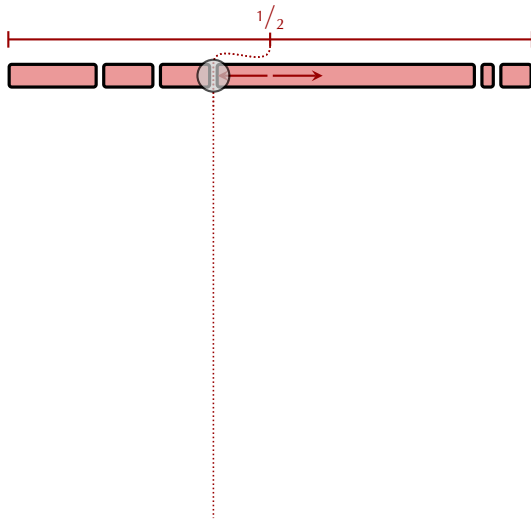


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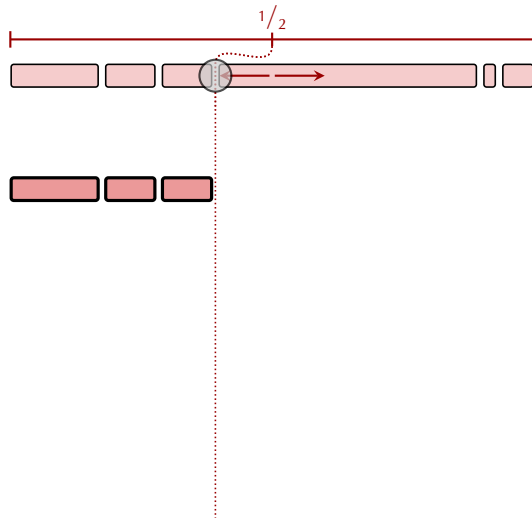


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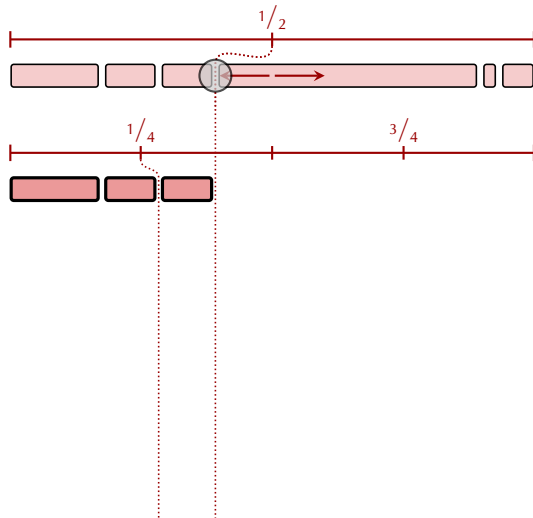


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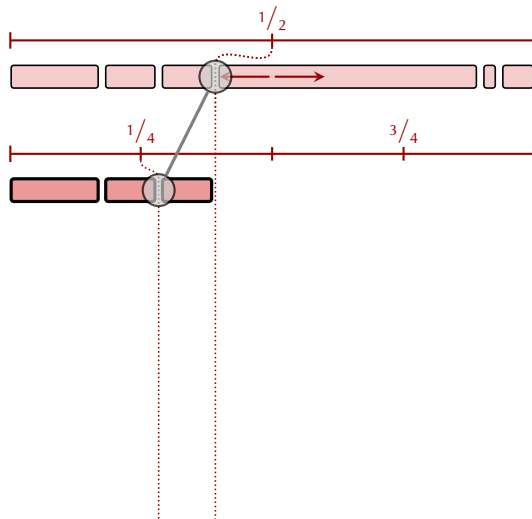


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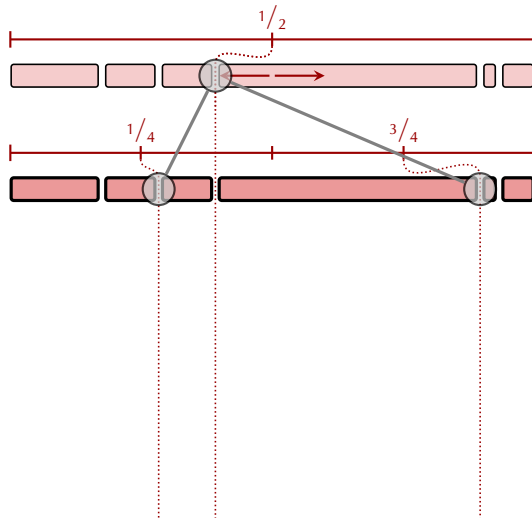


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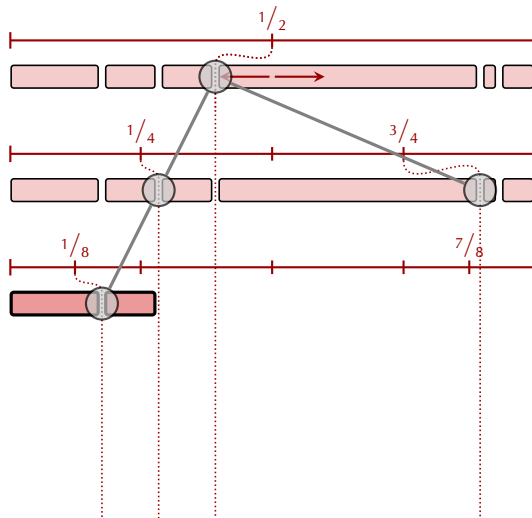


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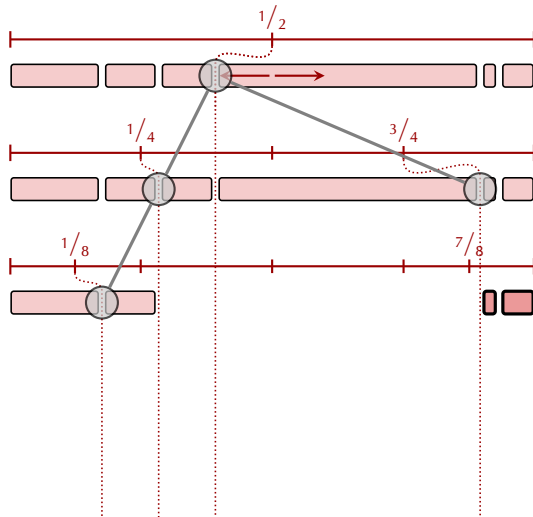


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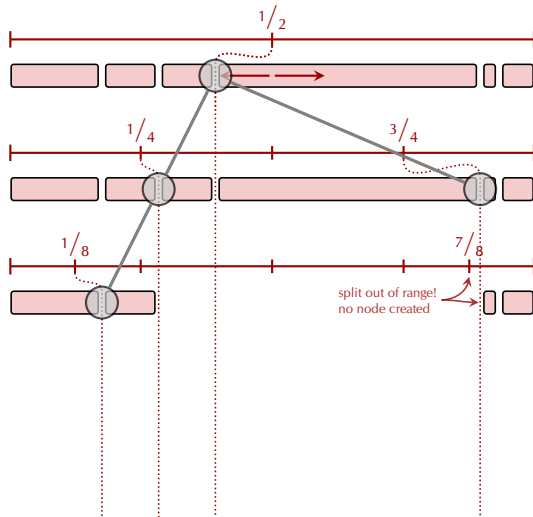


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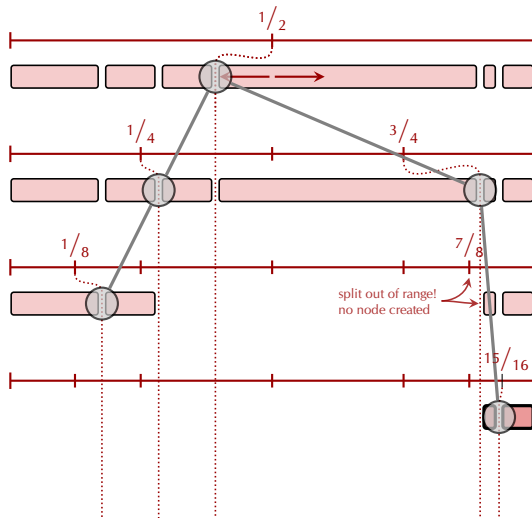


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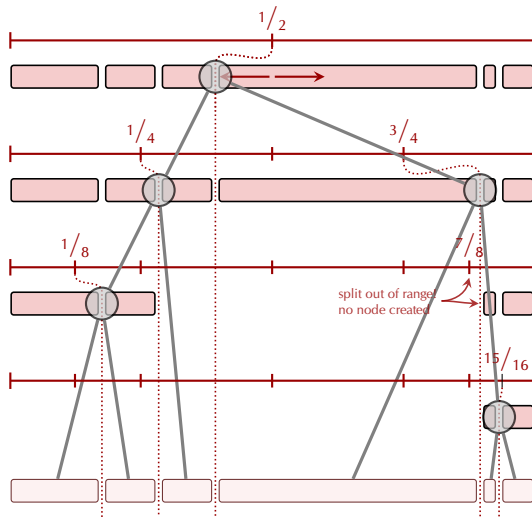


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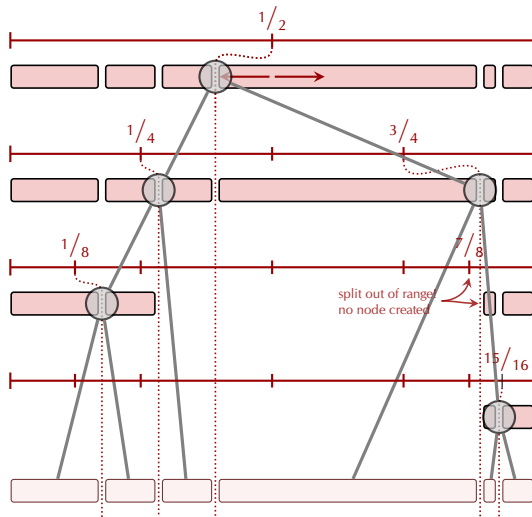


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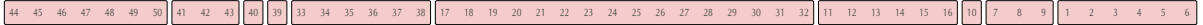
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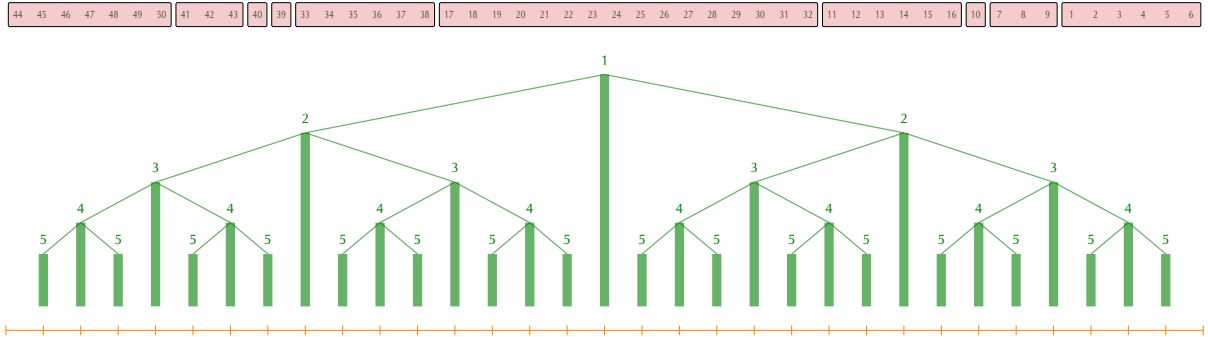
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- *Up next:* Don't use recursion!



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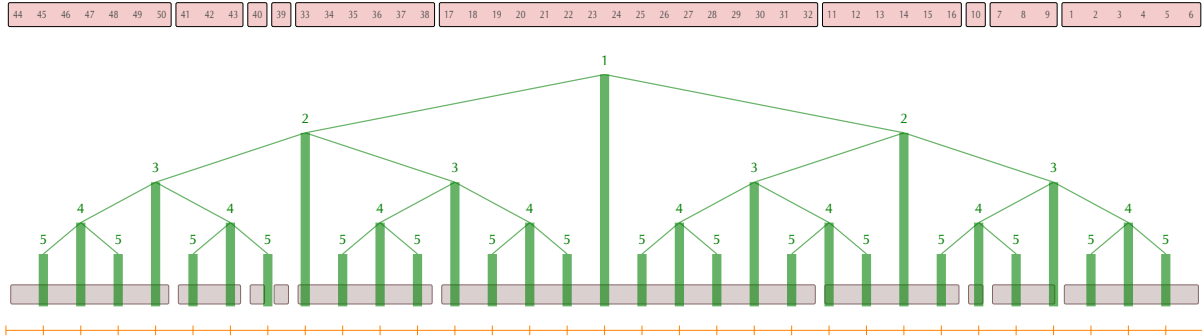


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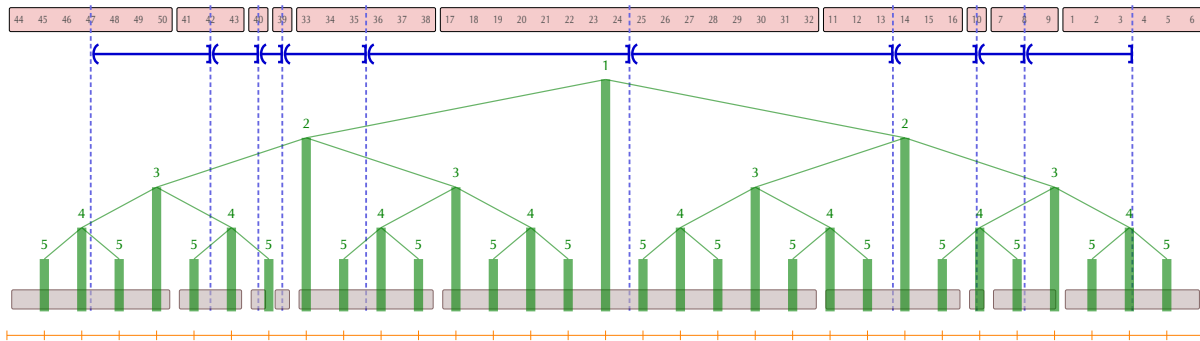
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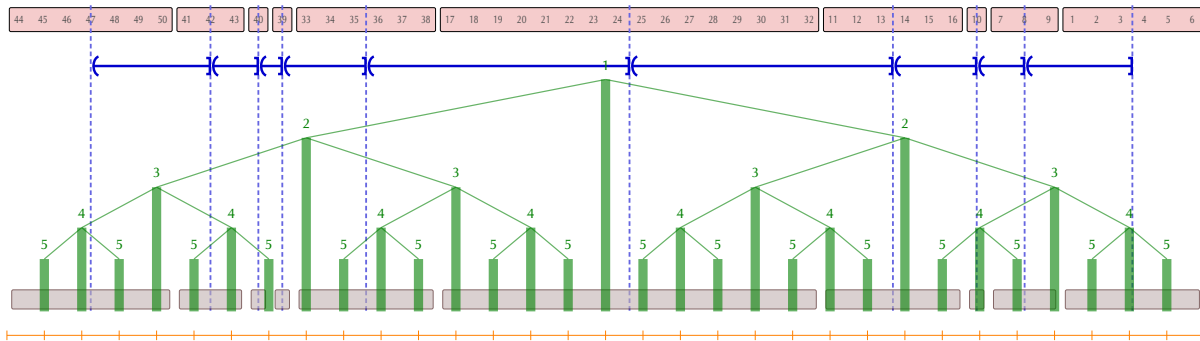
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- (virtual) perfect balanced binary tree
- midpoint intervals “snap” to closest virtual tree node



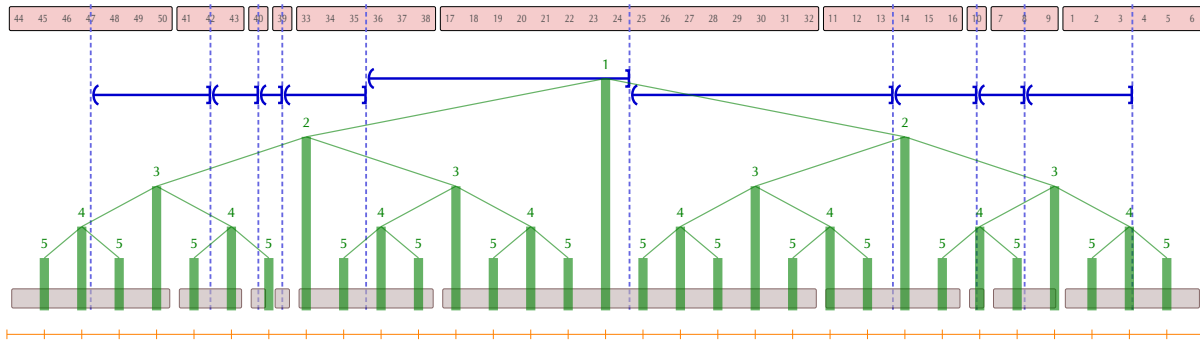
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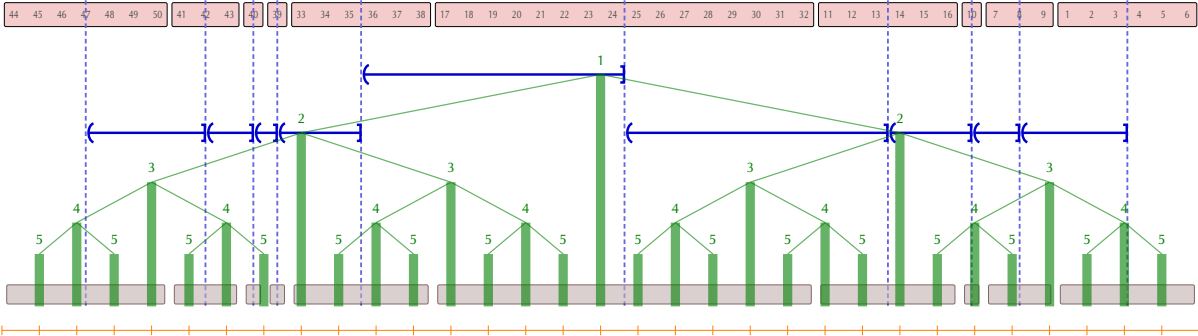
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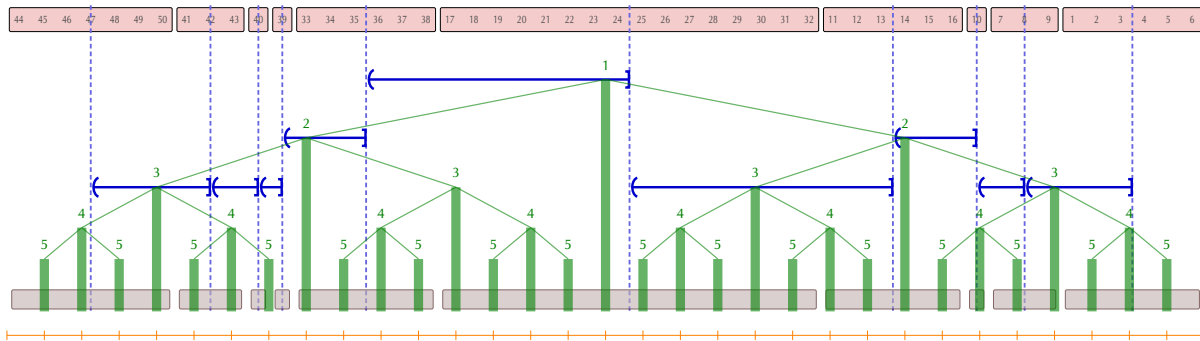
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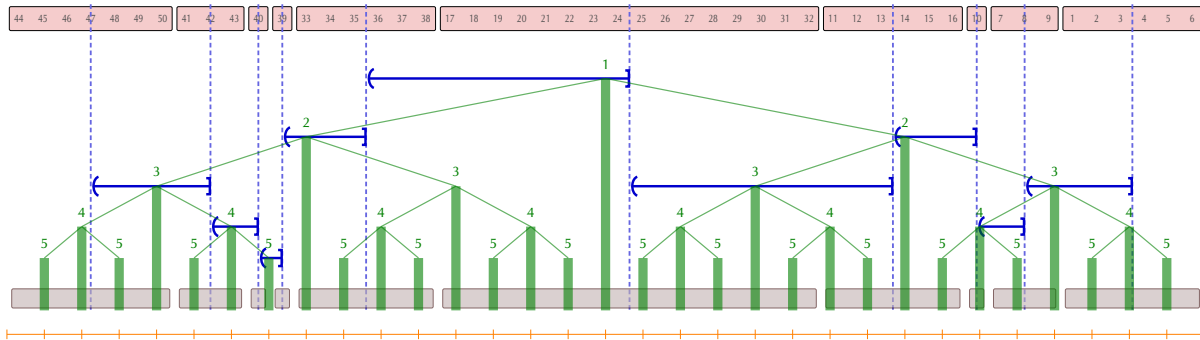
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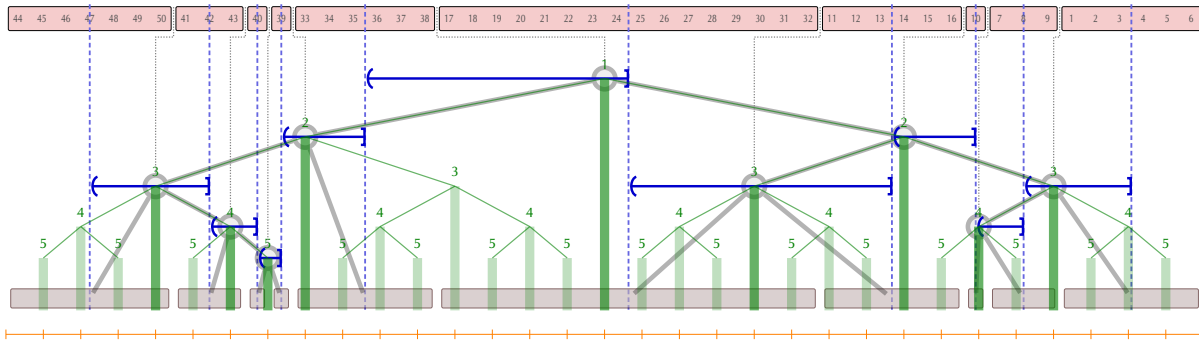
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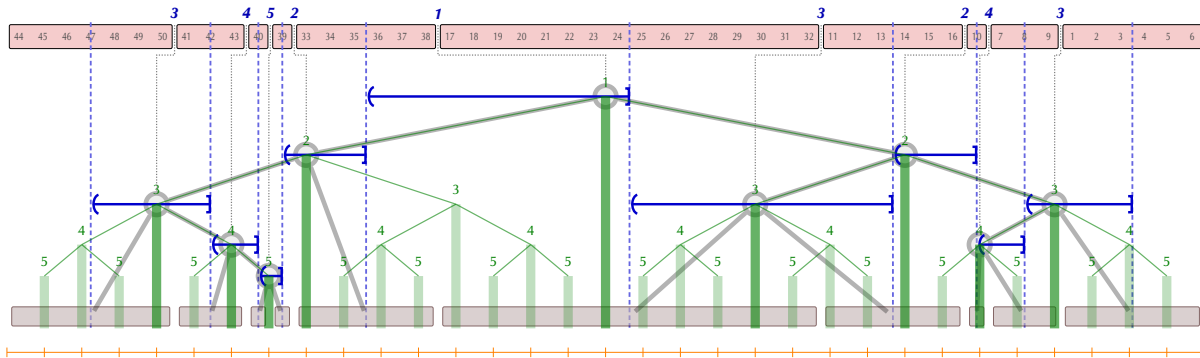


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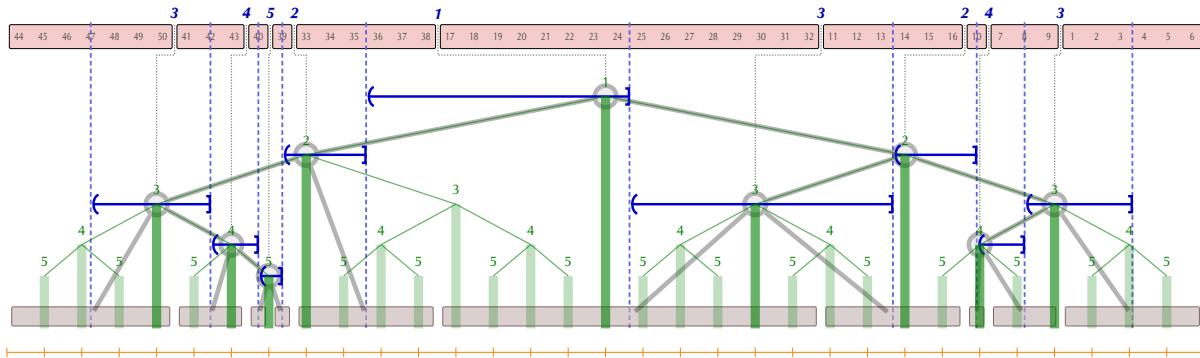
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⇒ assigns each run boundary a depth



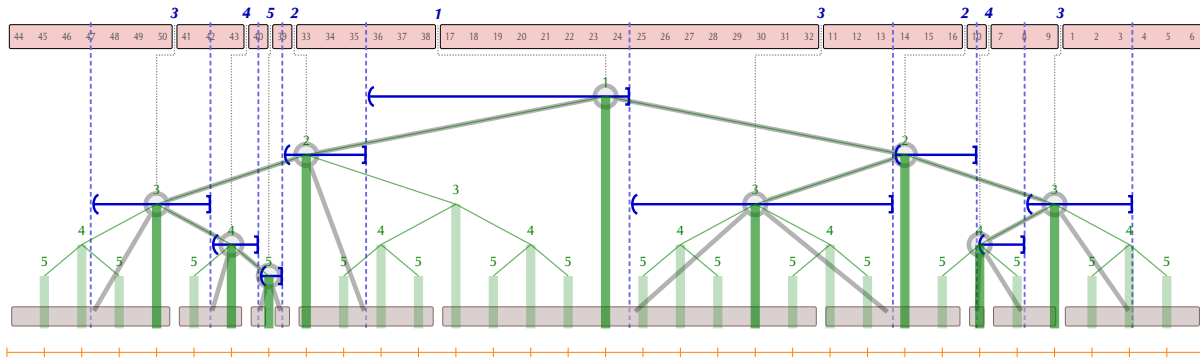
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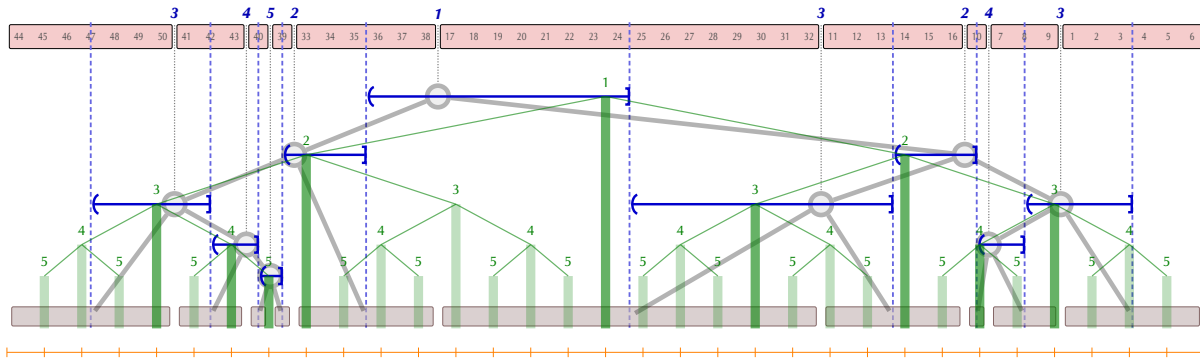
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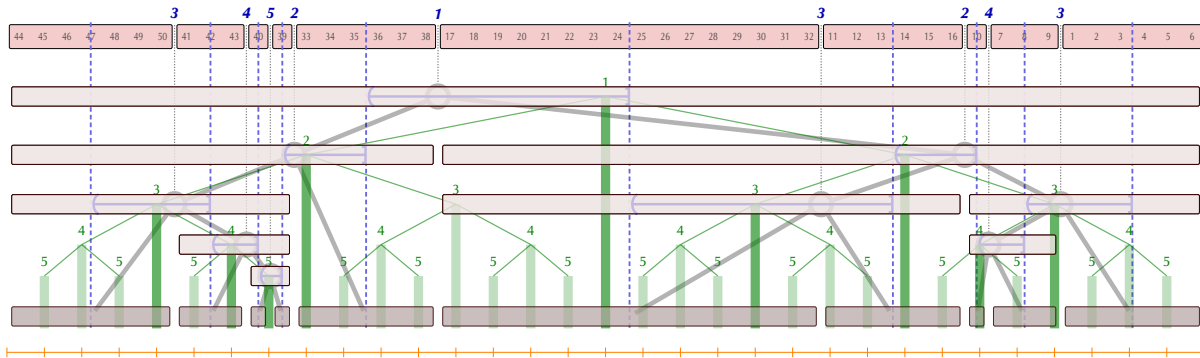
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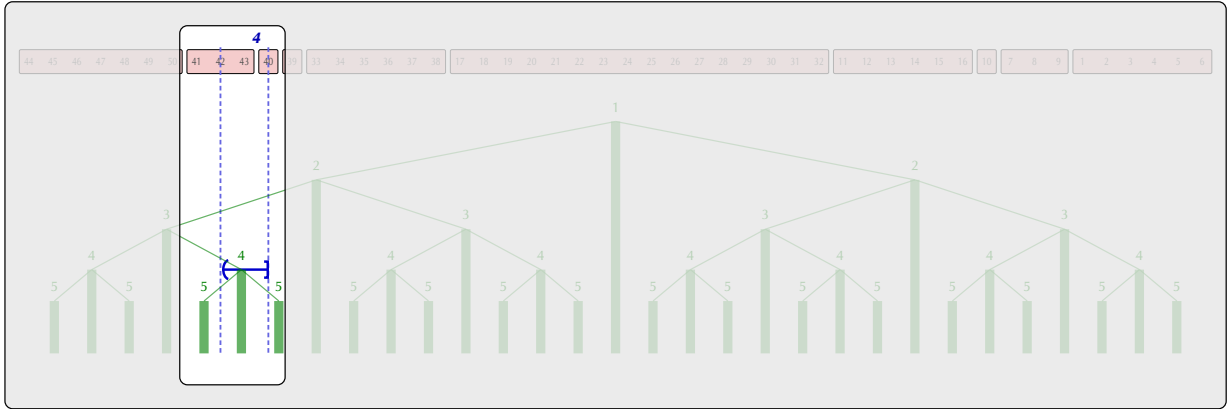
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# Run-Boundary Powers are Local



Computation of powers only depends on two adjacent runs.

# Outline



**1** Sort of a list



**2** Timsort



**3** Beware, Stackoverflow!



**4** Merge policies



**5** Powersort



**5 Powersort**

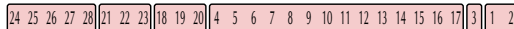
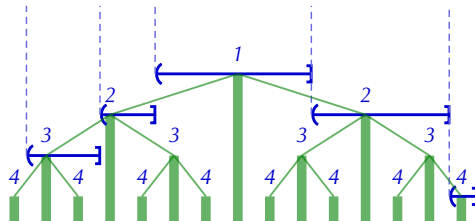


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
6   while i < n:
7     j = extend_run(lst, i)
8     p = power(runs[-1], (i,j-i), n)
9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:

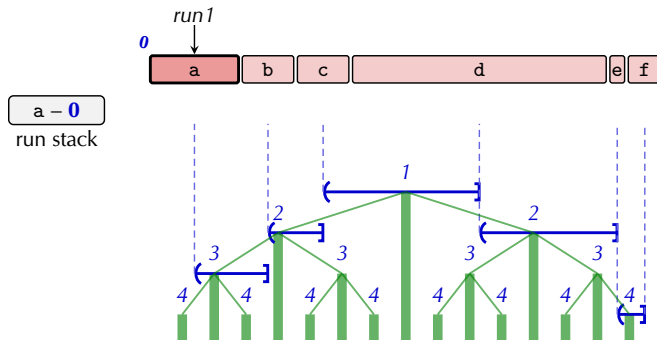
[tiny.cc/timsort](http://tiny.cc/timsort)



# Powersort

```
1 def powersort(lst)
2     i = 0; n = len(lst)
3     runs = [];
4     j = extend_run(lst, i)
5     runs.append((i,j-i,0)); i = j
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7         j = extend_run(lst, i)
8         p = power(runs[-1], (i,j-i), n)
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10             merge_topmost_2(lst, runs)
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12     while len(runs) >= 2:
13         merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

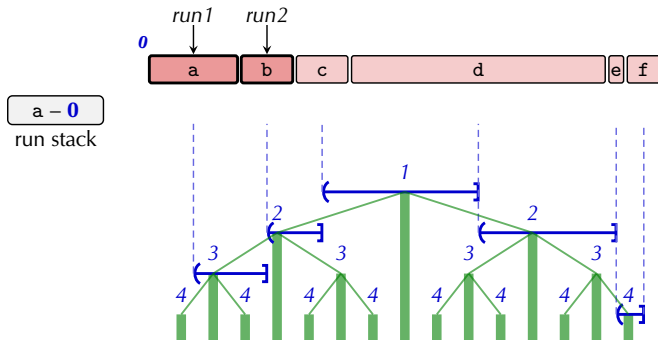


24 25 26 27 28 | 21 22 23 | 18 19 20 | 4 5 6 7 8 9 10 11 12 13 14 15 16 17 | 3 | 1 2

# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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13     merge_topmost_2(lst, runs)
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full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

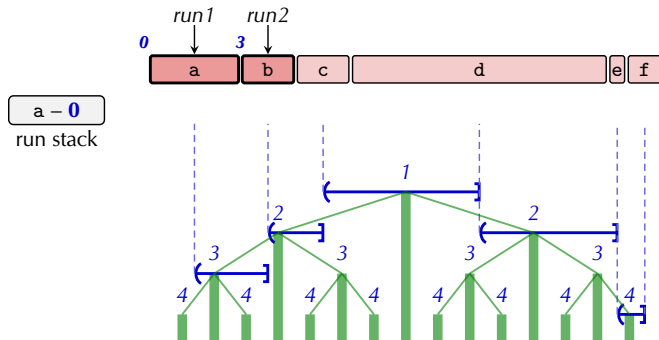


24 25 26 27 28 | 21 22 23 | 18 19 20 | 4 5 6 7 8 9 10 11 12 13 14 15 16 17 | 3 | 1 2

# Powersort

```
1 def powersort(lst)
2     i = 0; n = len(lst)
3     runs = [];
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5     runs.append((i,j-i,0)); i = j
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7         j = extend_run(lst, i)
8         p = power(runs[-1], (i,j-i), n)
9         while p <= runs[-1][2]
10             merge_topmost_2(lst, runs)
11             runs.append((i,j-i,p)); i = j
12     while len(runs) >= 2:
13         merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)



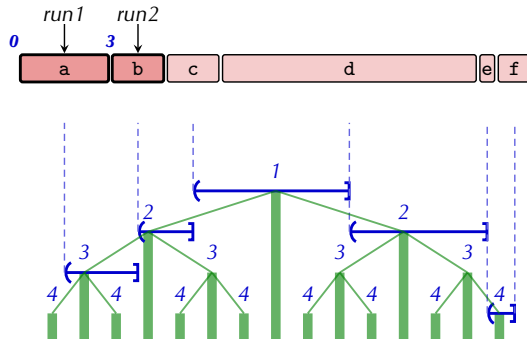
24 25 26 27 28 | 21 22 23 | 18 19 20 | 4 5 6 7 8 9 10 11 12 13 14 15 16 17 | 3 | 1 2

# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
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8     p = power(runs[-1], (i,j-i), n)
9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

b - 3  
a - 0  
run stack



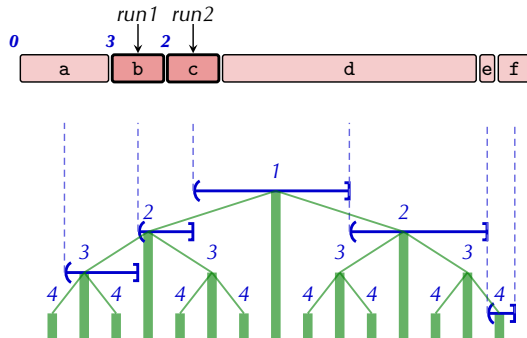
24 25 26 27 28 | 21 22 23 | 18 19 20 | 4 5 6 7 8 9 10 11 12 13 14 15 16 17 | 3 | 1 2

# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

b - 3  
a - 0  
run stack



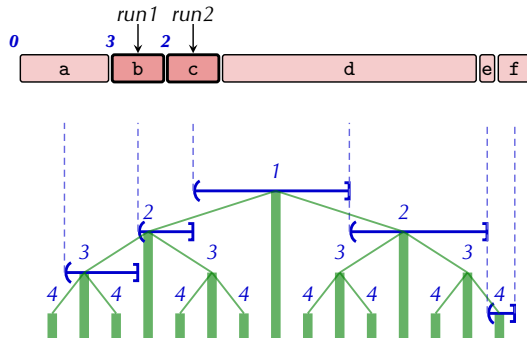
24 25 26 27 28 | 21 22 23 | 18 19 20 | 4 5 6 7 8 9 10 11 12 13 14 15 16 17 | 3 | 1 2

# Powersort

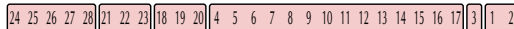
```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
6   while i < n:
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8     p = power(runs[-1], (i,j-i), n)
9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

c - 2
b - 3
a - 0

run stack

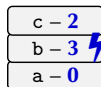


full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

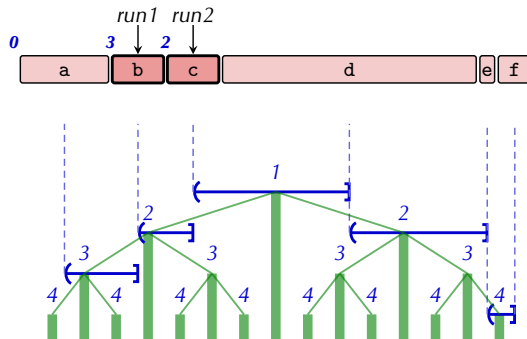


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

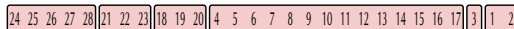


run stack



full code:

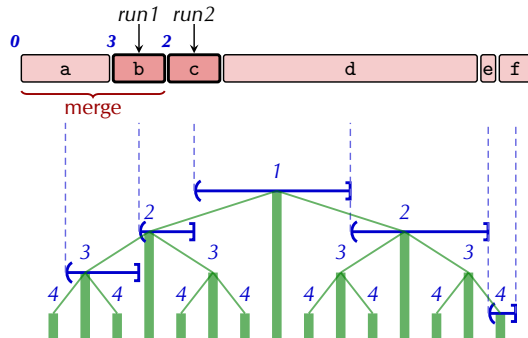
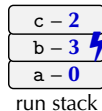
[tiny.cc/timsort](http://tiny.cc/timsort)



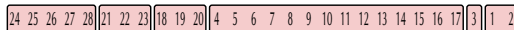


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
6   while i < n:
7     j = extend_run(lst, i)
8     p = power(runs[-1], (i,j-i), n)
9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```



full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

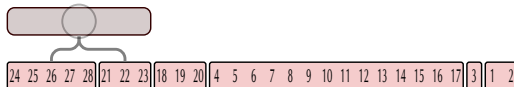
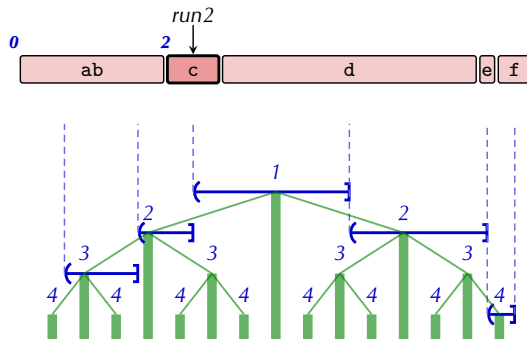


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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9     while p <= runs[-1][2]
10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

c - 2  
ab - 0  
run stack

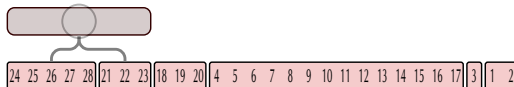
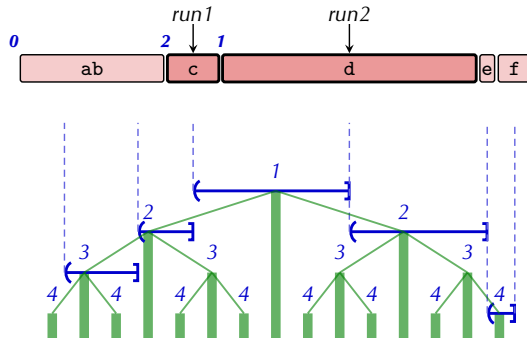


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

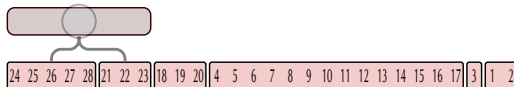
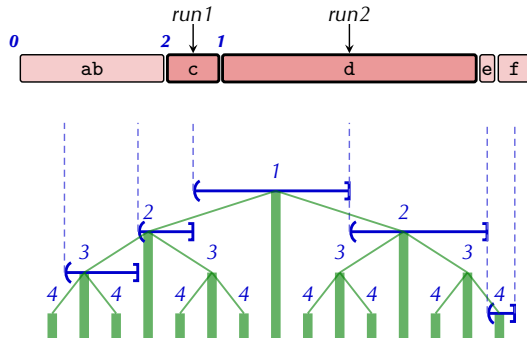
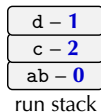
c - 2  
ab - 0  
run stack



# Powersort

```
1 def powersort(lst)
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```

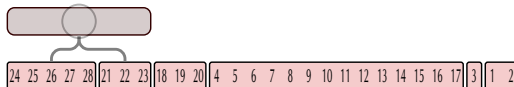
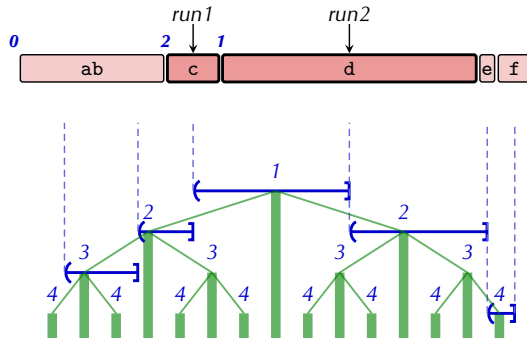
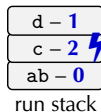
full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)



# Powersort

```
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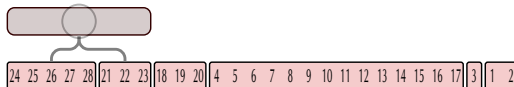
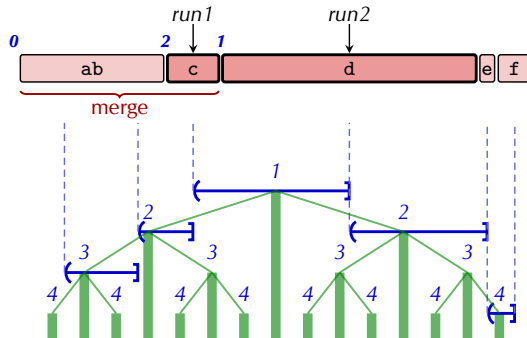
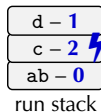
full code:  
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# Powersort

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full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

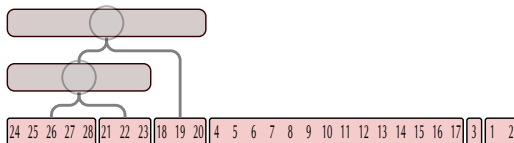
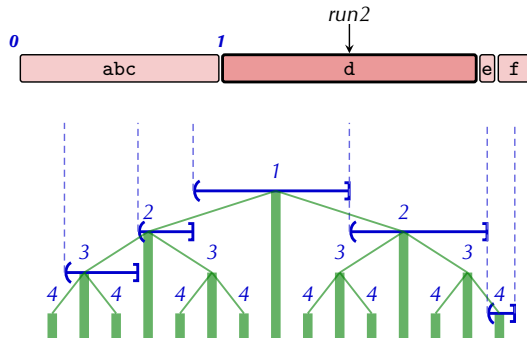


# Powersort

```
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12   while len(runs) >= 2:
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```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

d - 1  
abc - 0  
run stack

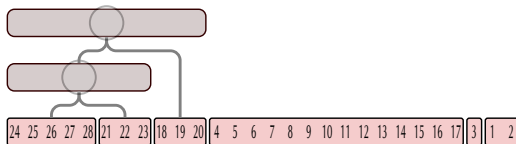
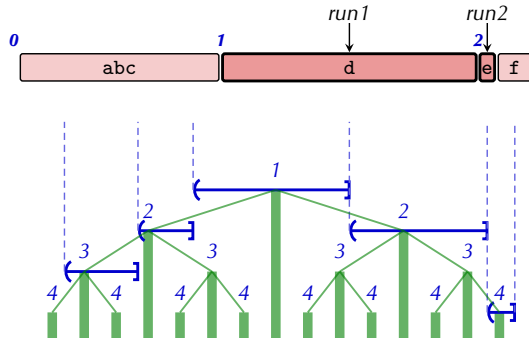


# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

d - 1  
abc - 0  
run stack





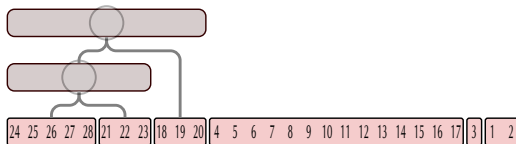
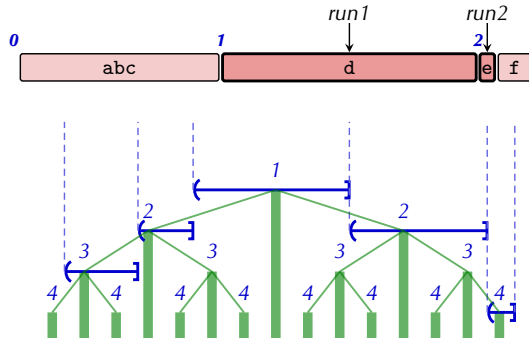
# Powersort

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10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

e - 2
d - 1
abc - 0

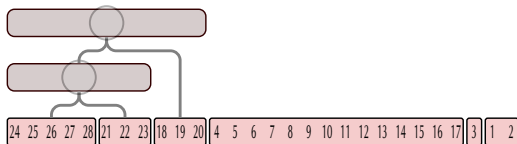
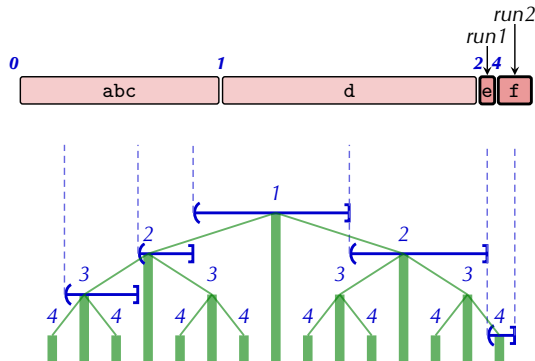
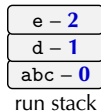
run stack



# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
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4   j = extend_run(lst, i)
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12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)



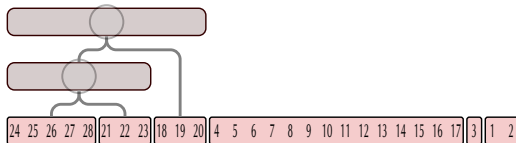
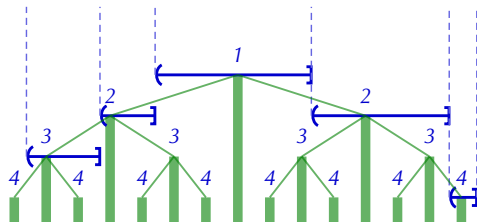
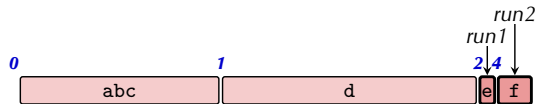
# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
5   runs.append((i,j-i,0)); i = j
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10      merge_topmost_2(lst, runs)
11      runs.append((i,j-i,p)); i = j
12   while len(runs) >= 2:
13     merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

f - 4
e - 2
d - 1
abc - 0

run stack



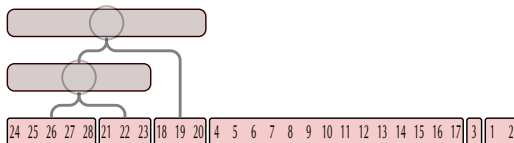
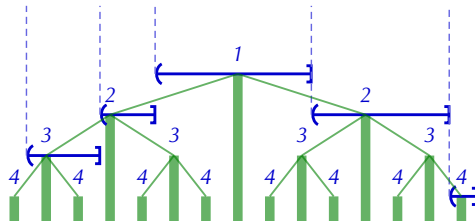
# Powersort

```
1 def powersort(lst)
2     i = 0; n = len(lst)
3     runs = [];
4     j = extend_run(lst, i)
5     runs.append((i,j-i,0)); i = j
6     while i < n:
7         j = extend_run(lst, i)
8         p = power(runs[-1], (i,j-i), n)
9         while p <= runs[-1][2]
10             merge_topmost_2(lst, runs)
11             runs.append((i,j-i,p)); i = j
12     while len(runs) >= 2:
13         merge_topmost_2(lst, runs)
```

full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)

f - 4
e - 2
d - 1
abc - 0

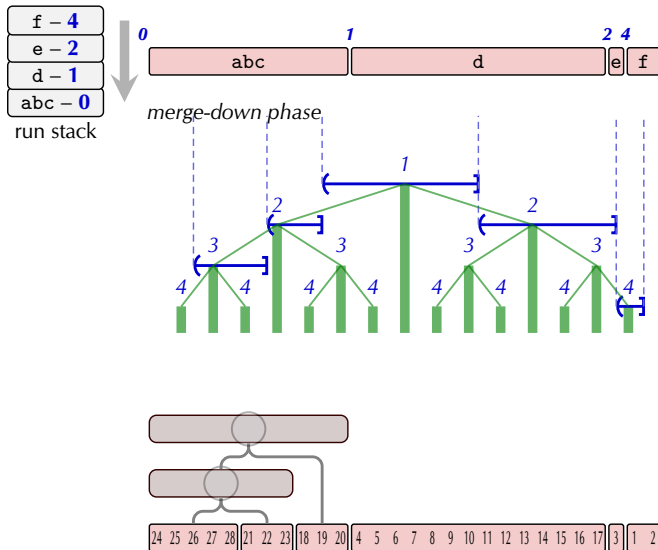
run stack



# Powersort

```
1 def powersort(lst)
2   i = 0; n = len(lst)
3   runs = [];
4   j = extend_run(lst, i)
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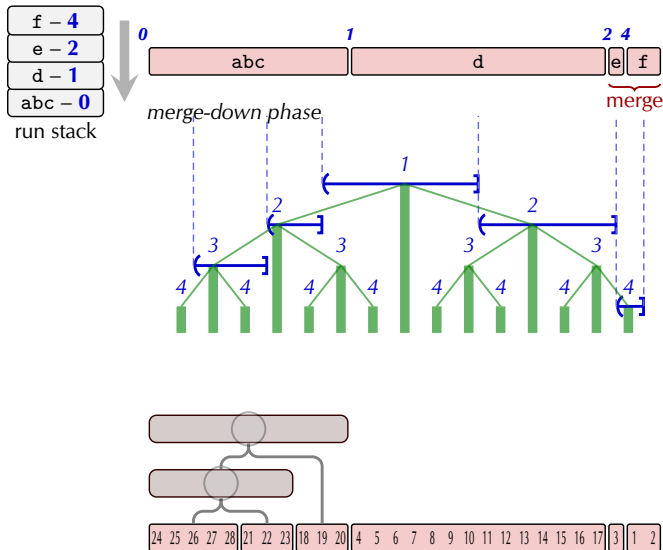
full code:  
[tiny.cc/timsort](http://tiny.cc/timsort)



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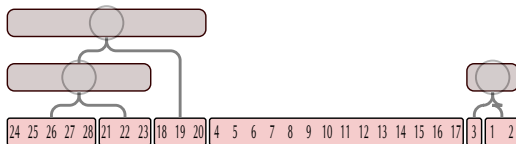
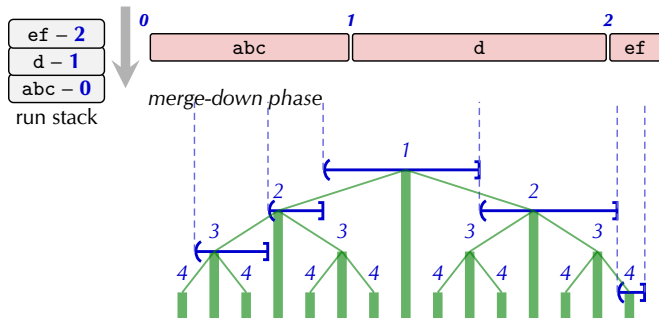
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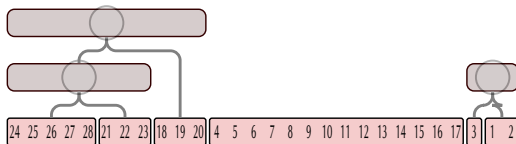
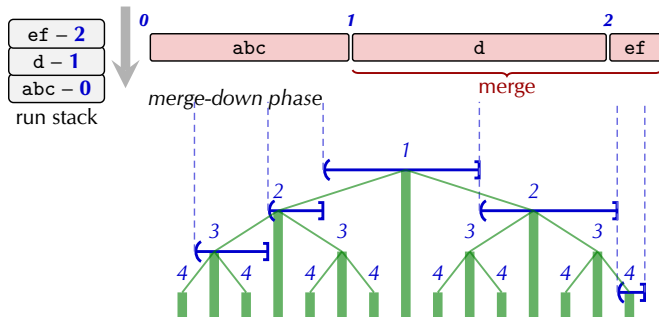
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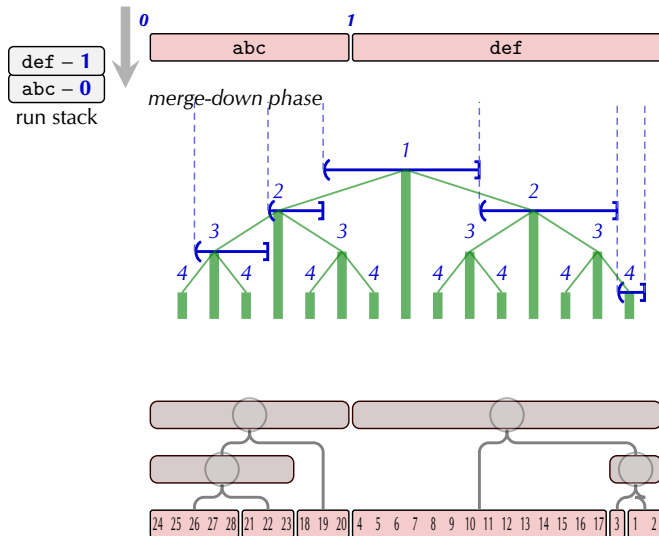




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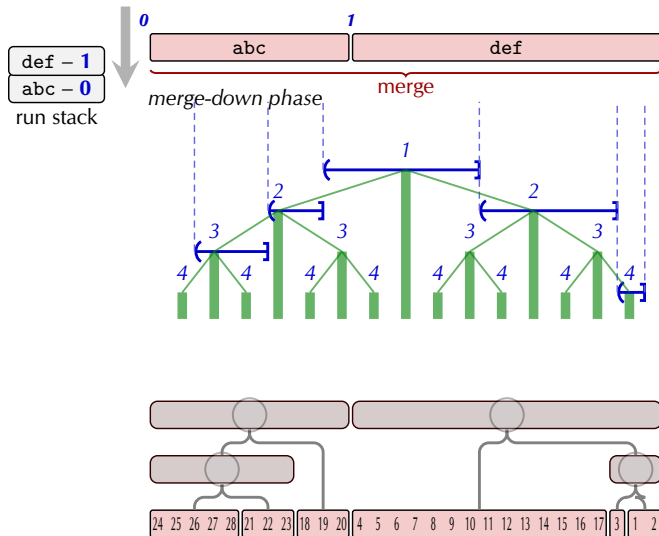
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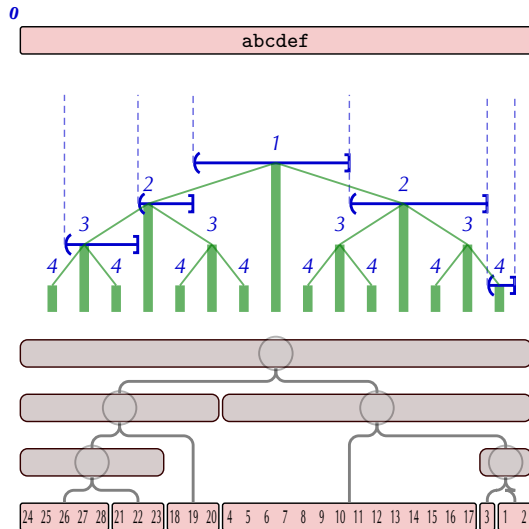


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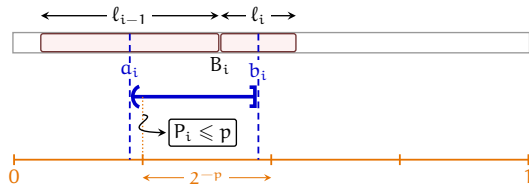
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abcdef - 0  
run stack



# Computing powers

- Computing the power of (run boundary between) two runs
  - $\llbracket \cdot \rrbracket$  = normalized midpoint interval
  - power =  $\min \ell$  s.t.  $\llbracket \cdot \rrbracket$  contains  $c \cdot 2^{-\ell}$



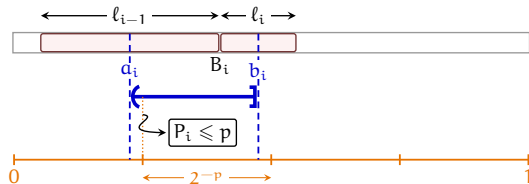
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```
1 def power(run1, run2, n):
2     i1, n1 = run1
3     i2, n2 = run2
4     A = 2 * i1 + n1    # A = 2n $\alpha_i$ 
5     B = A + n1 + n2   # B = 2n $\beta_i$ 
6     p = 0
7     while True:
8         p += 1
9         if A >= n:
10            A -= n; B -= n
11        elif B >= n:
12            break
13        A <<= 1; B <<= 1
14    return p
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- with bitwise trickery  $O(1)$  time possible



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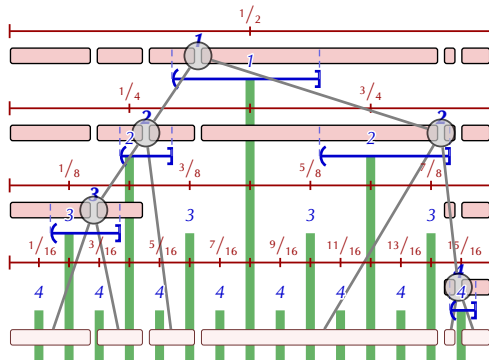
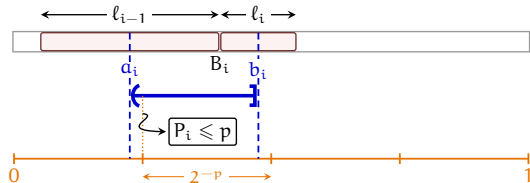
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5     B = A + n1 + n2   # B = 2nb_i
6     p = 0
7     while True:
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# Mergecost Analysis

- **Given:** input with runs  $R_1, \dots, R_r$  of **lengths**  $L_1, \dots, L_r$ ;  $n = L_1 + \dots + L_r$
- Mergecost:  $M = \sum_{i=1}^r L_i \cdot \text{depth}(R_i)$

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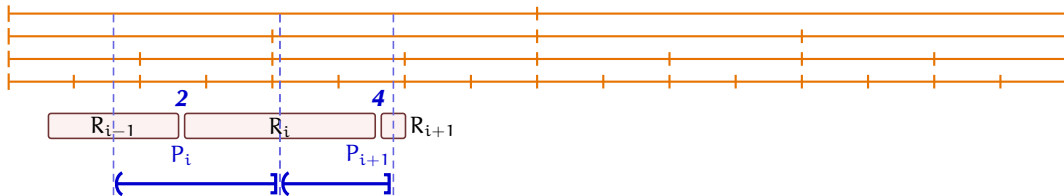
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$\rightsquigarrow M \leq \sum_{r=1}^r L_i \cdot \hat{P}_i$ , where  $\hat{P}_i = \max\{P_i, P_{i+1}\}$

$P_i$  = power of boundary between  $R_{i-1}$  and  $R_i$ ,  $i = 2, \dots, r$

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# Mergecost Analysis

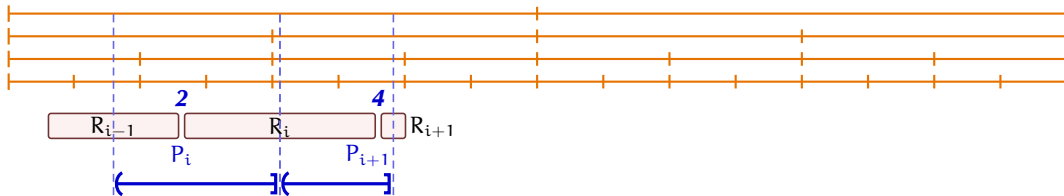
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$\rightsquigarrow$  To prove  $M \leq n(\mathcal{H} + 2)$ , it suffices to show:

$$P_i \leq \lceil \log_2\left(\frac{n}{L_i}\right) + 1 \rceil \leq \log_2\left(\frac{n}{L_i}\right) + 2$$

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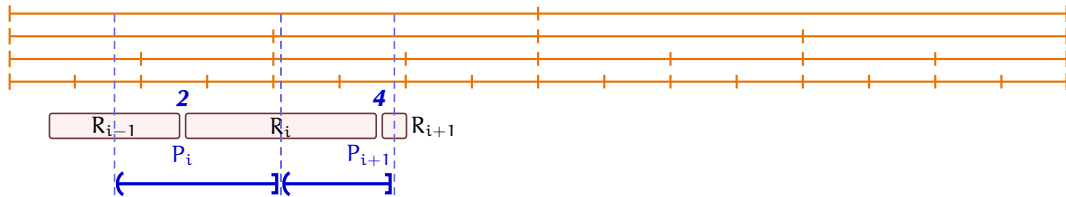
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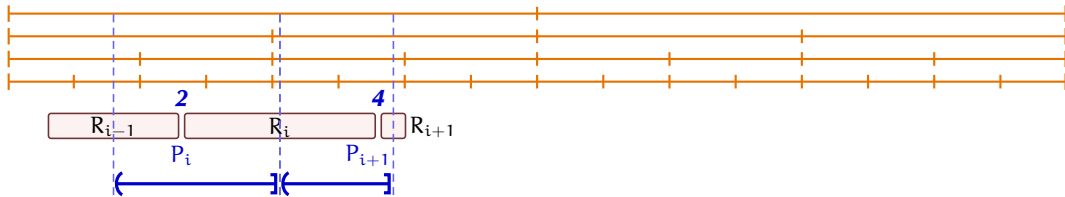
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- we have:  $|\llbracket \cdot \rrbracket| \geq L_i/2$

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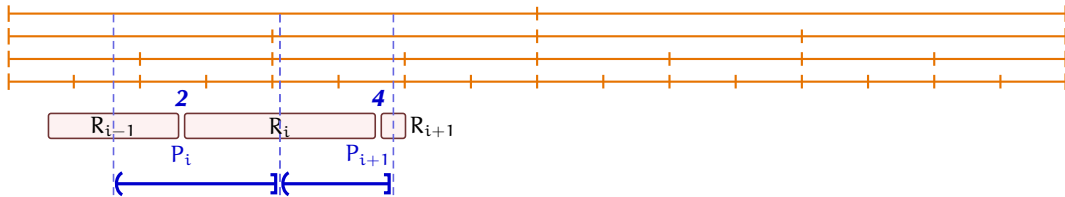
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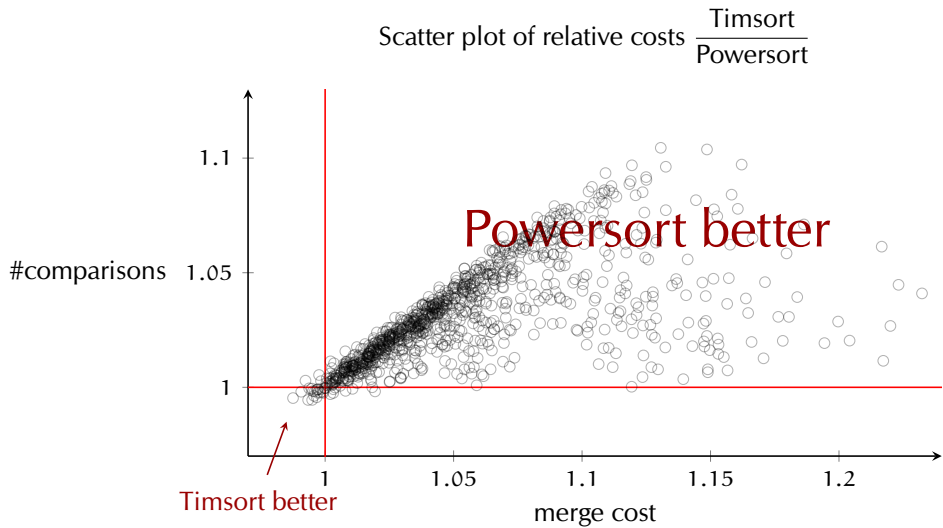
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## Summary claims:

- 1 Typical improvement from Powersort:  
0-5% fewer comparisons; occasionally 20–60%. [▶ Data](#)  
(One can contrive inputs where Powersort does worse; seems inevitable)
- 2 No running time regressions: never measurably slower in actual running time [▶ Data](#)
- 3 Sometimes substantially faster (20–40%)

# Abstract cost measures

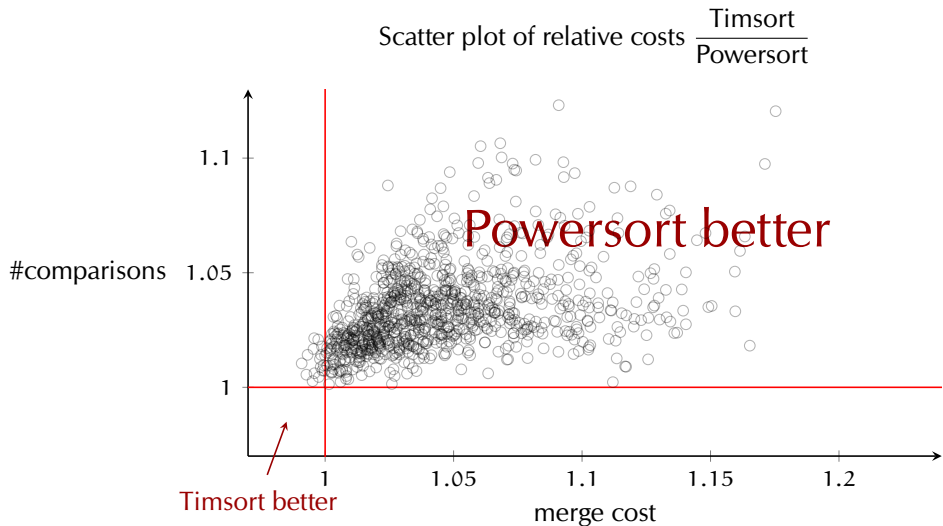


- Worse on 2-3%, but if so, only slightly.
- On average, 3% fewer cmps and 5% less merge cost.

Input: Runs of expected length  $\sqrt{n}$ ,  $n = 10^5$



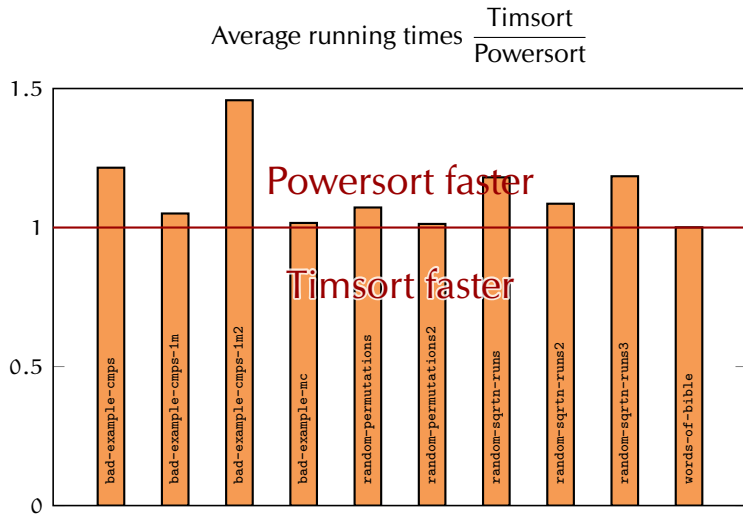
# Abstract cost measures



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**Input:** Tim's mixture of long and short runs

# Running times

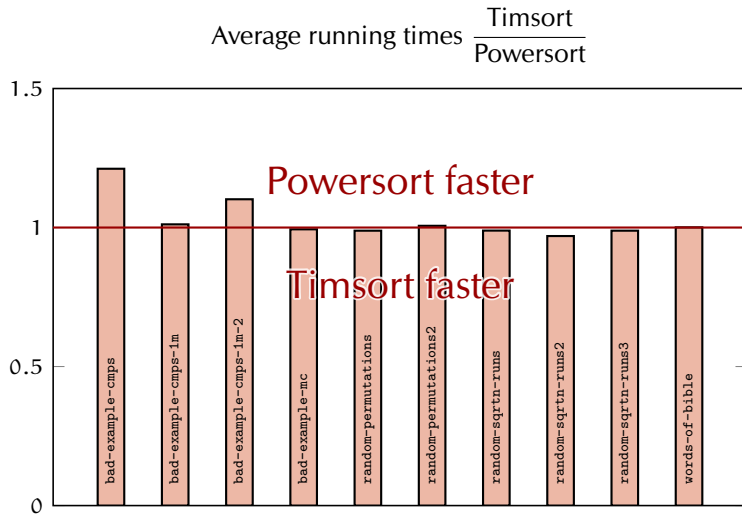


- CPython 3.11 with Powersort resp. Timsort
- selection of some “random” inputs
- most inputs ints (cheap comparisons)
- machine-dependent, but qualitatively stable

Machine 1



# Running times



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Machine 2

# Bonus: Powersort in Databases

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- but tricks to avoid costly or redundant comparisons are widely adopted
  - *normalized keys*
  - *offset-value coding*

# Bonus: Powersort in Databases

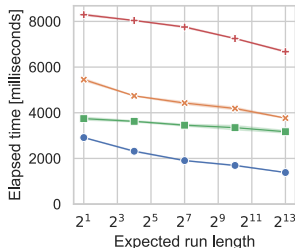
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~> Can be combined, with cumulative benefit!



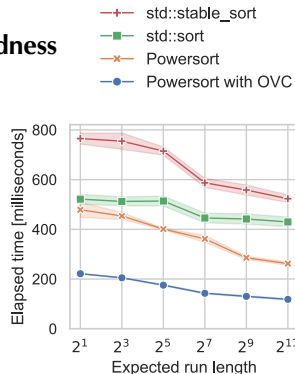
**Kuhrt, Seeger, Wild, Graefe:** *Adaptive sorting for large keys, strings, and database rows*, BTW 2025

## Time as function of presortedness



### German words

words from news articles  
from Leipzig Corpora Collection



### Database rows

database benchmark TPC(SF=1)  
normalized keys of catalog\_sales

# Bonus: Multiway powersort

- Timsort has been highly successful export from Python



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= now using Powersort merge policy

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  - ↪ need to economize on memory transfers\*
  - ↪ can profit from **multiway merging** (instead of 2 runs at a time)

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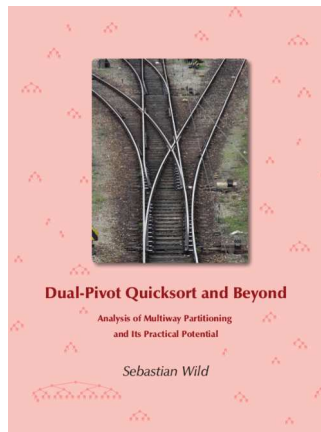
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(My PhD was on how this affects Quicksort)



**Wild: Dual-Pivot Quicksort and Beyond: Analysis of Multiway Partitioning and Its Practical Potential, PhD thesis 2016**

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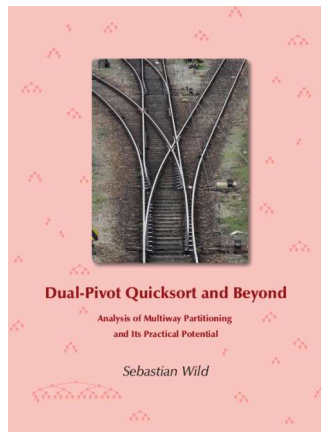
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↪ can profit from **multiway merging** (instead of 2 runs at a time)


↪ Easy to do with Powersort while keeping adaptivity!

 Cawley Gelling, Nebel, Smith, Wild: *Multiway Powersort*, ALENEX 2023

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 Wild: *Dual-Pivot Quicksort and Beyond: Analysis of Multiway Partitioning and Its Practical Potential*, PhD thesis 2016



# Conclusion

```
523     a = 2 * s1 + n1         # 2 * a'
524     b = a + n1 + n2       # 2 * b'
525     result = 0
526     while True:
527         result += 1
528         if a >= n:
529             assert b >= a
530             a -= n
531             b -= n
532         elif b >= n:
533             break
534         assert a < b < n
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538
539     def found_new_run(self, run):
540
541         p = self.pending
542         if p:
543             s1 = p[-1].base
544             n1 = p[-1].len
545             power = powerloop(s1, n1, run.len, self.listlength)
546             while len(p) > 1 and p[-2].power > power:
547                 self.merge_at(-2)
548             assert len(p) < 2 or p[-2].power < power
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551     def merge_force_collapse(self):
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# Conclusion

## Summary

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- Timsort is stable sorting method of choice
- its original merge policy wasn't ideal
  - complicated correctness proof / analysis
  - blind spots in performance
- Powersort fixes this!

🏴󠁧󠁢󠁥󠁮󠁧󠁿 *And they lived merrily ever after.* 🏴󠁧󠁢󠁥󠁮󠁧󠁿



# Conclusion

## Summary

- Timsort is stable sorting method of choice
- its original merge policy wasn't ideal
  - complicated correctness proof / analysis
  - blind spots in performance
- Powersort fixes this!

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## Goals

- 1 Powersort in **other libraries** using Timsort?
  - numpy & pandas
  - OpenJDK
  - Android Java runtime
  - V8 JavaScript engine
  - GNU STL `std::stable_sort`

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## Goals

- 1 Powersort in **other libraries** using Timsort?
  - numpy & pandas
  - OpenJDK
  - Android Java runtime
  - V8 JavaScript engine
  - GNU STL `std::stable_sort`
- 2 How much does adaptive sorting help?
  - What are typical inputs like in difference applications?
  - Is sorting used at all?

```

519     assert s1 + n1 + n2 <= n
520     # a' = s1 + n1/2
521     # b' = s1 + n1 + n2/2 = a' + (n1 + n2)/2
522     a = 2 * s1 + n1         # 2 * a'
523     b = a + n1 + n2         # 2 * b'
524     result = 0
525     while True:
526         result += 1
527         if a >= n:
528             assert b >= a
529             a -= n
530             b -= n
531         elif b >= n:
532             break
533         assert a < b < n
534         a <<= 1
535         b <<= 1
536     return result
537
538 def found_new_run(self, run):
539     p = self.pending
540     if p:
541         s1 = p[-1].base
542         n1 = p[-1].len
543         power = powerloop(s1, n1, run.len, self.listlength)
544         while len(p) > 1 and p[-2].power > power:
545             self.merge_at(-2)
546         assert len(p) < 2 or p[-2].power < power
547         p[-1].power = power;
548
549
550 def merge_force_collapse(self):
551     p = self.pending
552     while len(p) > 1:

```



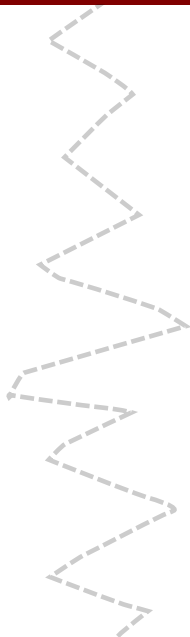


# Powersort: An Algorithm Science Success Story

**Observation:** data often partially sorted



**Timsort in CPython 2001**  
ad-hoc rules for merge policy



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**Observation:** data often partially sorted

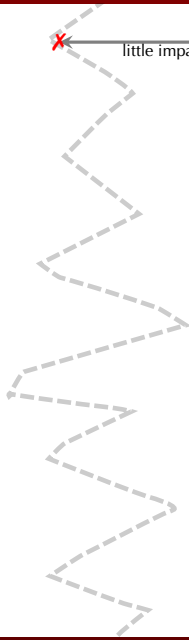


**Timsort in CPython 2001**  
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little impact on practice

1990s: Theoretical literature on adaptive sorting



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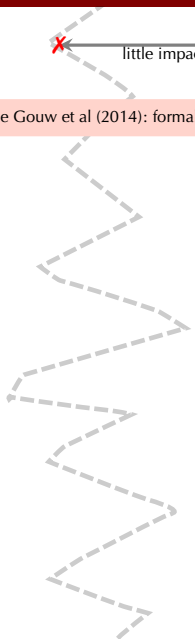
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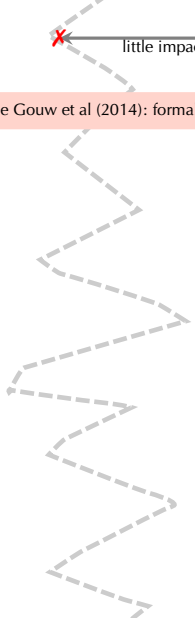
2015 revised to fix stack overflow(!)



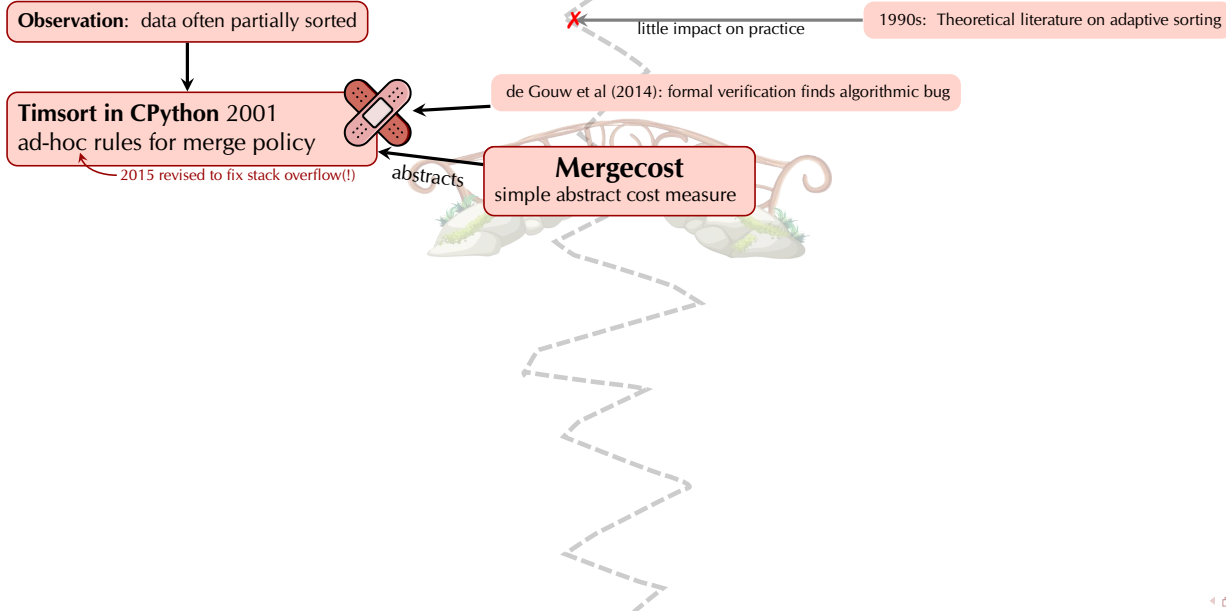
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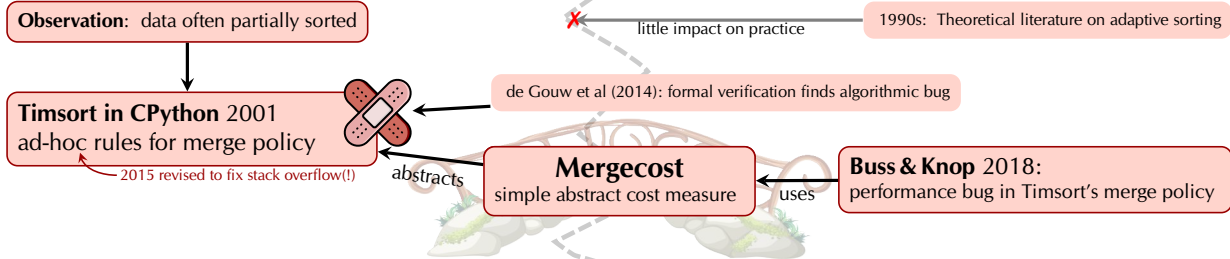
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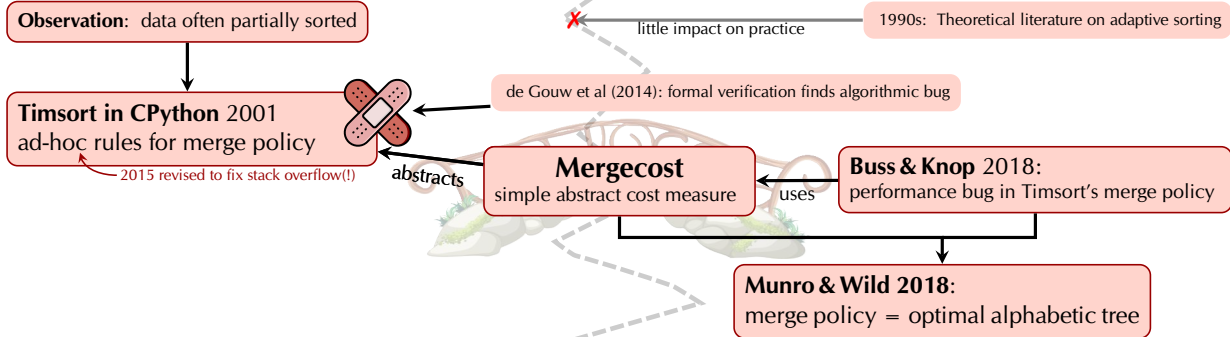
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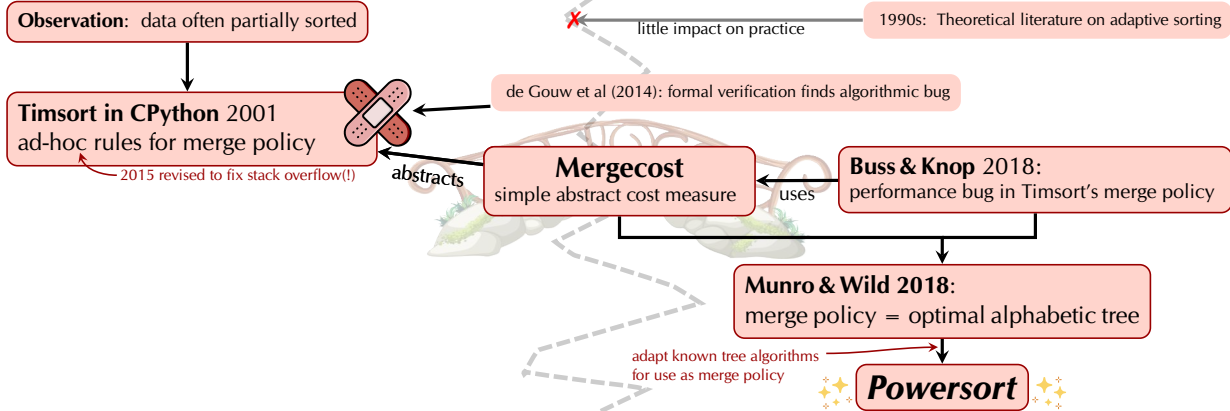
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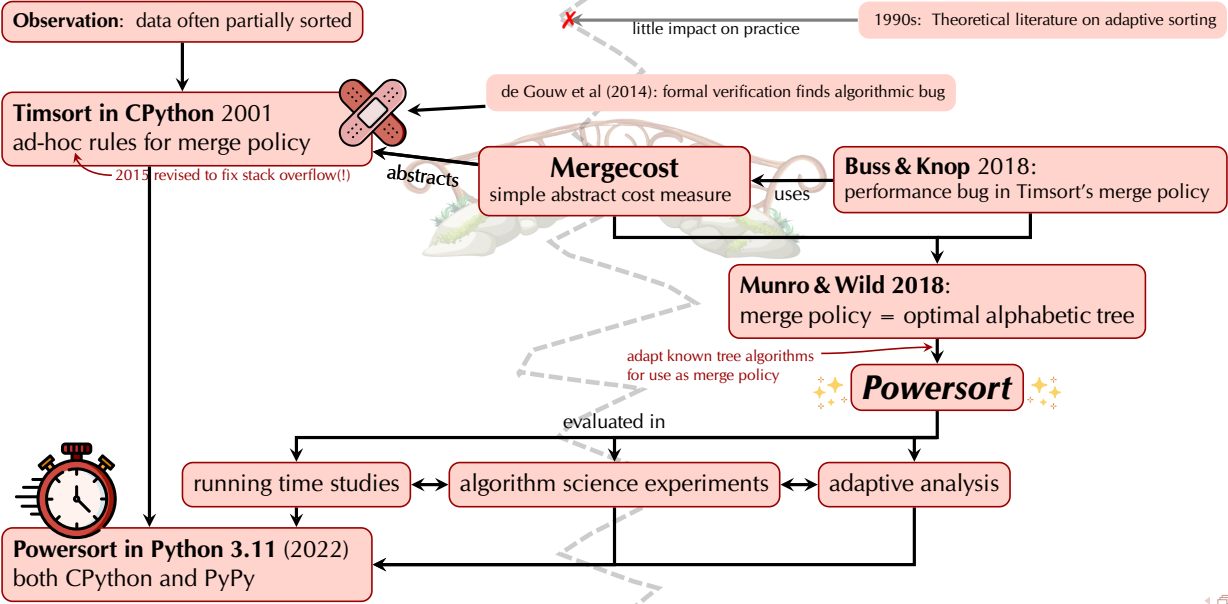


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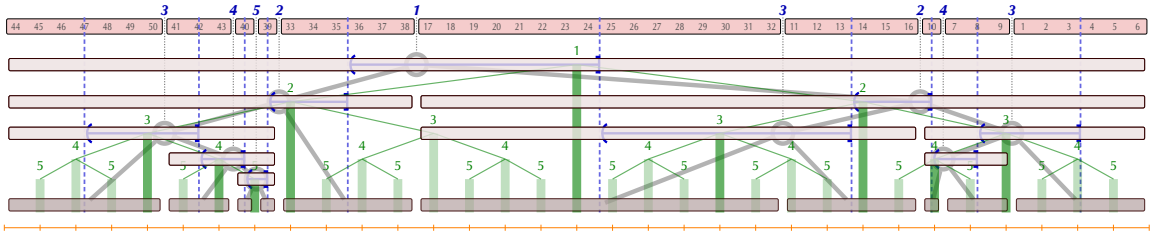




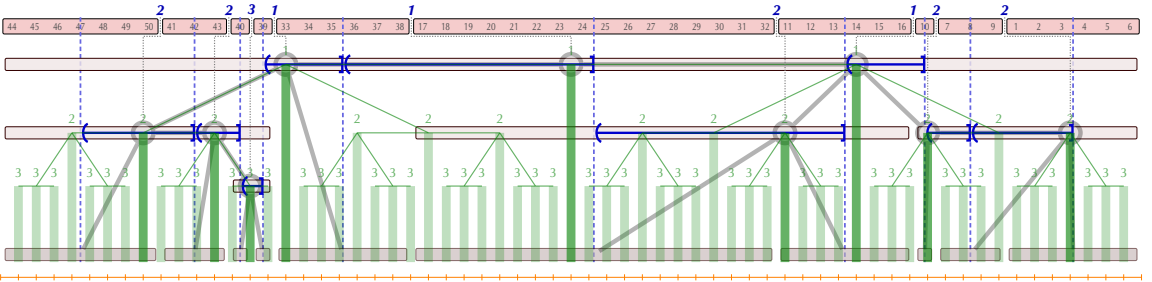
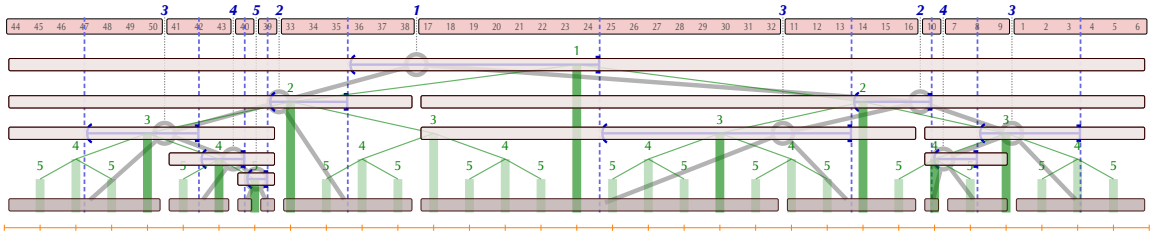
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# 4-way Powersort



# 4-way Powersort



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